

THE UNIVERSITY OF EDINBURGH
BUSINESS FOR MEETING OF THE UNIVERSITY COURT
to be held in Room 1.07 Main Library
on Monday, 17 February 2014 at 2.00 p.m.

A buffet lunch will be available at 1.00 p.m. in Room 1.11, Main Library

This meeting of Court will be preceded by a presentation from Vice-Principal Mr Nigel Paul, Director of Corporate Services on 'Commercialisation Activities – the Future Vision'

A FORMAL BUSINESS

- | | |
|---|-----------|
| 1. Minute of the meeting held on 9 December 2013 | A1 |
| 2. Minute of the meeting of Court Sub-Group held on 27 January 2014 | A2 |
| 3. School of Physics and Astronomy - update | A3 |

B PRINCIPAL'S BUSINESS

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| 1. Principal's Communications | B1 |
| 2. Vice-Principals and Assistant Principals | B2 |

C SUBSTANTIVE ITEMS

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| 1. Report of the Finance and General Purposes Committee | |
| .1 Comments on the Report of the Central Management Group | C1.1 |
| .2 Report on Other Items | C1.2 |
| 2. EUSA President's Report | C2 |
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| 4. Widening Access - update | C4 |
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| 6. Report from Estates Committee | C6 |
| 7. Report from Nominations Committee | C7 |
| 8. University Library Collections Policy - Key Policy Statements | C8 |
| 9. SULSA Initiative | C9 |

D ITEMS FOR FORMAL APPROVAL OR NOTE

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| 2. Resolutions | D2 |
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| 6. Donations and Legacies | D6 |
| 7. Use of the Seal | |

If you require this agenda or any of the papers in an alternative format e.g. large print please contact Dr Katherine Novosel on 0131 650 9143 or email Katherine.Novosel@ed.ac.uk

MINUTE OF A MEETING of the University Court of the University of Edinburgh held in the Raeburn Room, Old College, on Monday 9 December 2013.

Present: Rector (in chair)
Sheriff Principal E Bowen
Ms D Davidson
Mr A Johnston
Professor A M Smyth
Professor J Ansell
Professor D Finnegan
Professor J Taylor
Professor S Monro, Vice-Convener
Mr D Bentley
Dr R Black
Mr P Budd
Dr C Masters
Ms A Lamb
Mr H Murdoch, President Students' Representative Council

In attendance: Senior Vice-Principal Professor M Bownes
Vice-Principal Professor J Haywood
Vice-Principal Professor C Jeffrey
Vice-Principal Professor J Seckl
Vice-Principal Professor L Yellowlees
University Secretary, Ms S Smith
Vice-Principal Mr N Paul, Director of Corporate Services
Dr I Conn, Director Communications and Marketing
Mr A Currie, Director of Estates and Buildings
Ms S Gupta, Director of Human Resources
Mr P McNaull, Director of Finance
Mrs T Slaven, Deputy Secretary Strategic Planning
Ms F Boyd, Head of Stakeholder Relations and Senior Executive Officer
Dr K J Novosel, Head of Court Services

Apologies: The Principal
The Rt Hon D Wilson, Lord Provost of the City of Edinburgh
Dr M Aliotta
Mr L Matheson
Mrs E Noad
Dr A Richards
Ms K Haigh, Vice-President Students' Representative Council
Ms S Beattie-Smith, Rector's Assessor

Court received a presentation on the Research Excellence Framework REF2014 delivered by Mrs Tracey Slaven Deputy Secretary, Strategic Planning. Court congratulated all those involved across the University in preparing the submission in particular Mrs T Slaven Deputy Secretary, Strategic Planning, Vice-Principal Professor J Seckl, Ms M Buchan, Head of Research Policy and Planning Services and the Directors of Professional Services in Schools.

A FORMAL BUSINESS

There was confirmation that the present matriculation process involved students signing up to the rules and reputation of the University.

At its last meeting, Court had approved the arrangements to take forward the recruitment of the next Vice-Convener of Court, Co-opted members of Court and an external member on the Investment Committee. However there remained an outstanding matter around the requirement within the new Scottish Code of Good Higher Education Governance for formal arrangements to be put in place, through the student and staff members on Court, to enable the views of students and staff to be taken into account prior to the Vice-Convener appointment being finalised.

Court approved the proposal to facilitate confidential discussions between student and staff members of Court and the other two EUSA Sabbatical Officers and each of the candidates prior to the candidates being interviewed. The views of the staff and student group on the strengths and weaknesses of each candidate would then be fed back to the interview panel to assist it in making its recommendation to the Nominations Committee and Court.

Court welcomed Professor Julie Taylor to this her first meeting of Court as a Senate Assessor.

2 SENATE ASSESSOR

Paper A2

Court noted the outcome of the election to appoint a Senate Assessor on Court and that Professor Taylor would be serving on Court from 15 November 2013 until 31 July 2014.

B PRINCIPAL'S BUSINESS

1 PRINCIPAL'S COMMUNICATIONS

Paper B1

Court noted the items in the Principal's Report and in the absence of the Principal, Senior Vice-Principal Professor Bownes provided additional information on: the Principal's visit to Beijing and his attendance at the Nobel Prize Award ceremony in Stockholm on 10 December 2013 at which Emeritus Professor Peter Higgs would be receiving his medal; the Minister of Universities and Sciences announcement of funding for new Centres for Doctoral Training with the University securing funding for individual and joint centres; the Chancellor's Autumn statement in particular the £10.7m of funding for the Higgs' Innovation Centre, the removal of the cap on University places in England and Wales and Business, Innovation and Skills (BIS) funding; and recent industrial action. Court further noted the appointment of Ms Leigh Chalmers to the position of Director of Legal Services and that the General Council would be holding its statutory meeting in June 2014 in Toronto.

2 VICE-PRINCIPAL UPDATE

Paper B2

On the recommendations of the Principal, Court approved the following:

Professor Jane Norman, Chair of Maternal and Fetal Health to be appointed Vice-Principal Equality and Diversity from 1 January 2014 for a period of three years.

Dr Tina Harrison's time commitment to the role of Assistant Principal Academic Standards and Quality Assurance to be increased 80% for the academic year 2014/2015.

Professor Mona Siddiqui's time commitment in the role of Assistant Principal, Religion and Society to be increased to 0.4 FTE for the period from 1 August 2014 for

a period of three years and her term of appointment as Assistant Principal to be extended until 31 July 2017.

Kevin Collins to be appointed Assistant Principal, Industry Engagement, Industry Funding and Big Data with effect from 1 January 2014 for a period of two years at 0.2 FTE.

Court further noted that Vice-Principal Professor Steve Hillier had intimated his intention to retire in October 2014 and that discussions were underway on how best to recruit to this position, including possibly incorporating Development and Alumni activities as part of the portfolio for the new Vice-Principal.

C SUBSTANTIVE ITEMS

1 REPORT OF THE FINANCE AND GENERAL PURPOSES COMMITTEE

Comments on the Report of the Central Management Group meeting of 11 November 2013 **Paper C1.1**

Court noted EUSA's improving financial position and welcomed its intention to become a company limited by guarantee. In order to enable this incorporation to proceed, Court approved the request that the University act as guarantor in respect of the Section 75 liability arising from USS. The other items in the report were noted particularly the below inflation rate of increase in student rents and the work of the Sustainability and Environmental Advisory Group (SEAG) which was being taken forward by the recently appointed Director of Social Responsibility and Sustainability. Court asked that a separate paper be presented to a future meeting on the strategic direction and thinking being pursued by the Fees Strategy Group.

Report on Other Items

Paper C1.2

It was noted that the Finance and General Purposes Committee had endorsed the proposals to sign off the US GAAP Accounts and Court approved the appointment of Professor Monro, Mr Bentley and Dr Masters to a Sub-Group of Court with delegated authority to sign off the US GAAP Accounts on behalf of Court. Court further noted the position in respect of the National Physical Laboratory (NPL) including the on-going negotiations and considerations around the due diligence exercise. It was further confirmed that a Sub-Group had been established by the Finance and General Purposes Committee to take forward matters on its behalf in respect of NPL.

It was noted that the previous issues around ARCHER had now been successfully concluded and Court further noted the update on USS pension and that a clearer position would be available following the actuarial valuation in March 2014. Court further approved the Subsidiary Companies Financial Statements for 2012/2013.

2 EUSA PRESIDENT'S REPORT

Paper C2

Court noted the items within the EUSA President's Report and the additional information on: developing the strategic plan, the progress towards completing incorporation and the welcomed support of the University, the recent recognition of EUSA's initiatives, and the student wellbeing projects and the success of the School Council pilots.

3 RISK MANAGEMENT COMMITTEE YEAR END REPORT

Paper C3

Court noted and approved the Annual Report on the activities of the Risk Management Committee and the positive statement from the Committee that based on these

activities the Committee was of the opinion that the University had satisfactorily managed its key risks during the year ended 31 July 2013. The enhanced information contained in a separate appendix on systems assurances for 2012/2013 was welcomed particularly given the issues previously raised by the Audit Committee around IT security and the new section in the report on risk appetite assurances was also welcomed: this was in line with the revised Risk Policy and Risk Appetite Statement approved by Court in June 2013. The information on the University's procurement practices and the disclosure information in respect of assurances around fraud provided in support of the adoption of the Annual Report and Accounts and the signing of the Letter of Representation were also noted by Court.

4 RISK MANAGEMENT – POST YEAR END ASSURANCE STATEMENT Paper C4

Court noted that no new risks required to be drawn to its attention since the completion of the Risk Management Committee's Annual Report which impacted on the ability of Court to approve the University's Annual Report and Accounts for the year ended 31 July 2013 or to sign the Letter of Representation.

5 AUDIT COMMITTEE ANNUAL REPORT Paper C5

The Report on the activities of the Audit Committee during 2012/2013 was noted and approved including the opinion of Internal Audit endorsed by the Committee, on the adequacy of the University's control and governance arrangements. Court welcomed the continuing monitoring by the Audit Committee on the actioning of approved recommendations contained within internal audit assignments and the helpful involvement of the Central Management Group in taking this forward.

Assurances were provided to Court on the items highlighted in the External Audit action plan and key accounting adjustments: actions were being taken to comply with the agreed management responses in the action plan including preparation of a summary report on the Holyrood project which would be presented in due course; and the other matter relating to accounting treatment had now been addressed.

The draft minute of the meeting of the Audit Committee held on 22 November 2013 was noted.

6 REPORTS AND FINANCIAL STATEMENTS

Annual Report and Accounts for year ended 31 July 2013 Paper C6.1

Court endorsed the new approach and the preparation of an integrated Annual Report and Accounts and the improved transparency. In particular the new sections on the University's strategy and value model, risks, and social responsibility and sustainability were commended. The very positive financial performance recorded and the achievement of a 15% increase in the value of research applications and 20% increase in the value of research awards during 2012/2013 compared to the previous year was welcomed by Court and the 5% ratio of operating surplus to total income realised in line with the University's target. Assurances were provided on the accounting treatment of hours to be notified staff and revised wording was approved.

Court approved the Annual Report and Accounts for the year ended 31 July 2013 subject to the above revision and any further typographical amendments, noting the External Auditor's report and unqualified opinion and authorised the Principal, Vice-Convener of Court and the Director of Finance to sign the Annual Report and Accounts for the year ended 31 July 2013 as appropriate on behalf of Court.

Letter of Representation

Paper C6.2

Court approved the Letter of Representation and authorised the Principal to sign the Letter on its behalf.

Review of 2012/2013 Outturn versus Forecast

Paper C6.3

The principal movements between the quarter three forecast and outturn were noted and Court welcomed the continuing improvements in the forecasting and allocation processes.

7 2014-2017 DRAFT OUTCOME AGREEMENT

Paper C7

Court endorsed the final Outcome Agreement 2014-2017 and noted that there may be further comments from the Scottish Funding Council (SFC): the current document had been revised from previous drafts to take account of initial comments received from the SFC.

8 STRATEGIC PLAN: TARGETS AND KPIS PROGRESS REPORT

Paper C8

The progress against each of the agreed targets and KPIS within the Strategic Plan 2012/2016 was noted. There was detailed debate around progress to take forward the annual review of staff and concern was expressed on the lack of significant improvement in this area and on the low proportion in the College of Science and Engineering. Court noted the cultural factors in taking this forward and the difficulties where research staff were employed for limited periods, often for a year or less. Assurances were provided on the guidance, training and support available to staff and on the actions being taken: a paper would be going to the next meeting of the Finance and General Purposes Committee and thereafter to Court on this issue. There was also discussion on progress to take forward the target to increase the proportion of female academic staff appointed to senior positions and on reducing the gender pay gap and while both areas were on track further improvement was required. It was further confirmed that more information would be provided on widening participation data.

9 ANNUAL REVIEW 2012-2013

Paper C9

Court approved the Annual Review 2012/2013 subject to any further comments received from Court members.

10 REPORT FROM REMUNERATION COMMITTEE

Paper C10

Court noted the confidential Report from the Remuneration Committee and the principal issues discussed by the Committee during the year around, retention and recruitment of senior staff, gender, and the success of the 360° appraisal of the Principal. The separate report from the independent observer was welcomed. There was discussion on out of cycle processes and assurances were provided on the rigorous practices adopted. There was also discussion on other approaches to senior grading and there was confirmation that these sorts of approaches had been previously considered.

11 REPORT FROM KNOWLEDGE STRATEGY COMMITTEE

Paper C11

The Report on the activities of the Knowledge Strategy Committee during the previous year was noted and its oversight of major non-estate projects in respect of IT and IM. and Court approved the minor changes to the Terms and Reference of the Committee to update terminology.

D ITEMS FOR FORMAL APPROVAL OR NOTE

1 DRAFT RESOLUTIONS Paper D1

Court approved the following draft Resolutions:

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|------------------------------|---|
| Draft Resolution No. 2/2014: | Institution of new postgraduate Degree: Master of International Affairs |
| Draft Resolution No. 3/2014: | Payment of Debts to the University |

and requested their transmission to the General Council and Senatus Academicus for observations.

2 RISK MANAGEMENT COMMITTEE – TERMS OF REFERENCE Paper D2

Court approved the minor change to the Terms of Reference of the Risk Management Committee.

3 DONATIONS AND LEGACIES Paper D3

Court was pleased to note the donations and legacies to be notified, received by the University of Edinburgh Development Trust between 19 October and 20 November 2013.

4 SCHOOL OF INFORMATICS Paper D4

Court approved the placing of a contract with the preferred supplier as set out in the paper for the agreed purchase price and authorised the Principal or any Vice-Principal to sign the final contract.

5 SCHOOL OF CLINICAL SCIENCES Paper D5

Court approved the placing of a contract with the preferred supplier as set out in the paper for the agreed purchase price and authorised the Principal or any Vice-Principal to sign the final contract.

6 SCHOOL OF PHYSICS AND ASTRONOMY Paper D6

Court approved the commitment as set out in the paper and authorised the Principal or any other member of Court to approve the associated purchase order.

7 USE OF THE SEAL

A record was made available of all the documents executed on behalf of the Court since its last meeting and sealed with its common seal.

MINUTE OF A MEETING of a Sub-Group of the University Court of the University of Edinburgh held in the Carstares Room, Old College on Monday 27 January 2014.

Present: Professor S Monro, Vice-Convenor (in chair)
Mr D Bentley (via conference call)
Dr C Masters

In attendance: Mr P McNaull, Director of Finance
Mr M Rowley, External Auditor (via conference call)
Mr G Bailey, Senior Financial Accountant
Dr K J Novosel, Head of Court Services

1 INTRODUCTION

It was confirmed that Court at its meeting on 9 December 2013 had agreed to the establishment of this Sub-Group and had delegated to it approval on its behalf, of the final US GAAP Accounts and Letter of Representation taking cognisance of the recommendations of the Audit Committee Sub-Group. It was noted that Court at its meeting on 9 December had also considered and approved the Annual Report and Accounts for the year ended 31 July 2013 prepared in accordance with the UK Statement of Recommended Practice: Accounting for Further and Higher Education (SORP 2007) and applicable accounting standards and that these approved Annual Report and Accounts formed the basis for the preparation of the US GAAP Accounts.

There was confirmation that Mr Bentley was participating as members of this Sub-Group via conference call.

2 REPORT FROM AUDIT COMMITTEE SUB-GROUP

Paper 1

The Sub-Group of the Audit Committee had met on the morning of 27 January 2014 and the report confirmed the membership of the Sub-Group, the documents it had reviewed and attached to the report was a copy of KPMG's US GAAP Accounts Highlights Memorandum (a revised version of KPMG's US GAAP Accounts Highlights Memorandum was tabled at the meeting).

It was confirmed that the Audit Committee Sub-Group had made a number of comments and had asked for further information including confirmation of the final revisions to the External Audit Opinion, Report on Internal Audit Control and Letter of Representation including in respect of the latter document tracked changes from the previous year's approved Letter. This information had now been provided to the satisfaction of the Audit Committee sub-Group.

The Court Sub-Group noted KPMG's revised tabled Highlight Memorandum and the assurances provided by KPMG that all the outstanding audit work as set out in the document had been satisfactorily concluded and that an unqualified opinion would be issued.

The Court Sub-Group noted the deliberations of the Audit Committee Sub-Group and the Audit Committee Sub-Group's verbal recommendation (provided by the Director of Finance on behalf of the Sub-Group) to Court to approve the US GAAP Accounts.

3 RECONCILIATION BETWEEN UK GAAP AND US GAAP ACCOUNTS Paper 2

The Sub-Group noted and was satisfied with the summary information provided on the reconciliation between the UK GAAP and US GAAP prepared Accounts and the particular differences in treatment of various matters as set out in the paper which had resulted in the recorded adjustments being made. It was confirmed that more detailed information had been provided to the Audit Committee Sub-Group and following comments and further information being issued, the Audit Committee Sub-Group had been content subject to consideration in future years of providing additional summary information and more comprehensive mapping between the two sets of Accounts.

4 CONSOLIDATED FINANCIAL STATEMENTS, JULY 31 2013 (US GAAP ACCOUNTS) Paper 3

As a result of the meeting of the Audit Committee Sub-Group further minor changes were required to be incorporated into the final US GAAP Accounts which were not reflected in the paper being considered at this meeting. The court Sub-Group noted the changes and further suggested further changes around the information provided on pension schemes. The process taken to convert the UK GAAP Accounts to comply with the requirements to prepare Accounts in accordance with US GAAP were noted and deemed appropriate.

Subject to amendments to reflect the suggestions around the information on pensions, the Court Sub-Group approved the US GAAP Accounts. The Sub-Group on behalf of Court authorised the submission of these Accounts to the US Department of Education in time for the 31 January 2014 deadline.

5 LETTER OF REPRESENTATION Paper 4

A revised Letter of Representation was tabled at the meeting and the Court Sub-Group, on the recommendation of the Audit Committee Sub-Group, and in accordance with delegated authority from Court authorised the Vice-Convener of Court to sign the revised Letter on behalf of Court.

6 ANY OTHER COMPETENT BUSINESS

It was suggested that it may be helpful to provide further information on the operations of the University similar to that contained within the UK GAAP Accounts while recognising that caution would be required when figures were being included given the different treatment of matters as previously discussed and in particular the outturn position. It was further suggested that comparative information between years would be helpful. In order to take this forward it was agreed to ascertain how peer intuitions in the USA presented their Accounts.

Principal's Report

These communications are grouped into international, UK and Scottish developments, followed by details of University news and events:-

North America

I returned to the United States for a short trip in late January with Ms Kirsty MacDonald, Executive Director of Development and Alumni. We had a number of very successful meetings whilst there including with Jim and Marilyn Simons from the Simons Foundation, Vartan Gregorian, President of the Carnegie Corporation, Zia Khan and Ryan Whales from the Rockefeller Foundation, and President Earl Lewis from the Andrew Mellon Foundation. I also visited Georgetown University, Washington, and the Confucius Institute at George Washington University and attended the Washington DC Burns Night.

It was also good to see Ms Joanna Storrar again who took up her appointment as Director of the New York Office in January 2014. The formal launch of the new office will take place in October 2014.

With all of this US activity it is particularly pleasing that Edinburgh is now the number one destination for American students in the United Kingdom.

Vice-Principal International will attend the Association of International Education Administrators Conference, Washington DC – February 2014 to host a joint session on global education with colleagues from University of British Columbia and University of Melbourne.

Senior Vice-Principal Bownes is accompanying Universities and Science Minister the Rt. Hon David Willetts MP on a visit to Canada and Chicago from 10th to the 15th February. The full programme concentrates on engagement with science and includes visits to McGill and Ottawa University as well as the Argonne National Laboratory near Chicago.

China

At the China Scotland Business Forum held at the Scottish Parliament at the beginning of February, I spoke about the University's collaborative ventures both at home and in China focusing on the world leading Confucius Institute.

The University also hosted Scotland's Confucius Institute Directors' meeting held at the Confucius Institute in Abden House and I was very pleased to welcome the attendees.

India

I will be visiting India from 22-25 February, and will attend the inaugural session of a R(D)SVS-led workshop on *Advances in Veterinary Research-Impact and Opportunities*, to be held in Bangalore.

Twenty four architecture students went on a study tour of several Mumbai architectural colleges in January 2014, as part of a project on urban issues.

Korea

An application has been successful to the Korea Foundation for funding to support a second Yun Posun Symposium in Spring 2014.

Latin America

Vice-Principal International represented the University on the Deputy Prime Minister's visit to Mexico and Colombia from the 2-7 February 2014.

Global Academies

A new concise publication, spanning the four academies, has just been published (copies available).

In December and January, international high level delegations were received from:

- Uppsala University, Sweden
- Gottingen University, Germany

Related Meetings

I was pleased to welcome to the University Pro-Vice Chancellor Bjarne G. Sørensen and colleagues from the University of Denmark who were on a fact finding visit to discuss the University's LifeKIC (Knowledge and Innovation Communities) collaboration led for the University by Professor Mark Parsons and Professor Stuart Anderson.

I was very pleased to welcome the four Directors of the University's Overseas Offices to Edinburgh where they had some productive joint meetings with colleagues from across the University. The trip helped them gain a better understanding of the University's structure and functions and also enabled them to share their experiences.

I was also pleased to welcome participants of the 7th Saudi Students Scientific Conference to the University and attend the UK Horizon 2020 Launch Event at the Royal Society in London.

UK

Pay Negotiations 2013/14 and Industrial Action

UCU, Unite, Unison and EIS took part in a full day of strike action on Thursday 6th February 2014 which will be followed by a 2 hour strike on Monday 10th February by UCU members. Impact of all strike action to date has been relatively low.

UCU continues to suggest that they are considering escalating the action short of a strike (ASOS) and it has been suggested that they are discussing marking bans. The EIS is currently balloting members with a view to also taking part in ASOS, however the outcome of the ballot is not known at this point.

Immigration

The Immigration Bill is now making its way through Parliament and we continue to make representation to the UK government over a number of elements of the bill that cause the greatest concern. This includes the removal of the right to appeal, private landlord checks on valid visas and the NHS "premium payment" for services. Lobbying also continues through bodies such as Universities UK and the Russell Group.

Scotland

Responsible Investment Consultation

Court may be aware that a consultation is currently running on the University's responsible investment policy. Views are being sought from across the University community including staff, students and alumni on how the University should invest its funds and take an informed decision as to the best means to implement our responsibilities under the United Nations Principles of Responsible Investment. Comprehensive coverage of the issues is available on the University website and the consultation will close on 7th March.

Admissions

Undergraduate applications have increased markedly compared to last year across the main groups: for Overseas applications by 14%; for SEU by 8% for both Scotland and the EU; and for RUK by 10%. However the level of competition for the best RUK applicants is intensifying and English competitors have made a greater proportion of their decisions than we have. Nevertheless our College teams are working hard to accelerate offer making; we have made 3,100 RUK offers versus 99 at the same point last year and Overseas offers are also increased, by 19%.

Taught postgraduate recruitment in Distance Learning and Home markets is at an early stage; at this stage demand from the EU and within Scotland is reduced, whilst demand from the rest of the UK is increased. The Overseas recruitment cycle is further advanced and growth in demand, and faster processing, has enabled a 17% increase in accepted offers compared to the same point last year. In fact excluding the Business School which is over-subscribed, accepted offers are increased by 25%. We are however concerned that the early trends in several key markets outside China are not encouraging.

Question Time

Accompanied by the University Secretary and Vice Principal Rigby I was very pleased to participate in a question and answer session with our students which was very well organised by EUSA. The event was well attended with some perceptive and interesting questions on matters ranging from support for student counselling and disability services, level of fees, exam timetabling, immigration issues, ethical investment and credit unions. I hope that our students felt it was useful, it certainly felt like a good way to share information and clear up some misconceptions. I plan to repeat the event perhaps at Kings Buildings next time and then subsequently at Little France.

Senior Staff

I am very pleased to have secured an experienced Director of Estates and Buildings from Queens University Belfast, Mr Gary Jebb, who will be joining us in May. We will be interviewing a strong field for Director of Corporate Services at the end of this month, Court will be kept informed via the usual channels.

Related Meetings

I was pleased to be asked to open the IT Futures Conference held in the Informatics Forum, speaking on the theme of 'Disruptions'. I also attended the National Economic Forum, held at the Royal College of Surgeons and the launch of the UK Horizon 2020.

I took part in the CBI Scotland Round Table lunch with Rt Hon Danny Alexander MP, Chief Secretary to the Treasury, and the Russell Group Student Experience Dinner with Rt Hon David Willetts MP and Sir Andrew Witty.

Mr Dominic Barton, Senior Partner and Director of consultants McKinsey & Co, visited the University and spoke to senior staff about the future challenges facing the Higher Education sector.

I met with the other Principals of the Edinburgh universities over dinner hosted at Edinburgh Napier University and sat on the interview panel for the next Principal of the University of the Highlands and Islands.

I also took part in a Foundation for Science and Technology debate on communicating the uncertainty in risk estimates to decision, and also a High Performance Computing & Big Data Conference both held in London.

University News

The Principal also welcomed leading art historian **Professor James Elkins** from the School of the Art Institute of Chicago who delivered the inaugural Carnegie Lecture discussing the ‘Limits of the Discussion of Writing in Art History’.

Chemical Engineering student Callum Smith has been selected to represent Team GB at the **Sochi Winter Olympic Games**. The third year student from Inverurie, Aberdeenshire, hopes to compete in the 30km skiathlon, skate sprint and classic 15km time trial cross country events. His selection validates his decision to take a year out from his studies in order to concentrate on full-time training for the Games. The University has supported Callum through the Individual Performance Programme, which supports student athletes who are committed to succeeding at the highest level.

Faster, more reliable internet connections will move a step closer to reality with the opening of a new centre at the University. A new generation of high-speed internet technology which is more secure and versatile than Wi-Fi, will be developed at the research facility. This light-based communication technology, also known as **Li-Fi** - which is transmitted using light waves instead of existing radio technology - is seen as the successor to the latest 4G wireless internet systems which came on stream in the UK in 2012. A key player in the new centre will be Professor Harald Haas, who is widely recognised as the “father of Li-Fi.” Professor Haas is Chair of Mobile Communications at the University of Edinburgh and co-founder of a spin-out company, pureLiFi.

A wonderful spectacle has been on view in the Old College Quad which played host to over 80 **Chinese Warrior Lanterns** to celebrate Chinese New Year. The spectacle was created by Chinese artist Xia Nan for the Beijing Olympic Games in 2008, and this was the first time the Warriors have been showcased in Scotland.

Research in the News:

- A major research initiative which could pave the way for new stem cell therapies for people with damaged immune systems has been awarded £5 million. This will be shared by nine teams across Europe and the USA, including a team at Edinburgh University who will receive a £1.5 million share. The project may translate into new treatments that benefit older people and those who have received a bone marrow transplant.
- Dr Andrew Schurer has found that the sun has had little influence on past climate change. His findings overturn a widely held scientific view that lengthy periods of warm and cold weather in the past might have been caused by periodic fluctuations in solar activity. Volcanic activity and more recently greenhouse gases have been the key causes of periodic fluctuations.
- Professor Keith Matthews has been researching methods for interrupting communication between sleeping sickness parasites. In related research, University of Edinburgh scientists

are beginning a £2.5 million project to design novel treatments for sleeping sickness, which is spread by the bite of the tsetse fly and is prevalent in west and central Africa. Edinburgh's scientists will work with the international life sciences contract research organisation Selcia, to design and develop drugs based on drug-like compounds which stop enzymes in the parasite from converting their host's blood sugars into energy.

- University researchers have shown that people in Scotland have been four times more likely to be stopped and searched by police than those living south of the border. A nationwide survey of police records reveals there were 64 searches per 1000 people in 2010 compared with only 17 searches per 1000 in England and Wales. The research examined the records of Scotland's eight forces between 2005 and 2010 and showed that most searches were carried out on a non-statutory basis, which means police do not need reasonable suspicion to stop an individual.
- Dr Ian Kunkler has been examining the effectiveness of radiation treatment for breast cancer sufferers.
- University vets have found that red squirrels living on the Isle of Arran are in excellent health and showing few signs of disease. Researchers were particularly relieved to find no evidence of the deadly squirrelpox virus. A survey of the animals on the Isle of Arran has been conducted by scientists who are monitoring the population in a bid to help save the species.
- Professor Raj Bhopal found that people of South Asian origin can cut their chances of developing diabetes by losing weight.

External Recognition:

- Professor Sir Adrian Bird, Buchanan Professor of Genetics, has been awarded the 2013 BBVA Foundation Frontiers of Knowledge Award in Biomedicine. Professor Bird won the award for his discoveries concerning the DNA methylation process, and his research into Rett syndrome.
- Jenni Fagan, writer-in-residence in English Literature at the University, has been nominated for the Sunday Times EFG Private Bank prize for her short story, When Words Change the Molecular Composition of Water.
- Student Jenni Knotts became the first recipient of the David MacLennan Prize for her play, Home. The prize is a fully commissioned production of the play in Glasgow Oran Mor's lunchtime theatre slot, A Play, A Pie and a Pint. The award is run in conjunction with the University of Edinburgh's MSc in Playwriting.
- Professor Sue Wellburn representing the work of the Global Health Academy at the University has been recognised by the government of Uganda for her work on Sleeping Sickness.

17 February 2014

Vice-Principals and Assistant Principals

A. Senior Vice-Principal

Senior Vice-Principal Professor Mary Bownes has signalled her intention to retire as Senior Vice-Principal at the end of September 2014 having served the University with great commitment and skill for over 34 years.

Although stepping back from her full time duties Professor Bownes still has a number of important projects running and I therefore wish to appoint her as Vice-Principal Community Development as a 0.4 FTE for a period of two years from September 2014 to September 2016. In this role Professor Bownes will lead on embedding the University further with the City concentrating on projects involving the Council, the festivals, the museums, the zoo and the galleries. This will also enable Professor Bownes to finish work on a high quality book covering the history of University buildings and philanthropy which she is currently working on in conjunction with Historic Scotland.

In turning my attention to the next Senior Vice-Principal I wish to signal to Court my intention to designate Vice-Principal Public Policy and Impact, Professor Charlie Jeffery, as Senior Vice-Principal from 1 October 2014 for a period of 2 years in the first instance. Vice-Principal Jeffery's current term of office expires at the end of July 2014 so this will be extended until the Senior Vice-Principal designation comes into effect in October.

Under the designation of Senior Vice-Principal Professor Jeffery's remit will incorporate his current role along with new responsibilities in broader external relations, oversight of Development & Alumni and external and internal communications. As Senior Vice-Principal he will cease his role as Associate Head of College of Humanities and Social Sciences. As part of the handover process Vice-Principal Jeffery may take on some Senior Vice-Principal roles in the coming months, for example in representing the University on sector bodies.

B. Heads of College

I am pleased to confirm to Court that I have agreed to extend the term of office of Vice-Principal and Head of the College of Humanities and Social Science, Professor Dorothy Miell, to 31st July 2017 and Vice-Principal and Head of the College of Science and Engineering, Professor Lesley Yellowlees, to 31st July 2016.

C. Vice-Principal International

Court are aware of Vice-Principal Hillier's intention to retire in October and my thinking on whether to incorporate Development and Alumni activities within the International role. Following some consultation and further thought I feel that oversight of Development and Alumni should remain with the Senior Vice-Principal. I would envisage that the Vice-Principal International would have a strong role to play particularly around opportunities that arise through global foundations such as the Clinton and MasterCard Foundations and they would work closely with colleagues on these matters.

D. Assistant Principal Computational Science

Computational Science was pioneered at Edinburgh 35 years ago and has since been a strategic priority for the University. Within the last decade that computationally-orientated (HPC) approach has been complemented with a data-centric one (Grid, now Big Data). To excel in Computational Science requires research excellence in both the methodologies and application, translational activity to transfer the benefits between research areas and industry, and leading-edge facilities.

The proposed Assistant Principal for Computational Science will use his links and expertise to develop a coherent University-wide activity and to deliver full benefit across all aspects of Computational Science. This will be achieved by: encouraging relevant fundamental research through assisting with the development of School's research strategies; organising interdisciplinary proposals; developing closer links with translational centres, notably Edinburgh Parallel Computing Centre; and, ensuring that our facilities remain world-leading by continuing to seek external funding for their development. In these, he would build upon existing UK and European links and, within the University, work closely with the Vice Principal for High Performance Computing and the newly-appointed Assistant Principal for Industry Engagement, Industry Funding and Big Data.

I propose to appoint Professor Arthur Trew as Assistant Principal Computational Science with immediate effect for a period of three years in the first instance and reporting via the Head of the College of Science and Engineering.

E. Assistant Principal Industry Engagement, Industry Funding and Big Data

To clarify a detail from the last Court meeting that Assistant Principal Industry Engagement, Industry Funding and Big Data, Mr Kevin Collins is now engaged on a 0.4 FTE for two years starting from 1 January 2014.

I seek Court's approval for these changes.

TMMO'S

February 2014

Vice Principals are responsible to the Principal for representing him internally and externally on the particular theme which has been allocated. Although executive authority and service delivery are the responsibility of the relevant budget-holders, Vice Principals work with the professional or functional leaders in the areas relating to their 'theme' in a leadership role, bringing academic perspectives and judgments to bear where appropriate, and represent the University's position internally and externally, locally, nationally and internationally, in relation to their 'theme', as may be relevant. This includes engagement with the media. As Vice Principals, they may also be called upon to act for the Principal or as a Vice Principal in any of the University's formal procedures or to lead or participate in formal or informal investigations or reviews; to undertake other specific responsibilities as requested or agreed by the Principal from time to time, including chairing or membership of working groups, review groups and task forces, and to represent the Principal at formal and informal functions, internally and externally, UK-wide and overseas.

Within this context, the main areas of responsibility of the Senior Vice Principal is to oversee and play a leading role in the development of strategy and policy in the areas of: government relations; external communications and relations with key stakeholders in education, local government and the business community; oversight of Development & Alumni, engagement with representative organisations in the higher education sector; research impact in the field of policy and practice; and internal communications to staff and students in order to support the delivery of university-wide policy and priorities. These roles are for the whole University and are developed in liaison with heads of relevant support services who are responsible for contributing to strategy and policy development and ensuring that procedures are in place for delivery of agreed strategies. Professor Jeffery is expected to devote, on average, around 80% of his working time to Vice Principal duties, and is responsible directly to the Principal for their fulfilment.

The Senior Vice Principal has a wide range of internal contacts, and in particular requires to work closely with Heads of Colleges and Support Groups, the University Secretary, the Director of Communications, Marketing and External Affairs, the Executive Director of Development and Alumni and the Student Recruitment and Admissions Team. Effective working with other thematic VPs is essential.

Specific responsibilities associated with the role as summarised above include:

- Developing external communications strategies relevant to the areas of responsibility above to ensure that Edinburgh is well placed to retain and improve its reputation and position as a leading UK and international university.
- Leading work to influence matters of public policy under deliberation by Scottish, UK, and European Union political institutions that impact on the interests of the University.
- Oversight of Development and Alumni including development of strategies for promoting philanthropic giving and building and sustaining life-long relations with alumni that meet the University's priority needs.
- Acting on external committees and groups as appropriate to ensure that the University can influence policy, including Universities Scotland, Universities UK and the Russell Group.
- Working with local elected representatives at Scottish, UK, and EU levels and other key stakeholders in education, local government and business on policy matters of interest to the University.
- Leading liaison with the Scottish Funding Council, including on Outcome Agreements.
- Particular responsibility for the University's widening participation strategy which is important for educational and reputational reasons, and for meeting SFC grant conditions.
- Supporting the engagement of University research and expertise on public policy with policy and practice at Scottish, UK and EU levels.

- Leading on internal communications to staff and students to ensure greater awareness of university-wide priorities and effective coordination of academic and support services in their delivery.
- Membership of the Central Management Group and Finance and General Purposes Committee.
- Liaising with other Vice Principals and receiving the direct reports of thematic VPS, excepting Vice Principal Planning, Resources and Research Policy, to ensure that strategies are developed on a coherent basis.

The University of Edinburgh

The University Court

17 February 2014

C1.1

**Report of the Finance and General Purposes Committee
(Comments on the Report from the Central Management Group meeting of 22 January 2014)**

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

This paper comprises the Report to the Finance and General Purposes Committee at its meeting on 3 February 2014 from the Central Management Group of its meeting of 22 January 2014. Comments made by the Finance and General Purposes Committee are incorporated in boxes within the report at relevant points.

Action requested

Court is invited to note the items with comments as it considers appropriate.

Resource implications

As outlined in the paper.

Risk Assessment

As outlined in the paper.

Equality and Diversity

As outlined where appropriate in the paper.

Freedom of information

Can this paper be included in open business? Yes except for those items marked closed.

Originator of the paper

Dr Deborah Cook
Dr Katherine Novosel
February 2014

Central Management Group

22 January 2014

1 REF2014 EQUALITY IMPACT ASSESSMENT (CLOSED) (Appendix 1)

2 EQUALITY MANAGEMENT GROUP: TERMS OF REFERENCE

The Equality Management Group (EMG) had its first meeting in November 2013 when it sought to improve its terms of reference to reflect EMG's leading role in respect of the Equality Strategy and to clarify reporting mechanisms with other committees. CMG noted and approved the amended terms of reference and the change of title from "Equality Management Group" to "Equality Management Committee".

3 STAFF COMMITTEE REPORT (CLOSED)

4 BIOLOGICAL SERVICES (CLOSED)

5 INTERNAL AUDIT REPORT

CMG noted the report and discussed key themes relating to IT security and how the University dealt with pan-University issues. The importance of Head of Schools in supporting IT security was highlighted, it was also noted that benchmarking information on IT security will be available in the future.

6 INTERNAL AUDIT FOLLOW UP REPORT

The improved progress in implementing agreed internal audit recommendations over the past year was noted by CMG, with 77% of all recommendations at November 2013 reported as actioned within the agreed timescale. Further improvements had also been achieved in January 2014. CMG agreed to continue to encourage colleagues to support the implementation of internal audit recommendations, in line with the agreed minimum target of 80%.

The progress around ensuring that internal audit recommendations were actioned timeously was welcomed.
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7 HEALTH AND SAFETY QUARTERLY REPORT (Appendix 2)

CMG noted this report, which included the minute of the last meeting of the Health and Safety Committee. It was noted that the University has maintained its Gold level Healthy Working Lives Award, a review of occupational health provision is underway and that there has been a relatively low incidence of accidents.

The Finance and General Purposes Committee commended the work of the Health and Safety Committee.

8 MIGRATION MANAGEMENT GROUP UPDATE

CMG noted the contents of this update, in particular the processes of review, data capture and an anticipated audit in the forthcoming years. The Group welcomed the University's overall visa application approval rate of 98.5%.

9 REPORT AND FINANCIAL STATEMENTS FOR YEAR ENDED 31 JULY 2013

The final report and financial statement for year ended 31 July 2013 had been published. CMG noted the paper and took cognisance of the key highlights contained within the report, such as the ratio of operating surplus to total income at 5%.

Health and Safety Quarterly Report 2013-2014

Quarterly reporting period: 1st October-31st December 2013 (Quarter One)

Accidents and Incidents

Type of Accident/Incident	Quarter 1 Oct-Dec 2013	Quarter 1 Oct-Dec 2012	Year to Date 1 Oct 2013 – 31 Dec 2013	Year to Date 1 Oct 2012 – 31 Dec 2012
Fatality	0	0	0	0
Specified Major Injury	0	0	0	0
7 day Absence (7 day injury)	1	1	1	1
Public to Hospital	2	4	2	4
Reportable Dangerous Occurrences	0	0	0	0
Disease	1	0	1	0
Total Reportable Accidents / Incidents	4	5	4	5
Total Non-Reportable Accidents / Incidents	86	114	86	114
Total Accidents / Incidents	90	119	90	119

Further information by College/Support Group is shown in Appendix One

Incidents Reported to the Enforcing Authorities during the quarter:

- The IP slipped on water on a bathroom floor in student accommodation, and hit her hand on the toilet bowl as she fell. The shower had recently been on and water had pooled on the floor. IP has been signed off work for at least 2 weeks. The IP had received training regarding hazards of working in a wet environment in November 2012 and was due to attend a refresher session in November 2013. The training and safe system of work both emphasise the need to check for water in any bathrooms before entering. The floor itself was non slip and in good condition. Supervisors have been asked to remind staff of these hazards. (*> 7 day injury*).
- The IP was using a scalpel to remove a plastic cup being used as a plaster mould. The scalpel slipped and pierced his pinkie finger. First Aid was administered but as precaution, the IP attended A&E where the cut was re-dressed. ECA Safety Adviser discussed other ways of removing the plastic cup with IP and it was agreed that holding a cup on the table surface instead of in his hand may have avoided this incident. IP was also reminded of the guidance available in the ECA Safety handbook regarding knives and this information has also been given to the Technician overseeing this workshop to display in the area. (*Public to Hospital*).
- IP was cutting a piece of perspex into 50mm squares using a bandsaw for which he had received appropriate training, and used regularly during his undergraduate course. The means of guarding this type of job is complicated, and the IP reached between the guard and the blade to move the perspex, whereupon the blade caught the back of his hand. Finger guards and push sticks were available, but not used by the IP. New procedures have been introduced to maximise the effective guarding of this type of work. (*Public to Hospital*).

Incidents Reported to the Enforcing Authorities during the quarter (cont.):

- IP has worked at the University of Edinburgh since 2003. Prior to working at the UoE the IP suffered from asthma and had a known allergy to rodents. Close attention has therefore been given to minimising her exposure to Laboratory Animal Allergens (LAA), and her asthma has been closely monitored by her G.P. and followed up by the University's Occupational Health Unit. The IP has been face-fit tested and, outside the Animal Unit, works on samples within a microbiological safety cabinet or on a downdraft table. When the possibility of LAA exacerbating her asthma arose, the IP was asked to refrain from entering the animal unit and from rodent exposure. The UoE is discussing the on-going management of this case based on the NHS specialist's recommendations, in conjunction with the University's Occupational Health Physician and local HR and management colleagues. HSE is to investigate this case, as it is RIDDOR Reportable. (**Occupational Disease**).

Note: IP = Injured Person

Other significant incidents which were not Reportable to the Enforcing Authorities by UoE

- A non-injury incident occurred in a lecture theatre in the central area, when a wall mounted projector fell off the wall, as the lecturer pulled a cord to retract the projector screen. On investigation, it was found that one of the main fixing screws was loose. IS instigated a check of all other similar screens to examine similar fixings and also initiated programmes to check these manual screens on a regular basis, and to replace manual screens with electrically operated models.
- Another non-injury incident of note involved a tractor driving along Gilmerton Road, with its front bucket elevated. The bucket struck a handrail projecting from a small scaffold and pulled the scaffold partly away from the wall. No one was on the scaffold at the time and the damaged structure was repaired on the same day.
- During a non-routine maintenance operation in the Main Library, library users reported a strong smell, which was causing some staff members to feel nauseous. Contract work with a pungent floor sealant in a plant room suite was stopped until an investigation was completed. The substance in use was not deemed harmful to the users of the building, although it did have a very strong odour when first applied. The work procedure was changed to ensure the areas were adequately ventilated and users of the building were informed of the possibility of intermittent strong smells by the IS Facilities team.
- A lift maintenance engineer fell around 2m from an access ladder whilst accessing a lift shaft within the Queen's Medical Research Institute. He suffered a pelvic injury, which exacerbated a pre-existing condition, and necessitated attendance at A&E. Responsibility for RIDDOR reporting of this incident lay with the casualty's employers, who fulfilled that duty and kept University E&B informed.

Report from Health and Safety Committee (November 2013) Please see Appendix 1.

APPENDIX 1

REPORT TO CMG FROM THE MEETING OF THE UNIVERSITY HEALTH AND SAFETY COMMITTEE, HELD ON TUESDAY 5TH NOVEMBER 2013.

1. FUME CUPBOARDS AND FUME CUPBOARD PERFORMANCE TESTING

The review of the University's fume cupboard performance and testing programme is nearing completion and a report is expected late 2013. The review indicated that Estates and Buildings testers have maintained and developed the long standing testing scheme, providing a responsive and flexible approach to accommodate significant developments in fume cupboard equipment design. The University Health and Safety Policy and fume cupboard performance testing scheme will be updated to reflect the excellent practice which is in place. The working group is likely to recommend that fume cupboard performance testing remains the responsibility of Estates and Buildings.

2. REVIEW OF OCCUPATIONAL HEALTH PROVISION

The independent external review of all aspects of the provision of occupational health services across the University is ongoing. This review will benchmark current practice and identify areas of development over the next few years. Effective occupational health provision involves a partnership between occupational health professionals, human resources professionals and line management interaction with individuals

The Review Steering Group has met, and consultation with the Trade Unions, and others within Schools via focus groups, are taking place in November and December. The results of this review exercise are expected in early 2014.

3. HEALTHY WORKING LIVES GOLD AWARD

Following a review / assessment exercise in May, the University maintained the Gold level Healthy Working Lives (HWL) award, acknowledging the wide range of health and wellbeing initiatives in place across the University. This award is valid for a further three years, and we will continue to receive annual visits from our local NHS Health Promotion Adviser during this time.

4. PARTNERSHIP AUDITING PROGRAMMES

The next two years of the University's partnership auditing programme with Aon will consider themes highlighted by the previous management/compliance audit cycle as areas with the potential for improvement: self-inspection at School etc. level, health and safety training / record keeping; health surveillance requirements for students working with animals, and working with display screen equipment. These areas of activity offer the opportunity to spread the best practice in evidence in some areas of the University more widely across the institution.

The recent engineering audit of liquid nitrogen storage facilities, in partnership with WS Atkins is now complete. This audit was concerned with the hardware side of installations, including tanks and pipework, as well as the management systems

including maintenance records, risk assessments and training records. Only one installation threw up significant issues and temporary ventilation solutions have been applied, whilst a permanent solution is progressed.

5. RADIATION PROTECTION UNIT ANNUAL REPORT 2012/2013

The University Radiation Protection Adviser (URPA) presented the Radiation Protection Unit (RPU) Annual Report 2012/2013. The Assistant Radiation Protection Adviser (ARPA) is now an authorised 'Radioactive Waste Adviser', as is the URPA. There were no known significant ionising or non-ionising radiation exposures / overdoses during the reporting period, however, two incidents of note were discussed.

Three visits were made to the University by the Health and Safety Executive (HSE) radiation specialist inspector. Twelve visits were made by a Scottish Environment Protection Agency (SEPA) inspector, and a number of visits were made by the Counter Terrorist Security Adviser. All of these visits were routine, with no significant adverse comments made.

The RPU has given two lectures on radiation emergency arrangements to representatives of the Scottish Fire and Rescue Services at the Fire Service College, Gullane.

A School within the College of Humanities and Social Sciences purchased a handheld X-ray Fluorescence analyser. It became apparent that the equipment, which was software controlled, had intermittent faults. The HSE were notified and on inspection, took the device into their possession for further investigation. The results of this HSE investigation are awaited. The HSE has asked the University to review it's arrangements for the purchase of radioactive equipment, in order to ensure that risk assessments are carried out on new radiation emitting equipment prior to its use, and this is being progressed.

The RPU hosted the 2013 conference of the Association of University Radiation Protection Officers, which was very successful.

6. RETAIN – High Risk Materials Recording System

The Health and Safety Department has recently completed a project with Information Services (IS) to build a system to improve the management of information on the University's high risk materials. The system called RETAIN, stores information securely on the use, location, quantity and disposals of radioactive, and some biological, materials.

7. ACCIDENT AND INCIDENT REPORTING SYSTEM

The Health and Safety Department has recently started a project with Information Services (IS) to update the online accident and reporting system. The system now needs to move to a more stable platform with supported infrastructure, and this project will also enable us to incorporate a number of enhancements.

8. REPORT FROM ESTATES AND BUILDINGS

The Director of Estates and Buildings (E&B) Angus Currie, a leading supporter of health and safety and someone who has carried significant health and safety responsibilities on behalf of the University, has announced his intention to retire from his position in Spring 2014. The committee recorded their thanks for his work and looked forward to working with his successor.

A legal compliance paper which outlines the areas where Estates and Buildings take the lead on behalf of the University is submitted to the Estates Committee in December each year. This report includes information on health and safety compliance issues including, asbestos, water, legionella, electricity supply, gas supply, construction and the management of contractors, fume cupboard testing, pressure vessels, lifting equipment, and lifts.

Estates and Buildings are working in conjunction with Edinburgh University Students Association (EUSA) specifically looking at extending the provision of drinking water so that it is more widely available to the student body. A working party has been set up to look at the logistics and resource implications of extending their drinking water provision.

The 2013 Festival activities were very successful with no significant issues. The Festivals activities have a significant resource involvement for E&B staff and therefore this year E&B appointed a dedicated Festivals Co-ordinator to liaise with the Festivals Office, and local contacts within Schools on estates issues in the lead up to and during the Fringe / Festival. This appointment made a significant contribution to ensuring interactions between E&B and festival companies were efficient and effective.

Estates and Buildings have engaged the services of a former Health and Safety Executive (HSE) Electrical Inspector to review the University's arrangements for electrical safety, and in particular portable appliance testing. This review is now complete and the final report is due at the end of the year. Accommodation Services are restricted in their ability to vary their electrical testing arrangements due to the demands from the City of Edinburgh Council with regard to House of Multiple Occupancy (HMO) requirements.

Estates and Buildings will be managing a large number of significant building projects in the central area during 2014 and discussions have begun to ensure good cooperation, co-ordination, communication and control between the various contractors who will be involved.

9. OCCUPATIONAL HEALTH SERVICES FOR STUDENTS

Further consideration has been given to the principle and logistics of extending the Occupational Health Unit's (OHU) remit, which is currently limited to providing a service to members of University staff, to include provision of health surveillance initially for Undergraduate (UGS) and Postgraduate students (PGS) who work with animals in the course of their study programmes. Such an extension of provision will be in line with guidance issued by the Higher Education Occupational Physicians / Practitioners (HEOPS).

The approaches for assistance from Schools and the potential risk exposures have combined to require a re-assessment of this remit, in order to protect the health of our UGS and PGS, and reduce the University's risk exposure. This development will also provide an opportunity to raise the standard of health and safety looking toward their professional careers and enhance the student experience.

It is acknowledged that accommodating students who work with animals would be likely to be the thin end of the wedge, as demand for other occupational/study health services would almost certainly follow in due course, paralleling the development and evolution of the OHU's service to staff.

The associated resource implications, in terms of man-power, skill sets and finances, are under discussion.

10. PHYSIOTHERAPY SERVICE WITH FASIC

The Occupational Health Unit will be providing an additional service to support the physiotherapy treatment service provided by GPs, via the expert input from the Head Physiotherapist, in the Centre for Sport and Exercise's (CSE's) Fitness Assessment and Sports Injury Centre (FASIC).

This service will provide employees with quicker access to physiotherapy services than is normally available on the NHS, which will help employees to return to work more quickly. Access to this service will be via a referral from the Occupational Health Unit.

11. CHANGES TO ACCIDENT AND INCIDENT REPORTING REQUIREMENTS (RIDDOR REGULATIONS)

The updated version of the Reporting of Injuries, Diseases and Dangerous Occurrences Regulations (RIDDOR) came into effect on 1st October. The main changes include (a) fewer injuries which are reportable to the Health and Safety Executive (HSE), (b) fewer reportable work related illnesses, and (c) fewer reportable dangerous occurrences.

These changes will appear to make our Reportable accident statistics more favourable compared to previous years. The Health and Safety Department have adopted a system to flag up the more serious non-Reportable events, to ensure they are fully investigated and that we continue to learn from such incidents.

12. SUSTAINABLE LABORATORIES

As part of the University's sustainability efforts, Estates and Buildings are working with Schools to consider ways of minimising energy usage across the University's estate. One area which has been identified as a large 'user' of energy is within general laboratories, where 8 air changes per hour is the industry standard.

A system has been installed with apparent success across a number of American Universities which has the potential to reduce laboratory ventilation usage, however, the technology is new to the U.K. and this type of system has been installed within a

very small number of organisations within the U.K. This type of system is not suitable for all laboratories.

This approach works by reducing the number of air changes per hour down from 8, to 2, and continuously samples and analyses the air within laboratories for a number of parameters, adjusting the laboratory's ventilation system automatically when appropriate.

This system undoubtedly has the potential to significantly reduce the energy costs associated with ventilation, within certain laboratories, although the impact on air quality and lab worker safety as a result of reducing the general number of air changes within labs from 8 down to 2, as standard, needs to be further considered. External expert advice will need to be sought on any decision to move away from the industry standard of 8 air changes per hour, if we were to implement this new technology. Another issue to be considered is that the use of laboratories can change quickly within the organisation. This type of technology is being carefully considered looking at the wider aspects and not concentrating solely on the energy savings.

13. INVESTORS IN PEOPLE

The Health and Safety Department underwent its three year Investors in People (IiP) review in August. This was a positive review and the Department's IiP status has been renewed, and upgraded to Bronze level.

14. E-CIGARETTES

The use of electronic cigarettes is becoming more prevalent and the University is keen to take a responsible approach to their use. The British Medical Association (BMA) believes the existing smoke-free legislation in place in the UK should be extended to include vapour from e-cigarettes. As an interim measure, the BMA recommend that e-cigarettes are prohibited in workplaces and public places, in order to (a) limit second-hand exposure to the vapour exhaled by the user ('passive vaping'), and (b) to ensure their use does not undermine smoking prevention and cessation by leading people to believe it is acceptable to smoke. The University's smoking policy will be updated in line with the BMA guidance on the use of e-cigarettes.

15. STRUCTURAL CHANGE WITHIN MVM

It is understood that the College of Medicine and Veterinary Medicine proposes to implement significant changes to the management structure within the College over the next few years, moving away from the traditional School model. These proposed changes seem likely to set it apart from the management structures within the Colleges of Science and Engineering and Humanities and Social Science.

Implications for the University Health and Safety Policy, key parts of which concentrate on the role and responsibilities of Heads of School, need to be borne in mind during the change process.

Alastair Reid
Director of Health and Safety
8th January 2014

Accidents & Incidents

Quarterly period: 01/10/2013 – 31/12/2013

Year to Date Period: 01/10/2013 – 31/12/2013

(First Quarter)

COLLEGE / GROUP	REPORTABLE (TO HSE) ACCIDENTS / INCIDENTS														TOTAL Non-Reportable Accidents / Incidents		TOTAL ACCIDENTS / INCIDENTS	
	Fatality		Specified Major Injury		>7 day injury		Public to Hospital		Dangerous Occurrences		Diseases		TOTAL Reportable Acc / Inc		Qtr	Ytd	Qtr	Ytd
	Qtr	Ytd	Qtr	Ytd	Qtr	Ytd	Qtr	Ytd	Qtr	Ytd	Qtr	Ytd	Qtr	Ytd				
Humanities & Social Science	-	-	-	-	-	-	2	2	-	-	-	-	2	2	6	6	8	8
Science & Engineering	-	-	-	-	-	-	-	-	-	-	-	-	-	-	21	21	21	21
Medicine & Veterinary Med.	-	-	-	-	-	-	-	-	-	-	1	1	1	1	21	21	22	22
SASG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4	4	4	4
Corporate Services Group	-	-	-	-	1	1	-	-	-	-	-	-	1	1	32	32	33	33
ISG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2	2	2	2
Other Units	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
UNIVERSITY	-	-	-	-	1	1	2	2	-	-	1	1	4	4	86	86	90	90

* Units noted below taken from organisational hierarchy report 03/08/12 - <http://www.ed.ac.uk/schools-departments/governance-strategic-planning/organisational-hierarchy/current-org-hierarchy>

SASG: Student and Academic Services Group: Biological Services, Communications and Marketing, Development and Alumni, Governance and Strategic Planning, Student and Academic Services, Student Recruitment and Admissions, Student Services, International Office

ISG: Information Services Group: Applications, Digital Curation Centre, EDINA & Data Library, Information Services Corporate, Infrastructure, Library and Collections, User Services Division

CSG: Corporate Services Group: Accommodation Services, Centre for sport and Exercise, Corporate Services Group, Edinburgh Research and Innovation, Edinburgh University Press, Estates and Buildings, Finance, Human Resources, Internal Audit, Procurement Office (inc. Printing Services), Health & Safety

Other: Students Association, Sports Union, Talbot Rice Gallery, Associated Institutions.

NB Reporting requirements for absence from work after an accident changed on 6th April 2012 to >7 day absence

C1.2

The University of Edinburgh

The University Court

17 February 2014

Report of the Finance and General Purposes Committee (Report on Other Items)

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

This paper reports on the meeting of the Finance and General Purposes Committee held on 3 February 2014 covering items other than the CMG report. Detailed papers not included in the appendices are available at:

<https://www.wiki.ed.ac.uk/display/UCC/Finance+and+General+Purposes+Committee>

Action requested

The Court is invited to approve the funding associated with the Easter Bush Innovation Centre Building at item 12 and note the remaining items with comments as it considers appropriate particularly the US GAAP Accounts at item 1.

Resource implications

If applicable, as noted in the report.

Risk Assessment

Where applicable, risk is covered in the report.

Equality and Diversity

No implications.

Freedom of Information

Can this paper be included in open business? Yes

Except for items 3-10

Its disclosure would substantially prejudice the commercial interests of any person or organisation

Originator of the paper

Dr Katherine Novosel
February 2014

University Court, Meeting on 17 February 2014
Report of the Finance and General Purposes Committee
3 February 2014
(Report on Other Items)

1 US GAAP ACCOUNTS - UPDATE

Appendix 1

It was noted that the US GAAP Accounts had been approved by a Su-Group of court on 27 January 2014 and that the Accounts and required associated documentation had been submitted to USDE by the deadline of 31 January 2014. The Committee further noted and was content with that the University's treatment of the Holyrood development.

2 SUMMARY RESEARCH AND COMMERCIALISATION REPORT FOR 5 MONTHS TO END OF DECEMBER 2013

Appendix 2

The satisfactory position in respect of research and commercialisation activities was note. There was discussion around the opportunities to secure funding from the EU Government particularly now that Horizon 2020 had been launched and it was confirmed that actions were being taken.



THE UNIVERSITY of EDINBURGH
US GAAP Management
Commentary and US GAAP
Financial Statements
for the year to 31 July 2013





Contents

Section 1

Management Commentary including extracts from the UK GAAP accounts of the following reports -

- Principal's Report
- Strategy and Value Model
- Sustainability and Social Responsibility
- Corporate Governance.

The full UK GAAP Annual Report and Accounts is available at www.ed.ac.uk/schools-departments/finance.

Section 2

Consolidated Financial Statements prepared under US GAAP to 31 July 2013, with Independent Auditors Report.

Principal's report

93 per cent of our UK/EU graduates are in employment six months after graduating.

Introduction

The past year has been an eventful and successful one. It has seen a refresh of the University's vision and strategy; continued investment in the student experience; continued success in research and commercialisation; significant investment in our estate and strong support from our alumni and partners. A strong financial performance and a comprehensive review of our finance strategy places the University in a financially sustainable position.

We were of course delighted this autumn to see the University improve its position in the QS World University Rankings, to joint 17th, and to join the world-wide congratulation of Professor Peter Higgs on the award of a Nobel Prize for Physics.

Excellence in education

Excellence in education encompasses our ambitions for students at all levels, whether they study on campus or off, and whether they study on a full-time, part-time, short-course or online basis. Student numbers continue to grow and it is pleasing to see that they have exceeded 32,000 for the first time.

Our merger with Edinburgh College of Art (ECA), completed in 2011, continues to bear fruit with ECA sweeping the board at this year's Graduate Fashion Week. Most notably, student Lauren Smith won the George Gold Award for best collection, while ECA alumni Will Anderson and Ainslie Henderson won a BAFTA for Best Short Animation. Elsewhere, in the College of Humanities and Social Science, we celebrated 250 years of English literature study at the University and to mark it we made a special award for the Best of the James Tait Black. The best of the best award for Britain's oldest literary prize, which has been run by the University since 1919, went to Angela Carter for her novel *Nights At The Circus*.

The past year has also seen the launch of the University's highly successful MOOCs (Massive Open Online Courses). Edinburgh was the first UK University to join the partnership with US-based Coursera to deliver these short, online learning programmes free of charge, attracting more than 300,000 online learners from 200 countries, who engaged with subjects as diverse as philosophy and equine nutrition. The University has recently announced a new partnership to create more MOOCs with the UK platform FutureLearn.

The College of Medicine and Veterinary Medicine has been successful in developing online distance learning courses and have 21 online programmes. These online students become part of a supportive online community, bringing together students and tutors from all over the world. New online programmes are targeted at surgeons in urology, trauma and orthopaedics and at dental clinicians and vets.

A modern, efficient and stimulating working and learning environment is of course vital to sustain

world-class academic and professional services. High-quality infrastructure is key to enabling us to achieve this. Following seven phases over six years, costing £58 million, the Main Library has been substantially redeveloped, preserving the best of the Sir Basil Spence A-listed building, but transforming it for the 21st century. Also in George Square, the refurbishment of the David Hume Tower will provide a contemporary teaching hub for the Central Area campus.

Excellence in research

The preparation of our submission to the Research Excellence Framework has provided the opportunity to reflect on the vitality, breadth and impact of our research activities.

Our new Muir Maxwell Epilepsy Centre was opened by HRH The Princess Royal and will provide new hope for children suffering from the condition, while academics working across a range of disciplines have made pioneering breakthroughs. The School of Chemistry's Professor Mark Bradley has developed artificial bone using stem cells, which could soon be used to heal shattered limbs; cancer patients are set to benefit from work led by Professor Alan Murray in the School of Engineering to develop tiny sensory implants to monitor tumours. The quality of our world-leading research was recognised by a significant award of almost £60 million, for the University's MRC Human Genetics Unit and the Institute of Genetics and Molecular Medicine, to help scientists gain fresh insights into cancer and inherited disorders.

Investment in our people and infrastructure is essential as we continue to advance the frontiers of research. Our new People Strategy sets out a forward looking, ambitious people agenda which will further advance the University's global standing and reinforce our reputation as an employer of choice. The People Strategy is securely founded in our Vision "To recruit and develop the world's most promising students and most outstanding staff and be a truly global university benefitting society as a whole".

The Global Excellence Initiative, supported by Scottish Government, underpinned the recruitment of an additional 77 Chancellor's Fellows; young tenure-track researchers with the potential to be world leaders in their fields.

A £12 million extension to the University's advanced computer facility was completed, enhancing the business and research opportunities for the University's super computer facility. The Anne Rowling Regenerative Neurology Clinic, a state-of-the-art research clinic, made possible by a very generous donation, was completed. Work at the Clinic will provide insight into a number of degenerative neurological conditions, including Multiple Sclerosis and Motor Neurone Disease. At the Western General Hospital, work has started on the Systems Medicine Project which will create a new research laboratory for the Institute of Genetics and Molecular

21%

The return on the University's endowment in the financial year 2012/13 was 21 per cent with a year-end market value of the fund of £284 million.

Medicine. The Easter Bush Campus provides the focus for a series of exciting projects supported by co-funding from the Scottish Government, the Biotechnology and Biological Sciences Research Consortium (BBSRC), philanthropic contributions, and the University. More than £80 million will be invested in research facilities, learning spaces and energy management in the next five years. One early success in this development was the opening of the National Avian Research Facility in September 2013.

Excellence in innovation

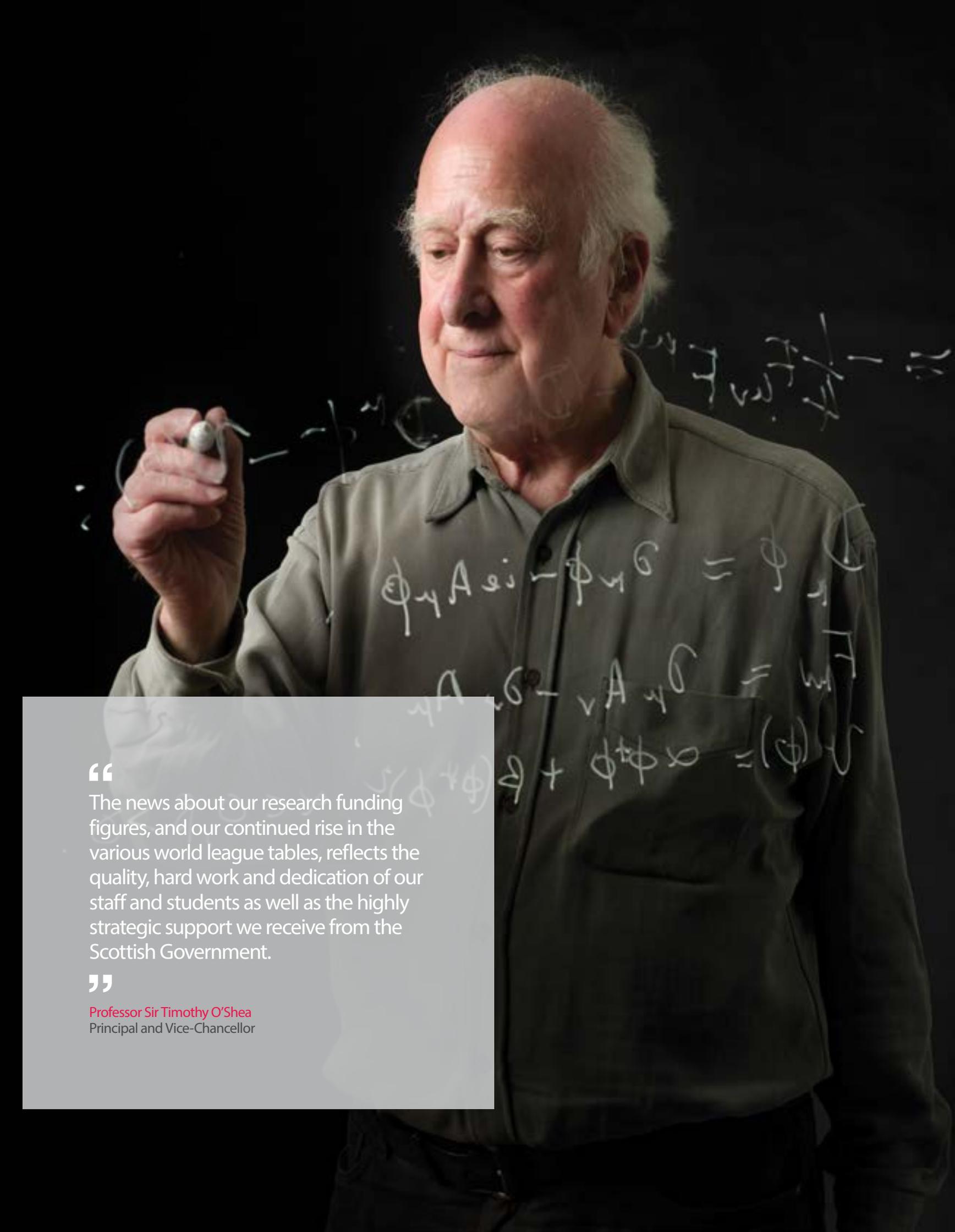
The University's commercialisation and knowledge transfer activities continue to make a very positive impact on the economy. The University created 35 new companies in the period to July 2013 and has completed 50 commercial licenses for University technologies in the same period.

20%

The value of the University's research awards received in 2012/13 was 20 per cent higher than the previous year.

15%

The value of the University's research applications in financial year 2012/13 was 15 per cent higher than the previous year.



“

The news about our research funding figures, and our continued rise in the various world league tables, reflects the quality, hard work and dedication of our staff and students as well as the highly strategic support we receive from the Scottish Government.

”

Professor Sir Timothy O'Shea
Principal and Vice-Chancellor

Principal's report

(continued)

The support provided by the student-focused Launch.ed programme has continued its success. Launch.ed won the 2012 Santander University Entrepreneurship and the Scottish Institute for Enterprise New Ventures Awards.

Edinburgh Research and Innovation, the University's research and commercialisation arm, won the inaugural BBSRC Accelerating Impact Award to pilot a new knowledge-exchange initiative that will increase the commercial impact of bioscience research at Edinburgh.

The world's first "sea simulator", capable of reproducing tidal currents as well as waves, is on schedule to be operational in 2014. The All-Waters Combined Current and Wave Test Facility will be able to test generators powered by tides and waves in realistic ocean conditions and on an unprecedented scale – helping the University strengthen its position as a global centre for marine energy expertise. This world-class research facility, Flowave TT, has been built at King's Buildings. It comprises a circular 30-metre diameter pool, able to simulate combinations of waves and currents at between 1/40th and 1/10th scale for normal, challenging and extreme conditions of coastlines anywhere around the UK and Europe. It will be available for academic and industry research and also has applications for testing of devices and designs within the offshore wind, marine operations and general offshore industry sectors.

As we continue to strive to deliver our strategic objectives of Excellence in education, Excellence in research and Excellence in innovation; a number of key themes focus and direct our work. They provide clarity on our strategic direction but are also the articulation of the University's values and distinctive offering to our students, colleagues, alumni and partners.

The student experience

The University's Student Experience Project focuses on improving student feedback, communications, knowledge sharing and providing Personal Tutors and Junior Teaching Fellows. It will take time for the full effects of this programme to yield results, but the framework to enhance support to students across the University is being put in place. In this context the results of the National Student Survey are slightly disappointing; being broadly similar to those in 2012. Overall satisfaction was 82 per cent.

Our new system of Personal Tutors, supported by £4 million of investment, is now up and running, as is the Peer Support System, on which we have worked jointly with colleagues from EUSA (Edinburgh University Students' Association). It is a scheme whereby senior students can assist junior students with study. Our new Student Information Points have also been opened to good effect and will gradually expand their services.

The Careers Service continues to enhance programmes which provide students with opportunities to develop and enhance their employability skills. The Careers Service has developed a successful summer internship scheme in collaboration with various University departments.

It advertised more than 11,000 jobs online in 2012/13 and continues to build upon links and long-term relationships with key employers. It is currently developing the range of fairs which allow employers to engage with students and is building an 'on-campus' presence. It continues to work closely with employers, arranging events for students throughout the year.

As a result, the University continues to be very successful in producing graduates with the skills and capabilities desired by employers across the globe. The Destination of Leavers from Higher Education (DLHE) Survey results show that 93 per cent of our UK/EU graduates (first degree, full-time course) are in employment six months after graduating. This figure remains higher than the UK and Scottish averages.

The University's School of Chemistry celebrated its illustrious 300-year history with a programme of events and activities. Teaching of chemistry began in 1713 with the appointment of Professor James Crawford to the Chair of Physic and Chemistry. Today, the School continues to demonstrate leadership. It was the University's first Athena Swan Bronze Award winner in 2006 and now holds a Gold Award; the first in Scotland. With three other Schools holding the Silver Award (Biomedical Sciences, Informatics and Biological Sciences) and five more achieving the Athena Swan Bronze Award the University is well on the way towards achieving the institutional Silver Award.

The University is making a significant infrastructure investment in student accommodation in the city at Deaconess House and Holyrood. Over the next few years, Deaconess House will provide more than 300 bedrooms and Holyrood will deliver nearly 1,200 new bedrooms for students. As part of the Holyrood development an outreach centre for postgraduate international students will be developed to enhance the student experience in Edinburgh.

Global impact

The University is a truly international university, firmly rooted in Scotland and our success in attracting exceptional staff and students to Edinburgh continues. Around 39 per cent of the student body now come from the EU or overseas and the number of overseas applicants for staff roles at the University continues to increase. The successful retention of our UK Border Agency (UKBA) Sponsorship Licence, and accreditation as a Premium Service Client, the highest UKBA rating, reflects the priority and attention devoted to ensuring our students are supported throughout their time in Edinburgh. Working in parallel, our ground-breaking Global Academies and online distance education programmes are engaging and attracting many students outside the UK.

£350m

The University has a current live programme of capital projects valued at £350 million, 35 per cent of which are in progress and 65 per cent are at design stage.

Elsewhere on the international stage we have opened our first Office of the Americas, based in Brazil, to build on our relationships in Latin America. In India we opened the India Institute to boost Scotland's cultural, business and academic ties with the subcontinent.

A dedicated pilot relocation service and dual career system for international staff have been designed to facilitate a smooth transition for new staff settling into their new life and work, with a plan to roll out a more extensive service in the coming year 2013/14. A number of key international appointments have already been secured through this service. The University continues to be successful in attracting international research grant funding and EU research grants.

35:50

The University created 35 new companies and completed 50 commercial licences in 2012/13.

Lifelong community

In August 2012, London took centre stage as it hosted the Olympics and it was gratifying to witness world-beating performances from University alumni such as Sir Chris Hoy and Katherine Grainger.

In the same month we were delighted to present an honorary degree to the Brazilian footballing legend Pele, in recognition of his contribution to humanitarian and environmental causes, as well as his sporting achievements.

August is festival season in Edinburgh which sees the University renewing and refreshing our year-round engagement with Edinburgh residents and visitors as we welcome more than a million Fringe attendees to events across the University.

Alumni relations are an important part of the University and the financial contribution alumni make is managed by the Development Trust. In 2012/13 the University received the second highest level of cash donations, £13.2 million. The number of donations has increased by 14 per cent on last year and more than £86 million has been donated since 2006.

Below we have highlighted some of the major gifts from donors who did not request anonymity.

- The University's Medical School continues to attract major gift income. The Row Fogo Charitable Trust contributed more than £100,000 towards equipment for brain imaging research.
- The Dr Mortimer and Theresa Sackler 1988 Foundation donated a further £450,000 towards the Sackler Centre for Psychobiological Research.
- Autism and Fragile X Research benefitted from a donation of £50,000 from Alfred Wild, as well as the completion of a £1 million pledge from the Shirley Foundation, with the payment of £650,000, both of which will be used in the Patrick Wild Centre.
- The Marchig Animal Welfare Trust continued its funding of the Royal (Dick) School of Veterinary Medicine with a further donation of £600,000.
- The Wolfson Foundation continued its long-term relationship with the University with a donation of £1 million towards the Centre for Infectious Diseases, as well as support for postgraduate scholarships in the College of Humanities and Social Science.
- Scottish Power continued its long running support for the Scottish Chair in Carbon Capture and Storage with a donation of £140,000.
- The Rushbrook Lectureship in Fire Investigation was established by a very generous donation from Dr Frank Rushbrook.
- The numbers of alumni donating to The Edinburgh Fund continue to rise.

Social responsibility

In January 2013, the University became the first in Europe and the second in the world to sign up to the United Nations Principles of Responsible Investment.

The University has achieved an upper-second-class award in the People & Planet Green League 2013 and came 46th in the rankings, third among Scottish Universities and fifth among Russell Group institutions. The hard work and innovation of nearly 30 departments was celebrated at the 2013 Edinburgh Sustainability Awards in April. A new guide was published to help staff make their working practices more sustainable. The University's first Director of Social Responsibility was appointed in June 2013.

The University also became the first Scottish university to win a Food for Life Bronze Award when the John McIntyre Centre at Pollock Halls achieved a Food for Life Bronze Catering Mark.

Partnership

Partnership, collaboration and interdisciplinary working characterise world-class teaching, research and innovation. We continue to deepen our established relationships with NHS Scotland, research-pooling partners, and other local institutions and businesses, as well as developing new international and national strategic collaborations. Our submission to the Research Excellence Framework in November 2013 included collaborative submissions with Heriot-Watt University, Scotland's Rural College and the University of St Andrews.

In July, we announced a new international partnership to boost understanding of Multiple Sclerosis and Motor Neurone Disease. Experts from the University and the Massachusetts-based biotechnology company Biogen Idec will work together to seek greater insight into the cell processes behind these debilitating conditions. The three-year collaboration will combine the University's expertise in translational medicine – which develops laboratory discoveries into treatments for patients – with Biogen Idec's strength in drug discovery and development.

The Edinburgh Centre for Carbon Innovation (ECCI) is hosted by the University of Edinburgh, in partnership with Heriot-Watt University and Edinburgh Napier University. The Centre brings together experts in law, business, technology and policymaking. Experts will seek to establish the ECCI as a forum through which academics and other professionals can work with businesses and local government to help develop a low-carbon society.

Equality and widening participation

Equality, inclusion and diversity are fundamental principles for the University community. This means that we aim to admit the very best students, regardless of their backgrounds, and invest significantly in both

our outreach work, broadening the base of our applicant pool, and in fully supporting our students in their learning and career planning.

During 2012/13, more than 1,000 undergraduates from low-income families received a share of £2.5 million in bursary payments. This represents an increase of £1.7 million on the previous year, and includes the introduction of the Edinburgh Rest of UK (RUK) Bursary, designed specifically for students from England, Wales and Northern Ireland. In addition, the University has launched the Scotland Accommodation Bursary which will assist Scotland-domiciled students with living costs during their undergraduate study. This new bursary scheme reflects the University's commitment to widening access to Scotland-domiciled students.

We have also announced the creation of the Eric Liddell China Saltire Scholarships to enable students to study in China and to further links with this important global region.

This year also saw the introduction of a new Equality Impact Policy, to ensure that the University exemplifies best practice with respect to its governance, leadership and management of equality and diversity issues. A key priority is to ensure that the principles of pay parity and equity are evident in our policy and practice, through our equal pay audits and review processes, as these are making a positive impact on gender pay differentials.

Future

Investment in our staff, students and infrastructure places us in a strong position to grow and diversify income, to continue enhancing the student experience, and to be strong contenders in an increasingly competitive international research arena.

The University continues to have a robust financial outlook with income reaching record levels of £738 million, backed by strong reserves. The Financial review, on page 14, demonstrates that the University continues to be in good financial health. A positive cash position gives it the confidence to embark on major improvement to the estate that will deliver our 2025 Estates Vision.

The successes of 2012/13 are due to the commitment and diligence of our staff, students and supporters, for which I am immensely grateful.

Professor Sir Timothy O'Shea
Principal and Vice-Chancellor

Strategy and value model

Our mission

The mission of the University is the creation, dissemination and curation of knowledge. As a world-leading centre of academic excellence we aim to:

- enhance our position as one of the world's leading research and teaching universities and to measure our performance against the highest international standards;
- provide the highest quality learning and teaching environment for the greater wellbeing of our students, and deliver an outstanding educational portfolio;
- produce graduates fully equipped to achieve the highest personal and professional standards;
- make a significant, sustainable and socially responsible contribution to Scotland, the UK and the world, promoting health, economic growth and cultural wellbeing.

Our value model

The University generates value for society through its relationships with students, research funders, businesses, the health service, governments, alumni, benefactors and communities.

The modern University of Edinburgh has an established identity reflecting more than four centuries of success in conducting research and educating its undergraduate and postgraduate students to high levels of attainment. Through its activities it has made a substantial contribution to the social and cultural enterprise and to the health of societies across the world. It aims to continue contributing to finding ways of addressing and resolving the major global challenges as well as being an active contributor to Scottish and UK economies. Our mission and identity attracts collaborators, partners and philanthropic investors who share our ambitions and goals.

The academic work of the University can be summarised as the creation, dissemination and curation of knowledge and is comprised of three interdependent streams of activity: research, teaching and commercialisation.

The value derived from these activities is expressed in our impact on societal needs and is reflected in our reputation, research awards and by student demand.

Research

Successful, sustainable research requires a critical mass of human insight and excellent physical infrastructure at its core to provide the platform for dynamism and impact.

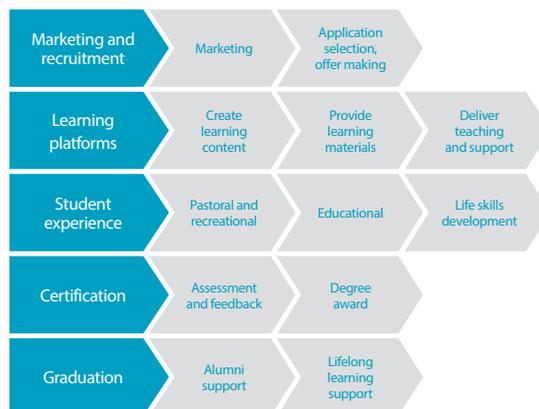
Research funding is secured through the dual funding mechanism from UK and Scottish Governments (Research Councils and Research Excellence Grant) and from competitive industry, public and charitable sources in the UK and internationally.

The dual funding mechanism directly reflects the independent assessment (on a six to seven year cycle) of research quality and impact led by the four UK higher education funding bodies. The sustainability of the research value model depends on the volume, diversity and quality of the academic staff the University attracts and the volume, quality and relevance of the impact they generate. Research can be conducted by individuals or teams but is increasingly characterised by interdisciplinary, multi-institution collaborations and partnerships with companies and industry.



Teaching

Delivering value in our teaching model requires that we communicate our distinctive offer to students and recruit those who will most benefit from learning within our research and practice rich programmes. Those programmes depend on the creation and provision of effective learning materials, the provision of learning platforms (physical and virtual online), and the delivery of inspiring teaching and informative assessment. The wider student experience (feedback, interactive teaching and learning support, physical learning and living environment and experiences, personal development) impacts positively on the ability of the student to maximise the benefits of the learning experience. Income is generated through government grants, tuition fees, contracts and through the generous donations of alumni and philanthropists.



Commercialisation

Edinburgh Research and Innovation is one of the UK leaders in the successful commercialisation of the intellectual property generated from the University's world-class research, through licensing technologies to existing companies and new University spin-outs.

BiGGAR Economics has estimated that the combined impact of the University's licencing activity and new company formation supports approximately 3,600 jobs around the world, including 2,400 in the Scottish economy. Of the 262 spin-out and start-up companies formed at the University since the 1960s, a remarkable 81 per cent of these companies remain active today, generating more than £158 million to the global economy and employing in excess of 2,700 staff in industries such as electronics, bio-technology, engineering and tourism. Research activity is also translated into economic activity through licensing agreements between the University and industry. The University of Edinburgh holds licence agreements with 71 different companies and organisations for technologies around the world. In 2010/11, the total value of licence income and royalties was £3 million.



Our marketplace

Consistent quality

"Many of our recent successes in Scotland have been based on a collaborative approach that has been evident through both the development of the research pools and the creation of Innovation Centres. These have relied on both our universities and our key business sectors working together to advance thinking and ensure that our research translates into social and economic benefits."

Michael Russell MSP
Cabinet Secretary for Education and Lifelong Learning,
writing in the University's Infinite Magazine
www.infinitemagazine.info

Strategy and value model

(continued)



Strategic plan

The University's Strategic Plan 2012–16 was published on 3rd September 2012 and can be found at www.ed.ac.uk/schools-departments/governance-strategic-planning/strategic-planning.

Key targets are set out below with our latest assessment of progress presented as amber (more work required), green (on track). There are no red areas.

The realisation of our strategic goals is enabled by exceptional people, high-quality physical infrastructure and financial sustainability.

↑ Increasing
 ↓ Decreasing
 ↔ Maintaining
 N/A Not available

Strategic goal	KPIs	Status	Targets	Amber/green status
Excellence in education	Proportion of leavers achieving a successful outcome (degree, transfer or other award)	↔	Increase student satisfaction with academic and pastoral support Increase student satisfaction with the opportunities and support for developing their graduate attributes and employability	● ●
Excellence in research	Russell Group market share of research income (spend) (2011/12 data)	↑	Increase our average number of PhD students per member of academic staff to at least 2.5 Increase our score (relative to the highest scoring institution) for the citations-based measure in the Times Higher Education (THE) World University Rankings to at least 94/100	● ●
Excellence in innovation	Knowledge exchange metrics: number of disclosures, patents, licenses and new company formations	↔	Achieve at least 200 public policy impacts per annum Increase our economic impact, measured by Gross Value Added (GVA), by at least 8%	● N/A

Enablers	KPIs	Status	Targets	Amber/green status
People	Proportion of staff who have had an annual review within the previous year, incorporating the identification of objectives and development needs	↑	Achieve the institutional Athena SWAN Silver award Increase our number of international applications for academic posts	● ●
Infrastructure	Total income per square metre of gross internal area	↑	Increase the proportion of our building condition at grades A and B on a year-on-year basis, aiming for at least 90% by 2020 (based on 2011/12 data) Increase student satisfaction with learning resources (library, information technology, study space and equipment) to at least 85%	● ●
Finance	Operating surplus as % of turnover	↑	Increase our total income per staff FTE, aiming for an increase of at least 10% in real terms Increase our Return on Capital Employed (ROCE)	● ●

Strategic Themes	KPIs	Status	Targets	Amber/green status
Outstanding student experience	Proportion of graduates (undergraduate and postgraduate) in graduate-level employment or further study (2011/12 data)	↑ Undergraduate ↓ Postgraduate Taught ↔ Postgraduate Research	Increase the level of overall satisfaction expressed in responses to the National Student Survey (NSS), Postgraduate Taught Experience Survey (PTES) and Postgraduate Research Experience Survey (PRES) to at least 88% Increase the number of our students who have achieved the Edinburgh Award to at least 500 Create at least 800 new opportunities for our students to gain an international experience as part of their Edinburgh degree	● ● ●
Global impact	Proportion of international students from beyond our five most well-represented countries	↑	Increase our headcount of non-EU international students by at least 2,000 Increase our research grant income from EU and other overseas sources so that we enter the Russell Group upper quartile(2011/12 data) Increase our number of masters students on programmes established through our Global Academies by at least 500	● ● ●
Lifelong community	Physical and virtual footfall (virtual footfall data only)	↑	Increase the number of active alumni engagements with the University via the Alumni Services website, social media and e-newsletters	●
Social responsibility	Carbon emissions per £ million turnover	↔	Reduce absolute CO ₂ emissions by 29% by 2020, against a 2007 baseline (interim target of 20% savings by 2015)	●
Partnerships	Number and proportion of our research publications which are internationally co-authored	↑	Increase our number of PhD students on programmes jointly awarded with international partners by at least 50%	●
Equality and widening participation	Undergraduate entrants from under-represented groups	↑ Widening Participation ↓ Ethnicity ↔ Disability	Staff Increase the proportion of female academic staff appointed and promoted to lecturer, senior lecturer, reader and professor levels Reduce the gender pay gap for University staff Students Converge on our participation benchmarks for under-represented groups (2011/12 data)	● ● ●

Our structure

The University is organised into three Colleges, three Support Groups and a Corporate Group which includes 13 subsidiary companies.

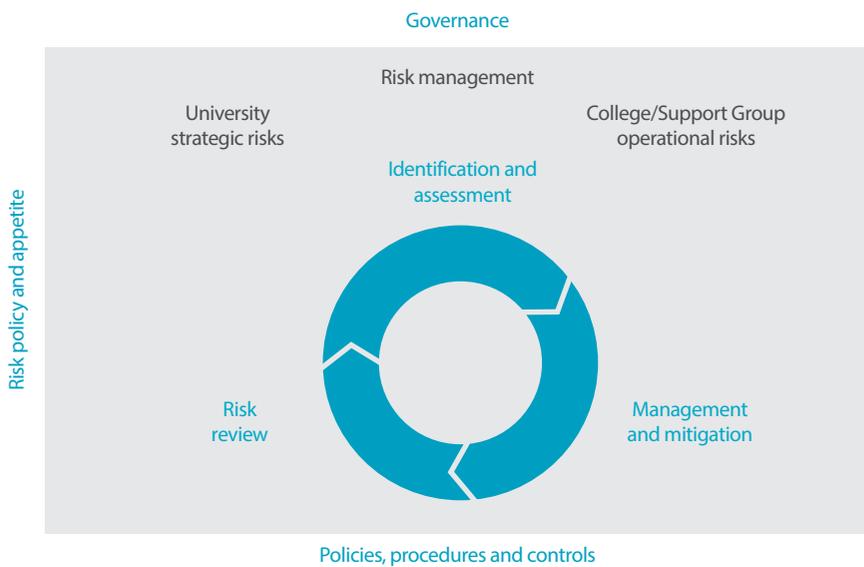
2012/13	Staff numbers	Student numbers
College of Medicine & Veterinary Medicine	2,594	4,818
College of Science & Engineering	2,966	7,806
College of Humanities & Social Science	3,508	20,244
Student and Academic Services Group	703	–
Corporate Services Group	2,110	–
Information Services Group	679	–
Corporate Group	–	–

Understanding our risks

Pushing the boundaries of knowledge, innovating, and implementing strategic developments will always have risks. Effective risk management increases the probability of successful outcomes, while protecting the reputation and sustainability of the University.

Risk management

The University’s risk management is undertaken within a framework comprising governance, a defined risk policy, assurance processes and an underlying policy and control environment.



Process

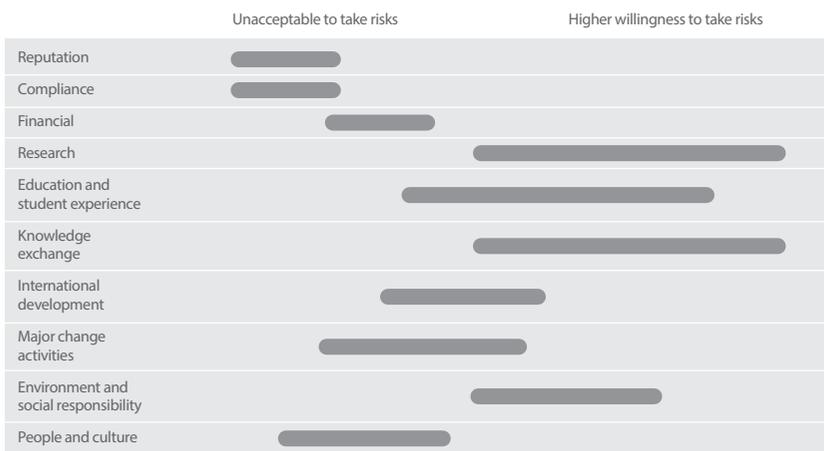
The University operates processes for the identification, evaluation and management of significant risks that threaten achievement of its strategic objectives. It records them in the University Risk Register. The Risk Management Committee review emerging and changing risks, as appropriate and informs the University’s Central Management Group, its Audit Committee, and its Finance and General Purposes Committee.

The University Risk Register is formally reviewed and updated annually by each of these Committees and approved by Court. The most recent update was approved at the Court meeting on 24 June 2013 and aligns to the University Strategic Plan 2012-16.

The management of each of the risks in the University Risk Register is formally assessed by the risk owner at least once each year and reviewed by the Risk Management Committee. The Risk Management Committee satisfies itself that the risks are being actively managed, with the appropriate strategies in place to work effectively. College, Support Group and subsidiary company risk registers ensure key operational risks are identified and managed by the relevant sub-organisation within the University.

All major projects have individual risk registers and risk assessment is incorporated into planning and decision-making processes. Risk assessment training and awareness is promoted through the management structure.

The University’s appetite for risk



Risk area	Description	Risk management
Core activities		
Student experience	The quality of the student experience relates directly to the University's reputation and its student recruitment activities.	The University's Quality Assurance Committee oversees the learning and student services delivery and implements any Enhancement-Led Institutional Review recommendations. The cross-departmental implementation of projects to enhance student support, and the introduction of Personal Tutors is mitigating this risk. The Estates Plan will improve study and social spaces.
Research awards	Loss of research awards would risk reputation and slippage in league tables as well as causing financial detriment.	The University's Research Policy Group promotes and engages with activities relating to embedding and sustaining research excellence and building knowledge of the forthcoming European Horizon 2020 programmes, Edinburgh Research and Innovation has provided enhanced support to promote and enhance commercial engagement.
2014 Research Excellence Framework (REF) assessment	Failure to uphold our research standing could put the University's reputation at risk. It could mean loss of funding and lead to an inability to recruit and retain staff and students.	These risks are actively managed by the University's Research Policy Group, which oversees preparation for the REF assessment. Specific risk mitigation involved significant additional resource being deployed to focus on REF quality assurance.
Technology and systems developments	The loss of significant infrastructure may result in failure to provide the functionality, services and business information expected of a global university. Any major disruption to research, teaching and learning, student administration or finance could potentially have a material impact on the University's reputation.	The University mitigates these risks through the operation of the Knowledge Strategy Committee and the Information Technology Committee. The University plans to implement new projects and review financial systems on a rigorous planned basis involving a broad range of IS staff and users.
Maintenance, enhancement and investment in the estate	Inadequate working and study space would risk the University's achievement of institutional growth targets, including its ability to recruit and retain staff and win awards for hosting prestigious activities and centres. There would also be cost risks and risks of legal non-compliance.	<p>The University's Estates Committee and Space Management Group mitigate these risks by prioritisation and project processes; capital programme development and project management processes; an annual compliance review; on-going building inspections, physical condition and compliance surveys, fire risk assessments; decision making for major projects is taken in line with RIBA guidance; active interaction with Edinburgh City Council Planning Department and the local community. College estate planning also mitigates risk.</p> <p>The University's fundraising activities provide financial support for some new developments, and the University targets a surplus of 5% of total income to support increased investment.</p> <p>The University has a 2010/20 estates plan in place and is developing it's 2025 vision.</p>

Understanding our risks

(continued)

Risk area	Description	Risk management
Higher education environment		
Retain or attract sufficient key staff	Failure to retain and attract key staff may result in targets for research quality assessment not being met, loss of academic leadership, and failure to meet teaching goals. This may result in loss of funding and reputational loss.	The University ensures it remains an attractive working environment. An annual review of academic staff (including salary) is undertaken. Flexible HR strategies have been implemented to meet the needs of different business areas. Establishment of a relocation support team and upgrading of support mechanisms have been developed. The University continues to improve its staff development activities. A review of grade profiles and academic promotions are underway.
Loss of sensitive data	The loss of sensitive data could result in significant reputational and financial loss to the University.	This risk is actively managed by continually undertaking resilience improvement programmes and infrastructure upgrades. Internal and external audit processes are regularly performed which include external penetration testing, applied to central and Schools/Colleges IT systems and procedures. The University plans further development of policies and guidance on data security, including data held on mobile computing or memory devices.
Government policy and legislation	Changes in governmental policy in matters related directly or indirectly to higher education can impact the University's activities.	The University promotes open debate of the issues related to changing the balance of powers between Westminster and Holyrood. It also provides responses both directly and through Universities Scotland, Universities UK and the Russell Group to governmental consultations related directly and indirectly to the higher education sector. The University is engaging actively in the recently published Scottish Code of Good HE Governance.
UK immigration policies and practice	Home Office and UKBA policies related to immigration have resulted in complex processes for institutional recruitment of staff and students. Failure to observe these would impact on international student entry and the richness of the mix of staff.	The University engages with UKBA through representative bodies to ensure the active management of issues arising. It has obtained UKBA Premium Customer Service status. A rolling audit of compliance across the University by an expert team is planned.

Social responsibility and sustainability report

The University continues to play a leading role in the Environmental Association of Universities and Colleges, contributing towards the development of a sector-wide reporting framework and providing expertise. www.eauc.org.uk/home

The University's Strategic Plan 2012/16 incorporates the strategic theme of social responsibility, with the aim of 'creating the conditions under which our students, staff and the wider community are inspired and supported to engage with and contribute to social responsibility and sustainability across the University and beyond.'

Reflecting the importance placed on delivering this strategic theme, in the last financial year, the University has established a new Department of Social Responsibility and Sustainability, to provide a holistic support service that will connect academic research and teaching with operational activities. Guidance will be provided to the new department through the governance structures of the University's Sustainability and Environmental Advisory Group (SEAG), which includes the Climate Action Plan Working Group and the Fair Trade Steering Group sub-committees.

In the year ending 31 July 2013, the University saw success in the areas detailed below.

External awards and recognition

The University continues its commitment to the promotion of women's academic careers and successfully renewed its Bronze Athena Swann Award.

www.ed.ac.uk/schools-departments/equality-diversity/innovation-development/athena-swan

The S-Lab Awards, which recognise laboratories for their sustainability, safety and success, recognised the University's efforts in these areas. The Scottish Centre for Regenerative Medicine (SCRM) won the New Laboratory Building Award, and the School of Chemistry's Chemical Management System won the Laboratory Environmental Improvement Award. www.ed.ac.uk/about/sustainability/news/latest?id=32--edinburgh-staff-s-labs-2013&cw_xml=/

In June the University won the Outstanding Procurement Team Award at *The Times* Higher Education Leadership and Management Awards. The judges were 'immensely impressed' by the high standard of achievement by the University's procurement team, which emerged as the 'clear winner'.

The People & Planet organisation awarded the University an upper second-class award in the Green League, highlighting progress in addressing ethical and environmental issues. The University gained full marks on ethical investment, fair trade and ethical procurement, environmental policy and environmental management staff.

www.ed.ac.uk/news/staff/green-league-120613

The University was the recipient of the Scotland's Healthy Working Lives Gold Level Award, for its encouragement of good health and wellbeing at work.

The University achieved the British Safety Council's International Safety Award with merit.

One of the University's First-Aid Trainers became Scotland's First-Aid Trainer of the Year 2012.

Working in collaboration with external bodies

University staff and Edinburgh University Student Association (EUSA) worked with Advanced Procurement for Universities and Colleges Ltd (APUC) and their equivalents from other institutions to develop a Supply Chain Sustainability Policy and Code of Conduct for use by APUC and universities and colleges in Scotland. This will be implemented for APUC-contracted suppliers and is commended as best practice in sustainable procurement.

In February 2013 the University was highly commended by the Minister for Energy, Enterprise and Tourism, Fergus Ewing, and the Carbon Trust Scotland at the Scottish Energy Environment Conference (SEEC) for its strong submission in the Carbon Trust Scotland's Public Sector Staff Awareness Campaign Award. www.carbontrust.com/news/2013/02/scottish-borders-council-wins-new-carbon-trust-scotland-public-sector-award

1k tonnes

A new combined heat and power plant for the University's estate will save more than 1,000 tonnes of CO₂ per annum.

88%

The percentage of University staff and students using low carbon transport.

Social responsibility and sustainability report

(continued)

The University's internal operations

The University remains committed to mainstreaming social responsibility and sustainability issues across its operational activities, from the design of new buildings to energy, waste and travel, to its decisions on procurement, investment and the accommodation and catering services it provides.

Energy and carbon

Continued growth in the size of the University and the intensity of use of its facilities makes reducing carbon emissions an ongoing challenge. However in line with our published Climate Action Plan we continue to pursue a range of investments. During the year, the University open its fourth Combined Heat and Power Plant, which serves the Central Area and Holyrood campuses. This project has enabled the University to reduce the draw on national utilities, saving in excess of 1,000 tonnes of CO₂ per annum, providing a low-carbon solution to the University's energy requirements. The installation represents one of the outcomes of our Climate Action Plan, and demonstrates progress towards our goal of 29 per cent carbon savings by 2020.

Travel

Our 2013 travel survey reveals that 88 per cent of staff and students use low-carbon transport for travel to work, by choosing to travel on foot, by bike or by public transport. Only seven per cent of staff and students drive a car without any passengers.

Funding has been secured for four publicly available electric vehicle-charging points, to be located across the University's estate, as part of a partnership of organisations in Edinburgh who are installing a network of 21 charging points across the city. The University is actively pursuing options to introduce electric vehicles to the University's fleet, following the introduction of these facilities.

Procurement

Our goal is to procure goods and services in ways that maximise efficiency and effectiveness while minimising social, environmental and other risks.

The University's Procurement Office is exploring a range of codes and standards relating to supply chain ethics, such as the APUC Policy and Code of Conduct, the Worker Rights Consortium and has endorsed the use of two Supported Business Frameworks that employ a large percentage of disabled staff.

www.ed.ac.uk/schools-departments/procurement/sustainableprocurement/sustainable-procurement

Fair trade

Our updated Fair Trade Policy was approved by University Court in June 2013. The last year also saw the University appoint its first Fair Trade Coordinator, reflecting its commitment towards promoting and embedding fair trade across the University community. The year also saw a 25 per cent increase of fair trade beverages used in the University's Accommodation Services, and a 2,500 per cent increase in the use of fair trade Malawian rice, the purchase of which supports the sponsorship of senior school education for 35 children in Malawi.

www.sps.ed.ac.uk/jwi/ftan

Accommodation Services

The University's Accommodation Services started their own apiary at Pollock Halls of Residence this year, to encourage the biodiversity of the local area and to raise awareness among students of the positive impact of beekeeping on flowering plants and fruit and seed production.

Equality and diversity

The University reviewed its Equality and Diversity Action Plan during 2012/13, and published equality outcomes and associated actions for 2013/17 in April 2013. The plan focuses on the most relevant and significant issues for the University to address, and details a host of action points that the University aims to achieve throughout the period.

www.docs.csg.ed.ac.uk/EqualityDiversity/Strategy.pdf

Over the last 12 months the University ran a range of high-profile events and initiatives to promote equality and diversity. These included the annual lecture series and other events for International Women's Day, events to support Black History Month, LGBT and disability awareness raising, as well as chaplaincy events including a religious literacy seminar. In addition the University became a Stonewall Diversity Champion, participated in Equality Challenge Unit programmes and developed a University-wide mentoring framework.

Health and safety

The University's Health and Safety Department has continued the promotion of health and wellbeing within the University community, and advised on matters of public health, for example relating to communicable diseases, which may affect the University's staff and students. Outreach into the Edinburgh community has taken various forms, including involvement in the health and safety aspects of successfully hosting the Edinburgh International Festival and Fringe, in liaison with colleagues in Edinburgh First and the University's Estates and Buildings Department. Our Occupational Health Service expanded its activities into rehabilitation input and occupational and study health surveillance for students.

Waste

The University's Waste and Environment Office has been rolling out shared recycling bins across the University estate. New posters were developed and trialled and subsequently specified on all new shared recycling bins. The designs are adjusted for different types of location and take advantage of known behaviour patterns to improve users' understanding of what sort of things are recyclable.

25%

The increase in the use of fair trade beverages used in the University's Accommodation Services.

Student activities

The student community is central to the University's delivery of ambitious social responsibility and sustainability aims. The University supports a range of student awards, projects and volunteering activities.

Awards

The efforts of departments across the University were recognised during the third year of the Edinburgh Sustainability Awards, which encourage staff and students to make their departments more sustainable and socially responsible. Student volunteers contributed more than 300 hours of their time to ensure the Edinburgh Sustainability Awards 2012/13 took place. Students were involved in every part of the process – from promoting the scheme to auditing individual departments.

www.ed.ac.uk/about/sustainability/what-we-do/awards

Projects

The University has supported the establishment of the SHRUB cooperative, a student-led project aiming to reduce waste, share skills and promote a smarter, more sustainable way of meeting peoples' material needs. The SHRUB cooperative aims to enable not only students but alumni, local residents and the wider community in Edinburgh, to take part in, and organise, re-use initiatives: redistributing those items that might be discarded, and challenging the prevailing culture of consumption and disposal.

www.shrubcoop.org

Hearty Squirrel, a student- and volunteer-run food cooperative at the University, has now obtained fixed premises through the support of the University's Estates and Buildings Department. Hearty Squirrel provides healthy, local, ethical, organic and fair trade food to students and staff, as well as providing a unique and informative experience for its volunteers.

www.heartysquirreledinburgh.weebly.com

10k

The University's Our Changing World lecture series has been attended by more than 10,000 people.

Research, teaching and outreach initiatives

Global Academies

The University continues to undertake world-leading research across a wide range of fields, positively influencing the outcomes of many of today's global challenges. The establishment in 2012/13 of the University's fourth Global Academy, the Global Academy of Justice, continues the University's policy of establishing networks of specialist academics, committed to addressing the world's most pressing and significant problems, across health, development, sustainability and now law and matters of justice.

www.ed.ac.uk/about/edinburgh-global/academies/home

A new MSc in Global Challenges was launched this year as a distance-learning programme. Taught entirely online, this part-time postgraduate degree is specifically designed so students can study global challenges while they work. Whether they are already employed in a related field and looking to broaden their expertise, or seeking to move into a new area, the University provides opportunities to bring their experience into their studies, and apply their new insights and skills to work while they study.

www.ed.ac.uk/about/edinburgh-global/msc-global-challenges

Learning for Sustainability Scotland

To advance learning in the area of sustainable development, the University supported a successful bid to establish a United Nations regional centre of expertise in Scotland. The Learning for Sustainability Scotland Centre will be based within the University and will promote, sustainable development across curriculums, while supporting research and education in this area.

www.learningforsustainabilityscotland.org

Our Changing World

The University's annual, high-profile, public lecture series, Our Changing World addresses global challenges facing society, and the role of science and academia in meeting them. The lectures have been attended by more than 10,000 members of the public, along with staff, students and alumni of the University. The lectures are made freely available on YouTube and iTunesU, and have featured on the iTunesU 'Noteworthy' page, with more than 600,000 downloads worldwide as well as 64,000 views on YouTube.

www.ed.ac.uk/news/2013/changing-world-120913

Fair Trade Academic Network

The University hosted the inaugural Scottish Fair Trade Nation Lecture in 2012/13 and launched the Fair Trade Academic Network, which aims to facilitate interdisciplinary academic research and provide critical perspectives on fair trade, to inform policy and practice.

Corporate governance statement

The University Court is responsible for the strategic development of the University; it is committed to the Nolan Principles regarding standards to be adopted in public life.

The University of Edinburgh is constituted by the Universities (Scotland) Acts 1858 to 1966. The Universities (Scotland) Acts make specific provision for three major bodies in the governance of the University – The Court, The Senate and The General Council.

The University Court, the University's governing body, is a body corporate, with perpetual succession and a common seal. The present powers of Court are defined in the Universities (Scotland) Act 1966 and include, inter alia, the amendment of the composition, powers and functions of bodies in the University and the creation of new bodies, the administration and management of the whole revenue and property of the University, internal arrangements of the University, staff appointments and, on the recommendation of Senate, the regulation of degrees, admission and discipline of students. It is responsible for ensuring that Senate has in place effective arrangements for academic quality assurance and enhancement. Court is responsible for the strategic development of the University, advised by the Principal in consultation with the Central Management Group.

The University Court is made up of 22 members. The Rector, who is elected by staff and students of the University, presides over meetings of Court. Court has a majority of lay members, including assessors appointed by the Chancellor and the City of Edinburgh Council and elected by the General Council. There are also assessors elected by members of Senate and by non-teaching/academic staff from among their number, and two fully matriculated student members nominated by the Students' Representative Council. The Principal is an ex-officio member and acts as the Chief Executive Officer of the University and is its Accountable Officer. Co-opted members of the Court and external members of the Court committees are appointed through a robust, transparent, open advertisement and interview process, based on a skills-matrix approach, managed by the Nominations Committee. In addition to the members of Court, a number of senior officers of the University regularly attend meetings: the Rector's Assessor, Senior Vice-Principal (External Engagement), Vice-Principal (Planning, Resources and Research Policy), Vice-Principal (Public Policy and Impact), the University Secretary, Heads of Colleges and Support Groups, the Deputy Secretary, Strategic Planning and Directors of Communications, Marketing and External Affairs, Estates and Buildings, Finance, and Human Resources.

Five members left Court at the end of the academic year 2011/12 and were succeeded by five new members, including two representatives from the Edinburgh University Students' Association. An induction event was held at the beginning of September, primarily for new members, but to which all members of Court were invited to attend. A mentoring scheme is also operated.

The Court is committed to the Nolan Principles regarding standards to be adopted in public life. It maintains a register of interests, of its members and senior University officers, which is publicly available for inspection. The current interests of members of Court are published on the University's website at: www.ed.ac.uk/schools-departments/governance-strategic-planning/governance/university-court/overview. On joining Court, members are required to sign a statement confirming that they will comply with the University's Code of Conduct which makes reference to the Nolan Principles and to the Register of Interests.

The Court met on six occasions during 2012/13 and considered any urgent matters requiring Court approval between meetings via electronic communication. Members were also kept informed by electronic means of any significant issues affecting the University. A Court seminar was held in September 2012 on future planning issues around aspects of sport at the University. The Court consults with the Senate and the General Council as required by statute.

The Court has taken full account of the Guide for Members of Governing Bodies issued by the Committee of University Chairmen in November 2004 and revised in March 2009. It notes that compliance with this guidance is not a formal requirement of the Scottish Funding Council, however, Court believes that in all significant respects its operations are compliant with this guidance. The Court has also considered the new Scottish Code of Good Higher Education Governance, published on 18 July 2013, and with effect from 1 August 2013, and has established a Sub-Group of Court to make recommendations on its implementation.

In June 2010 the Court approved a revised statement of its responsibilities, which it believes to be of a high standard. The statement and information on other governance arrangements within the University are available as part of the University's Freedom of Information publication scheme available at: www.ed.ac.uk/schools-departments/governance-strategic-planning/governance/university-governance/overview

The Court has overall responsibility for the University's strategic development. It is actively engaged in the University's strategic planning processes and in monitoring progress against the Strategic Plan. The Strategic Plan operative during 2012/13 was adopted by the University Court at its meeting on 2 July 2012 and covers the period 2012-2016. The strategic planning process is led by the Principal with support from the Vice-Principal Planning, Resources and Research Policy and the Deputy Secretary, Strategic Planning.

During 2012/13, as well as the more routine items, Court considered the following:

- approved documentation to further progress the Holyrood and the Deaconess estate developments;
- tuition fee levels for RUK-domiciled undergraduates commencing study in 2013/14;
- the Outcome Agreements with the Scottish Funding Council for 2012/13 and for 2013/14;
- a strategic alliance with Heriot-Watt University.

Court welcomed the introduction of a communication from the President of the Edinburgh University Students' Association, to each of its meetings, outlining activities and matters arising from the student body. Routine reports were also considered on widening participation and the student experience at each Court meeting.

The University's current Equality and Diversity Strategy which was developed in line with the University's Strategic Plan and legislative requirements was approved by the Court on 7 November 2011, replacing the previous Equality and Diversity Strategy and Action Plan and the various separate policies and codes in operation prior to the new integrated legislation.

The Court's committee structure

Court has established several committees including:

- Audit Committee;
- Estates Committee;
- Finance and General Purposes Committee;
- Health and Safety Committee;
- Investment Committee;
- Knowledge Strategy Committee;
- Nominations Committee;
- Remuneration Committee;
- Risk Management Committee;
- Staff Committee.

Each of these committees is formally constituted, with terms of reference including membership approved by Court, and conducts its business both through regular meetings and by electronic communication when appropriate. The University also has a Central Management Group whose membership includes all the major budget holders in the University and all the Vice-Principals who advise the Principal on senior management decisions, particularly on the allocation of budgets to Colleges and Support Groups. As part of the consideration of the new Scottish Code of Good Higher Education Governance there will be a review undertaken during 2013/14 of the function and activities of the current committee structure.

University Court		Meetings attended
Rector		
Presides at meetings of Court	Mr Peter McColl	6/6
The Principal and Vice-Chancellor	Professor Sir Timothy O'Shea	6/6
Chancellor's Assessor		
Nominated by Chancellor	Sheriff Principal Edward F Bowen	4/6
General Council Assessors		
Three assessors elected by General Council for terms of office of four years	Mr Alan Johnston	6/6
	Professor Ann M Smyth	5/6
	Mrs Margaret Tait	6/6
Senatus Academicus Assessors		
Four assessors elected by Senate for terms of office of four years	Dr Marialuisa Aliotta	3/6
	Professor Jake Ansell	6/6
	Professor David J Finnegan	6/6
	Professor Anthony Harmar	4/6
The City of Edinburgh Council Assessor		
One assessor nominated by The City of Edinburgh Council	The Rt Hon Donald Wilson	0/6

Corporate governance statement

(continued)

	Meetings attended
Co-opted members	
Eight appointed by Court for a term of office of three years including the Vice-Convener (similar to Chair of institution)	
Professor Stuart Monro (Vice-Convener)	6/6
Mr David Bentley	6/6
Dr Robert Black	5/6
Mr Peter Budd	2/6
Dr Chris Masters	4/6
Mr Les Matheson	4/6
Mrs Elaine Noad	4/6
Ms Anne Richards	4/6
Non-teaching staff assessor	
Elected by non-teaching staff for a period of four years	
Mr David Brook	2/6
Student members	
Annually nominated by the Edinburgh University Students' Association usually from among sabbatical officers	
Mr James McAsh, until 11 June 2013	5/5
Mr Andrew Burnie, until 11 June 2013	5/5
Mr Hugh Murdoch, from 12 June 2013	1/1
Ms Kirsty Haigh, from 12 June 2013	1/1

Audit Committee

The Audit Committee is responsible for reviewing the University's annual report and financial statements and any changes to accounting policies and advising the Court accordingly; it also provides Court with an annual opinion on arrangements in place to promote economy, efficiency and effectiveness. In addition, the Committee receives regular reports from the internal and external auditors, which include recommendations for improvements in internal control and management's responses and implementation plans. The Audit Committee's role in this area is confined to a high-level review of the arrangements for internal control. The emphasis is on obtaining the relevant degree of assurance and not merely reports of exceptions. It also has explicit responsibility for advising on the effectiveness of the University's risk management arrangements.

In addition to the routine items considered by the Audit Committee, of particular note were the following:

- consideration of External Audit provision from the 2013/14 audit onwards which resulted in a procurement exercise and a recommendation being presented and approved by Court on 13 May 2013 to appoint PricewaterhouseCoopers as the University's external auditor for an initial period of three years, with the option to extend by a further two audit years;
- initial discussion on the new Scottish Code of Good Higher Education Governance and the implications for the Committee;
- agreement to take forward, under delegated arrangements, specific roles on behalf of the Andrew Grant Bequest, a charity providing support to students in the Edinburgh College of Art within the University of Edinburgh;
- approval of enhanced reporting arrangements in respect of IT assurance reporting via the Risk Management Committee;
- raising management awareness via the Central Management Group of the need to timeously complete actions agreed following internal audit assignments;
- endorsement of a revised Internal Audit Terms of Reference and Operating Framework, which were approved by the Court at its meeting on 24 June 2013.

A joint meeting of the Audit and Risk Management Committees was held on 28 October 2013 to discuss the implications of the new Scottish Code of Good Higher Education Governance in respect of audit and risk oversight arrangements.

	Meetings attended
Lay members of Court	
Ms Anne Richards (Convener)	4/4
Mr Peter Budd	3/4
Mrs Elaine Noad	2/4
Mr Alan Johnston	4/4
External members	
Appointed through an open advertisement and interview process	
Mr Martin Sinclair	4/4
Mr Alan Trotter	4/4

Estates Committee

The Estates Committee oversees the preparation, review and implementation of the University's Estate Strategy and its links to corporate and other business plans. It also oversees the University's property portfolio transactions (acquisitions and disposals), matters relating to estate developments and considers reports on progress through a gateway process. It considers and advises on significant matters related to the amount and deployment of Estates and Buildings recurrent budget, and operational matters including allocation of previously agreed budgets (such as Maintenance Programmes, Small Capital Projects) across Colleges and Support Groups. The Central Management Group, the Finance and General Purposes Committee and Court receive a report on matters considered, following each meeting of the Committee. It is chaired by the Vice-Principal Planning, Resources and Research Policy.

In addition to the more routine items the Committee considered and progressed the following:

- the estate visioning work to 2025, a master plan for the whole University estate, looking at the shape of the University over the next 10 to 12 years, and preparing material including a development programme to dovetail with the compilation of the 2015-25 Estate Strategy, Financial Strategy and the University's overall Strategic Plan.

		Meetings attended
Ex-officio members		
Vice-Principal Planning, Resources & Policy Research	Professor Jonathan Seckl (Convener)	4/4
University Secretary	Dr Kim Waldron, until 17 March 2013	2/2
	Ms Sarah Smith, from 18 March 2013	1/2
Vice-Principal and Director Corporate Services	Mr Nigel Paul	4/4
Director of Planning	Dr Alexis Cornish, until 10 March 2013	3/3
	Mrs Tracey Slaven, from 6 May 2013	0/1
Director of Estates and Buildings	Mr Angus Currie	4/4
Director of Finance	Mr Phil McNaull	4/4
Director of Development and Alumni	Ms Kirsty McDonald	4/4
Vice-Principal of Knowledge Management	Professor Jeff Haywood	3/4
Director of Accommodation Services	Mr Richard Kington	3/4
Heads of Colleges (or his/her nominee)	Mr Hugh Edmiston (MVM)	4/4
	Vice-Principal Professor Dorothy Meil (HSS)	4/4
	Dr Bruce Nelson (CSE)	4/4
Edinburgh University Students' Association representative	Mr James McAsh, until 11 June 2013	3/4
	Mr Hugh Murdoch, from 12 June 2013	0/0
Lay members of Court	Mr David Bentley, from 27 March 2013	2/2
	Mr Peter Budd	2/4

Corporate governance statement

(continued)

Finance and General Purposes Committee

The Finance and General Purposes Committee oversees the University's financial affairs on behalf of Court and provides advice on business of particular importance or complexity. This includes the design of the planning and budgeting process, approval of the resulting plan and budget in the context of the University's overall strategy, and ensuring adequate monitoring thereafter of the resources of the University. It also has delegated authority to approve various financial arrangements in accordance with agreed levels, including loans to third parties and borrowing arrangements and subsidiary company processes. The Court receives a report on matters considered following each meeting of the Committee. It is chaired by the Vice-Convenor of Court.

As well as the routine items, including financial monitoring reports, approval of the allocation of resources for 2013/14, and update reports on widening participation and the student experience, the Committee considered the following:

- agreement to take forward, under delegated arrangements, specific roles on behalf of the Andrew Grant Bequest, a charity providing support to students in Edinburgh College of Art within the University of Edinburgh;
- consideration and endorsement of a significantly revised Finance Strategy 2012-2016, which was approved by the Court at its meeting on 18 February 2013;
- endorsement of revisions to various financial procedures, including a review of the format of the Annual Report and Accounts, improved financial monitoring arrangements, particularly forecast monitoring, and a review of the allocation of resources' process.

		Meetings attended
Ex-officio members		
The Principal	Professor Sir Timothy O'Shea	4/6
(Vice-Convenor of Court)	Professor Stuart Monro (Convenor)	6/6
University Secretary	Dr Kim Waldron, until 17 March 2013	1/4
	Ms Sarah Smith, from 18 March 2013	2/2
Director of Finance	Mr Phil McNaull	6/6
Student member	Mr James McAsh, until 11 June 2013	5/5
	Mr Hugh Murdoch, from 12 June 2013	1/1
Nominated by Central Management Group Vice-Principal	Professor Jonathan Seckl	6/6
Senate Assessor	Dr Marialuisa Aliotta	3/6
Lay members of Court	Mr David Bentley	5/6
	Mr Les Matheson*	0/6
	Dr Chris Masters	5/6
	Professor Ann Smyth	4/6

* During the year, Mr Matheson's work commitments significantly increased.

Health and Safety Committee

The purpose of the Health and Safety Committee is to contribute to the development of policy and monitor performance in all areas of occupational safety and health within the University. It is chaired by the Vice-Principal and Director of Corporate Services.

In addition to the routine items which included the Accidents, Incidents and Disease Survey Report and Occupational Health Annual Report, the Health and Safety Committee considered the following:

- changes to Accident and Incident Reporting requirements (RIDDOR);
- review of emergency telephone number arrangements;
- commencement of a review of occupational health provision;
- report of an Edinburgh College of Art health and safety audit.

		Meetings attended
Ex-Officio members		
Vice-Principal and Director Corporate Services	Mr Nigel Paul (Convener)	2/2
Director of Health and Safety	Mr Alastair Reid	2/2
Deputy Director of Health and Safety	Mrs Karen Darling	2/2
Occupational Health Manager	Mrs Janet Craig	2/2
Physician in Charge of the University Health Service	Dr Tim Brown	1/2
Subject area representatives:	Mrs Ann Diment	2/2
	Dr Neil Robertson	0/2
	Mr Ronnie Soutar	1/2
	Mr Duncan Herd	2/2
	Ms Lesley Pert	2/2
	Ms Tricia Cairney	0/2
	Mr Derek Burnett	2/2
Trade Union representatives	Mr Gordon Reid, from 3 October 2012	2/2
	Mr Peter McDonald	1/2
	Mr Neil Kempself	2/2
Management representatives	Mr Joe Brannigan	2/2
	Mr Dave Rigby	1/2
	Mr Alex Hyde-Parker	0/2
Edinburgh University Students' Association representative	President	0/2

Corporate governance statement

(continued)

Investment Committee

The Investment Committee considers implications of the University's investments in particular the development of an investment strategy to meet agreed investment objectives with regard to ethical and socially responsible issues and to review and monitor both long- and short-term investments. It has responsibility for overseeing the University's Endowment Funds and ensuring that funds held in trust are operated within the relevant legislation and specific terms of each trust, other investment funds and deposit balances. It reports to the Court via the Finance and General Purposes Committee as appropriate. The Committee also benefited from external advisors invited to attend meetings as necessary and from an independent investment advisor. It is chaired by an external member.

In addition to the routine items the Investment Committee considered the following:

- A review took place of the University's investment strategy, with the aim of broader manager and asset diversification. This has resulted in the Endowment Fund's assets now being managed by four external fund managers and new investments in property.
- BNY Mellon was appointed as Custodian for the University's investment assets providing an independent review of fund valuation and reporting.
- A recommendation was made and approved by the Court at its meeting on 10 December 2012 that the University adopt the United Nations Principles of Responsible Investment (UNPRI), becoming the first university in Europe and second in the world to sign up to these principles.

		Meetings attended
Ex-Officio members		
The Principal	Professor Sir Timothy O'Shea, until 31 August 2013	1/1
Vice-Principal and Director Corporate Services	Mr Nigel Paul, from 1 September 2013	3/3
Convener of Finance and General Purposes Committee	Professor Stuart Monro	3/4
External members		
Five additional members of whom at least three appointed through an open advertisement and interview process	Mr Les Matheson	2/4
	Mr Alan McFarlane (Convener)	4/4
	Ms Maxine Cuffe	3/4
	Mr Richard Davidson	4/4
	Mr Bill Hughes	3/4

Nominations Committee

The Nominations Committee considers nominations for: co-opted membership of the Court, membership of Court committees and for the Court's nominations on the Curators of Patronage. The arrangements to progress the open advertisement and interview process for co-opted members of the Court and external members of the Court committees are also managed by the Nominations Committee. It makes recommendations for consideration and approval to the Court and reports on any other matter considered following each Committee meeting. It is chaired by the Vice-Convener of Court.

The items and topics considered included:

- review of membership of the Court and its committees; identifying potential vacancies, considering succession planning, and thereafter, in accordance with the requirements of Court or the relevant terms of reference of the Committee, making recommendations to Court on the way forward;
- review of vacancies on other bodies in respect of Court representation including Curators of Patronage, Staff Benefits Scheme Trustees, and Development Trust Trustees, thereafter making recommendations to the Court;
- initial consideration of the impact of the new Scottish Code of Good Higher Education Governance on the work of the Committee and going forward considering the priority areas to be actioned by the Committee during 2013/14.

		Meetings attended
Ex-officio members		
The Principal	Professor Sir Timothy O'Shea	3/3
Vice-Convener of Court	Professor Stuart Monro (Convener)	3/3
University Secretary	Dr Kim Waldron, until 17 March 2013	2/2
	Ms Sarah Smith, from 18 March 2013	1/1
Senate Assessor	Professor Jake Ansell	3/3
Lay members of Court	Dr Robert Black	3/3
	Mrs Elaine Noad	2/3
	Professor Ann Smyth	2/3

Knowledge Strategy Committee

The Committee oversees the University's knowledge management activities in the areas of the library, information technology, e-learning, management information and e-administration. It also advises on the strategic direction for the University's information space, bringing together academic, physical, and financial aspects, ensuring that priorities are clearly aligned to the University's Strategic Plan, and supporting the delivery of the core strategic goals. Furthermore it monitors progress against agreed targets. The Central Management Group and Court receive reports as appropriate, on matters considered by the Committee. It is chaired by the Vice-Principal Knowledge Management and has a number of committees which report into it.

In addition to the routine items the Knowledge Strategy Committee considered the following:

- enhancement of University-wide IT security;
- improvements to business intelligence/management information;
- revised computing regulations, which were approved by the Court at its meeting on 13 May 2013.

		Meetings attended
Ex-Officio members		
Vice-Principal Knowledge Management	Professor Jeff Haywood (Chairperson)	3/3
Vice-Principal and Director Corporate Services	Mr Nigel Paul	2/3
Head of Knowledge Management and IS Planning	Ms Jo Craiglee	3/3
Edinburgh University Students' Association representative	Mr Andrew Burnie, until 11 June 2013	2/2
	Mr Alex Munyard, from 12 June 2013	1/3
Lay members of Court	Professor Ann Smyth	1/3
	Professor Stuart Monro	2/3
College of Humanities and Social Science	Ms Janet Rennie	3/3
College of Medicine and Veterinary Medicine	Professor David Dewhurst	1/1
	Mr Hugh Edmiston, from 1 June 2013	2/2
College of Science and Engineering	Professor Arthur Trew	2/3
Student and Academic Services Group	Dr Alexis Cornish, until 10 March 2013	1/2
	Mrs Tracey Slaven, from 6 May 2013	1/1

Remuneration Committee

The Remuneration Committee oversees the total remuneration of the Principal and those senior staff reporting directly to the Principal; the reward policy for professorial and equivalent staff; and the policy statement on severance payments in respect of all staff. As a member of the Committee, the Principal withdraws from meetings when matters related to his remuneration are being considered. It reports as appropriate and at least annually to the Court on its activities. It is chaired by the Vice-Convenor of Court and has the benefit of an independent observer with particular expertise in equality.

The Committee routinely considers a number of issues including: reviewing comparative information on salaries, other emoluments and conditions of service in the University sector; taking part in sector-wide surveys; annually reviewing and approving salaries, contractual terms and emoluments of the Principal and of such senior staff as report directly to the Principal, or who may, from time to time, be agreed by the Committee; progressing arrangements for the appraisal of the Principal; and it considers appraisal information in respect of the senior management, and approves performance criteria. The Committee draws upon expert advice from external organisations, from time to time, to assure itself that the University reflects current good practice in its approach to governance on all matters of reward.

		Meetings attended
Ex-officio members		2/2
The Principal	Professor Sir Timothy O'Shea	
Vice-Convenor of Court	Professor Stuart Monro (Convenor)	2/2
Lay members of Court	Ms Anne Richards	2/2
	Dr Chris Masters	2/2
	Mr Alan Johnston	1/2

Corporate governance statement

(continued)

Risk Management Committee

The Risk Management Committee supports and advises the Central Management Group, the Audit Committee and the Court, on the implementation and monitoring of the University's risk management policy. It ensures that the identification and evaluation of key risks that threaten achievement of the University's objectives, is carried out. It also ensures that a register of these risks is maintained; that risks are being actively managed, with the appropriate strategies in place and working effectively; and it contributes to raising awareness of risk generally across the University and to maintaining the profile of risk management. The Central Management Group, the Finance and General Purposes Committee, the Audit Committee and the Court receive reports as appropriate and in particular the annual assurance statement and the outcome of the review of the University's Risk Register. It is chaired by the Vice-Principal and Director of Corporate Services.

The Committee annually reviews and, as appropriate, amends the University's strategic Risk Register, which is then approved by the Court. The risk registers of Colleges, Support Groups and subsidiary companies are also annually reviewed by the Committee. The Annual Report prepared by the Risk Management Committee on its activities provides information to the Audit Committee and the Court on the adequacy of the University's risk management arrangements and is part of the assurance provision to the Court to enable it to sign off the Annual Report and Accounts. In addition to these routine items the Risk Management Committee considered the following:

- development of a significantly revised Risk Policy and Appetite Statement which was approved by the Court at its meeting on 24 June 2013.

		Meetings attended
Ex-officio members		
Vice-Principal and Director Corporate Services	Mr Nigel Paul (Convener)	4/4
University Secretary	Dr Kim Waldron, until 17 March 2013	2/2
	Ms Sarah Smith, from 18 March 2013	0/2
Director of Finance	Mr Phil McNaull	3/4
Director of Quality Assurance	Assistant Principal Dr Tina Harrison	3/4
Non ex-officio		
Senior officer nominated from each of the three Colleges	Mr Frank Gribben, College Registrar HSS	2/4
	Mr Hugh Edmiston, College Registrar MVM	1/4
	Dr Bruce Nelson, College Registrar CSE	3/4
Senior officer nominated by Information Services Group	Mr Brian Gilmore, Director of EUCS	3/4
Academic representative	Professor Jake Ansell	3/4
Lay member of Court	Mrs Margaret Tait	4/4

Staff Committee

The Staff Committee provides advice and guidance on the University's strategic human resources policies and objectives and provides assurance that the University is monitoring its performance and managing its HR issues effectively. The Committee reports to the Court via the Central Management Group and the Finance and General Purposes Committee following each Committee meeting. The Committee is chaired by the Vice-Principal with responsibility for Equality and Diversity.

As well as the routine items including talent management, HR performance indicators and reviews of maternity and sabbatical leave policies, the following significant items were considered:

- progressing the development of the University's People Strategy;
- review of issues affecting academic and professional services staff in the 21st century;
- a range of issues around mainstreaming and embedding elements of the Equality and Diversity Strategy, particularly gender issues such as progression.

		Meetings attended
Lay member	Mrs Elaine Noad	0/3
Court member	Professor Antony Harmar	2/3
Heads of Colleges and Support Groups or representative		
Head of College of Science and Engineering	Vice-Principal Professor Lesley Yellowlees	2/3
Head of College of Humanities and Social Science	Vice-Principal Professor Dorothy Miell	2/3
Head of College of Medicine and Veterinary Medicine	Vice-Principal Professor Sir John Savill	0/3
Vice-Principal and Director of Corporate Services	Mr Nigel Paul	3/3
University Secretary and Head of Student and Academic Services Support Group	Dr Kim Waldron, until 17 March 2103	2/2
	Ms Sarah Smith, from 18 March 2103	1/1
Director of Human Resources	Ms Sheila Gupta	3/3
Head of School of Biological Sciences	Professor David Leach	3/3
External Directors of HR from industry, commerce or the professions		
External member	Mr Alex Killick	2/3
	Mr Alan Gibson	2/3
Director of the Institute of Academic Development	Dr Jon Turner	2/3
Convener, Research Experience Committee	Professor Jeremy Bradshaw	1/3
Vice-Principals and Assistant Principals		
Vice-Principal Learning and Teaching	Dr Sue Rigby	3/3
Vice-Principal Equality and Diversity	Professor Lorraine Waterhouse (Convener)	3/3
Vice-Principal International	Professor Steve Hillier	2/3
Vice-Principal Knowledge Management and Chief Information Officer	Professor Jeff Haywood	3/3

Corporate governance statement

(continued)

Central Management Group

The Central Management Group, while formally advisory to the Principal, is the senior body for consideration of management issues. Its members are, between them, responsible and accountable for all components of the University's budget, both income and expenditure. As such, it plays an important part in the internal governance and academic operations of the University, and brings together the academic, financial, human resources and accommodation aspects of planning. It reports through the Finance and General Purposes Committee to the Court. The Central Management Group is chaired by the Principal and during 2012/13 it met on eight occasions.

The Senate

The Senate is the academic authority of the University and draws its membership from the academic staff and students of the University. Its role is to superintend and regulate the teaching and discipline of the University and to promote research. Senate membership saw 95 new additions and 60 demitted memberships in 2012/13.

The Senate held three meetings during the academic year with presentations delivered on:

- Strengthening Academic Communities and Building Good Practice;
- Accessibility, Inclusivity and Sustainability in the Curriculum;
- Integrating Distance Education.

In addition to the routine items covered by Senate there has been a focus on work around improving the student experience including consideration of the results of the National Student Survey. There has also been a review of the General Statement and Code of Student Discipline, which has resulted in a new Code of Student Conduct to be implemented during 2014.

Senate has four principal committees which operate in accordance with delegated authority, as set out in the terms of reference of each of the committees.

Curriculum and Student Progression Committee

Convener: Assistant Principal Professor Ian Pirie

Responsible for the academic regulatory framework, apart from those aspects which are primarily parts of either the Code of Student Discipline or the Quality Assurance Framework.

As well as the more routine items, the Committee took forward the following:

- review of the Taught Assessment Regulations and Postgraduate Assessment. Regulations for research degrees, for implementation in the 2013/14 academic year;
- revised the Degree Regulations and Programme of Study (DRPS) regulations (undergraduate and postgraduate), including revision of the glossary of terms;
- approved a Student Maternity and Family Leave Policy and Gaelic in Assessed Work Policy;
- approved guidance for Colleges and Schools on the Principles of Internal Moderation of Taught Assessment;
- development of a School Learning and Teaching Enhancement Strategy Template.

Learning and Teaching Committee

Convener: Vice-Principal Dr Sue Rigby

Responsible, on behalf of the Senate, for undergraduate, taught postgraduate and other forms of academic provision, apart from postgraduate research and higher degrees. The Committee also provides a forum to facilitate and encourage the development of academic strategy and also discusses and promotes academic developments, whether internally driven or externally indicated.

As well as the more routine items, the Committee took forward the following:

- review of the Learning and Teaching Enhancement Strategy, drafting a Learning and Teaching Enhancement Strategy template for use by Schools;
- discussions relating to flexible pathways were initiated;
- approval of an overarching framework for Continuing Professional Development (CPD) for Learning and Teaching;
- outline recommendations and related, proposed work streams, aiming to reduce the number of resits was approved;
- approval of the mainstreaming of a number of common adjustments for implementation at the start of the academic year 2013/14 in respect of the Equality Act.

Quality Assurance Committee

Convener: Assistant Principal Dr Tina Harrison

Responsible for the University's academic quality assurance framework. The Committee also acts as a planning forum for the discussion and promotion of developments in academic quality assurance, whether internally driven or externally indicated.

As well as the more routine items, the Committee took forward the following:

- the successful launch of the Edinburgh Student Experience Survey for non-final year undergraduate students to improve and shape their University experience;
- development of Principles and a Code of Practice for the surveying of students;
- the response to the latest Enhancement-Led Institutional Review (ELIR) was approved by Senate and Court and submitted to the QAA (Scotland), and will be sent to the Scottish Funding Council;
- the successful launch of a joint University and EUSA statement on student engagement;
- work on-going with EUSA to develop principles for Staff Student Liaison Committees (SSLC) and guidance for Schools on External Examiners Summary reports at SSLCs.

Researcher Experience Committee

Convener: Professor Jeremy Bradshaw

Responsible for postgraduate research degree training, higher degrees and provision for the training of other, early career researchers. The Committee provides a forum to facilitate and encourage the development of appropriate strategy and also discusses and promotes relevant developments, whether internally driven or externally indicated.

As well as the more routine items, the Committee took forward the following:

- established the University's participation in the international U21's 3 Minute Thesis competition;
- responded to consultation on the QAA Code of Practice in respect of the chapter on research degrees;
- the group undertaking work on facilitating interdisciplinary research completed its tasks;
- updated the University's process on Associated Institution status;
- the group undertaking work on non-traditional postgraduate research provision completed its tasks.

Senate Committees submit an annual report to Senate providing an overview of the major items of committee business completed, and proposing strategic issues for the committees to focus on for the next academic year and beyond, for approval by Senate.

The General Council

The General Council consists mainly of graduates and includes eligible academic staff and a more limited number from other related categories. At 1 August 2013 it had 179,614 members. It has a statutory right to comment on matters which affect the well-being and prosperity of the University and to be consulted on Ordinances and Resolutions. The aim is to ensure that graduates of this University have a continuing voice in the management of its affairs. It is also responsible for the election of the Chancellor and the election of three Court Assessors.

Half-yearly meetings of the General Council are normally held on the second Saturday in February and the last Saturday in June, although recently the June meeting has been held earlier in the month. During 2012/13 the following items were discussed at these meetings:

- The Principal reported on the University's Reports and Financial Statements at the February meeting.
- The June 2013 meeting was held at the Royal (Dick) School of Veterinary Studies and members heard about the developments within the School, and at the Easter Bush Campus, from the Head of School, Professor David Argyle.
- Through its Business Committee, members of the General Council follow progress in a number of areas, including student satisfaction, league table positions, internationalisation and the financial status of the University.

The Business Committee is the principal committee of the General Council with four supporting Standing Committees.

Business Committee

Convener: Professor Charles Swainson

Vice Convener: Dr Frances Dow

It has delegated authority to act on behalf of the General Council between half-yearly meetings, reporting on its activities to each half-yearly meeting, playing an advisory role to the University Court and approving delegated arrangements for its four Standing Committees.

During 2012/13, as well as the more routine items, the Committee took forward the following:

- implementation of the recent Effectiveness Review of the Business Committee;
- responding to consultation on the recent Draft Scottish Code of Good Higher Education Governance Code and the Post-16 Education Bill;
- interaction with senior academic staff on student satisfaction.

Academic Standing Committee

Convener: Dr Bruce Ritson

The Academic Standing Committee advises on matters of broad principle in the academic field.

Corporate governance statement

(continued)

Constitutional Standing Committee

Convener: Mr Gordon Cairns

The Constitutional Standing Committee advises on matters appertaining to the constitutional relationship between the General Council and its Business Committee and other parts of the University.

Finance and Services Standing Committee

Convener: Ms Kirsty MacGregor

The Finance and Services Standing Committee advises on the general financial affairs of the University and the funding of the General Council. It also reviews trends in staff and student numbers and patterns of graduation.

Public Affairs Standing Committee

Convener: Mr Simon Fairclough

The Public Affairs Standing Committee advises on matters regarded as important, involving the actions of Parliament, HM Government, quasi government agencies and other public bodies, which might affect universities in general and the University of Edinburgh in particular.

The University's system of internal control

The University Court is responsible for the University's system of internal control and for reviewing its effectiveness. Such a system is designed to manage rather than eliminate the risk of failure to achieve business objectives, and can only provide reasonable and not absolute assurance against material mis-statement or loss.

The internal control environment includes delegated authorities, policies, procedural and system controls, planning and budgetary processes, professional capability in specialist areas, governance structures and management reporting. The current Delegated Authorisation Schedule was approved by the Court in June 2010 and continues to be reviewed and appropriately amended to ensure it remains fit for purpose. The senior management team receives regular reports on the University's performance, including appropriate performance indicators, and considers any control issues brought to its attention by early warning mechanisms which are embedded within the operational units and reinforced by risk awareness training. The senior management team and the Audit Committee also receive regular reports from internal audit which include recommendations for improvement.

The University operates processes for the identification, evaluation and management of significant risks. The risk management framework established in the University includes a Risk Management Committee as a formal Court committee, which oversees implementation of the Risk Management Policy adopted in 2002. In June 2013, the Court considered and approved a significantly revised and reformatted Risk Policy and Appetite Statement following extensive consideration of the approach to risk management within the University; this approach will be taken forward in 2013/14. The University Risk Register focuses primarily on risks related to the attainment of the University's strategic objectives and identifies responsibility for the overall management of each risk. The Risk Register is annually reviewed by the Risk Management Committee and considered by the Central Management Group, the Audit Committee, and the Finance and General Purposes Committee prior to consideration and approval by the Court; the most recent update was adopted by the Court on 24 June 2013 and aligns to the University Strategic Plan 2012-2016.

College, Support Group and subsidiary company risk registers ensure key operational risks are identified and managed by the relevant sub-organisation within the University. All major projects have risk registers and risk assessment is incorporated into planning and decision making processes: risk assessment training and awareness are promoted through the management structure. The University's major risks are regularly reviewed and there are yearend processes to obtain further assurances on the adequacy of the management of key risks and to document the sources of assurances for each major risk.

Internal auditors undertake an independent review of the operation of the overall risk management process, having regard to best practice as recommended by professional institutes and other relevant organisations. The Audit Committee considered the internal auditors opinion on risk management at its meeting on 23 September 2013 and expressed itself satisfied with the outcome.

By its 9 December 2013 meeting, Court had received the Audit Committee and Risk Management Committee reports for the year ended 31 July 2013. It had also taken account of relevant events since 31 July 2013. The Audit Committee in particular is responsible for advising Court on the effectiveness of policies and procedures for risk assessment and risk management. The Court considers, on the recommendation of the Audit Committee that a risk management process wholly compliant with the guidance provided by the UK Corporate Governance Code, in so far as its provisions apply to the higher education sector, has been in place throughout the year ended 31 July 2013. In reaching this view, the Court's confirmation of the effectiveness of the system of internal control has also been informed by the following:

- the Internal Audit Service's annual report to the Audit Committee on the adequacy and effectiveness of systems of internal control including governance and risk management, together with recommendations for improvement, along with the Principal's expression of satisfaction with the performance of the internal audit service in his capacity as Accountable Officer;
- the Risk Management Committee's Annual Report to the Audit Committee regarding its operation;
- comments made by the External Auditors in their Highlights Memorandum and other reports;
- the work of managers within the institution, who have responsibility for the development and maintenance of the internal control framework, and by any relevant comments made by other external agencies (such as the Quality Assurance Agency for Higher Education, SFC).

During 2013/14 there will be consideration of the guidelines included within the new Scottish Code of Good Higher Education Governance in respect of audit and risk management arrangements and any changes in practice required will be taken forward during 2013/14, following Court approval.

Charitable status

The University had charitable status (No. SC005336) under the legislative framework operative throughout the 2012/13 financial year. The University Court considers that the University meets the 'Charity Test' set out in Section 7 of the Charities and Trustee Investment (Scotland) Act 2005 and it will take such actions as are necessary to ensure continued full compliance with the legislation and retention of charitable status.

The University's endowments are administered as the University of Edinburgh Endowment Fund, overseen by the Investment Committee. As at 31 July 2013 this included the Edinburgh College of Art Prize fund and other Edinburgh College of Art endowments other than the Edinburgh Andrew Grant Bequest which is held within a separate charitable trust. Professional fund managers are employed by that Committee on behalf of the University Court. Investment income is applied for the specific purposes of the relevant endowments, or in the case of other investment funds, for the University's general purposes. All of those purposes are charitable for the purposes of the legislation.

Income derived from philanthropic donations and benefactions arising from the University's development activities are disbursed by a trust with separate charitable status: The University of Edinburgh Development Trust. The board of trustees includes individuals external to the University. The President is a former member of Court. The Trustees meet twice a year. All disbursements are applied for the specific purposes of the relevant donations and benefactions, or in the case of general donations and benefactions, for the University's general purposes. All of those purposes are charitable for the purposes of the legislation.

Going concern

The University's activities and the factors likely to affect its future development, performance and position are set out in the Financial Review. Its cash flows and liquidity are set out in more detail in the Notes to the Financial Statements.

The University has adequate financial resources and its current forecasts and projections show it to be well placed to successfully manage its activities despite risks and uncertainties highlighted in the Annual Report and Accounts.

The Court considers that the University has adequate resources to continue in operation for the foreseeable future and for this reason, the going concern basis continues to be adopted in the preparation of the Accounts.

Responsibilities of Court

On 21 June 2010 the Court adopted the Statement of Primary Responsibilities, set out below. The Court's primary responsibilities are:

- I. Strategic direction
 - to determine the mission and vision of the University and its major priorities as expressed in strategic plans, long term academic and business plans;
 - to ensure that the mission and strategic vision of the University takes proper account of the interests of stakeholders, including students, staff, alumni, the wider community and funding bodies;
 - to approve financial, estates, and human resources strategies in support of institutional objectives and priorities;
 - to ensure strategies are in place to enhance the student experience;
 - to ensure processes are in place to monitor and evaluate the performance and effectiveness of the University against the plans and approved key performance indicators, which should where possible, be benchmarked against other comparable universities;
 - to promote and safeguard the reputation and values of the University.
- II. Governance: responsibilities in relation to management and Senate
 - to appoint the Principal as chief executive, including the terms and conditions of such an appointment, and to put in place suitable arrangements for monitoring his/her performance;
 - to delegate authority to the Principal (as chief executive) for the academic corporate, financial, estate and HR management of the University, subject to reserving such matters to itself as the Court thinks appropriate;
 - to establish and keep under regular review the policies, procedures and limits within which such management functions shall be undertaken by and under the authority of the Principal;
 - to appoint a Secretary to the Court and to ensure that if the person appointed has managerial responsibilities in the University, there is an appropriate separation in the lines of accountability;
 - to review decisions made by the Senate as prescribed in statute;
 - to ensure that Senate has processes in place for monitoring and reporting the quality of education provision and to monitor quality enhancement arrangements.
- III. Governance: exercise of controls
 - to ensure the establishment and monitoring of systems of control and accountability, including financial and operational controls and risk assessment, arrangements for internal and external audit and regularly reviewed schedules of delegated authority;
 - to be the principal financial and business authority of the University, to ensure that proper books of account are kept, to approve the annual budget and financial statements and to have overall responsibility for the University's assets, property and estates;
 - to ensure that appropriate arrangements are in place for the management of health, safety and security in respect of students, staff and other persons affected by the University's operations;
 - to ensure that appropriate arrangements are in place for promoting equality of opportunity in respect of students, staff and other persons making use of University services or facilities.

Corporate governance statement

(continued)

IV. Governance: corporate responsibilities

- to be the University's legal authority and as such, to ensure that systems are in place for meeting all the University's legal obligations, including those arising from contracts and other legal commitments made in the University's name;
- to be the employing authority for all staff in the University and to ensure that obligations thereto are met including with regard to the welfare, development and reward of employees;
- to put in place appropriate arrangements for determining and regular review of the performance, remuneration and conditions of service of senior staff;
- to make provision for the general welfare of students, in consultation with the Senate and EUSA;
- to act as trustee for, or make appropriate alternative arrangements for the trusteeship of, any property, legacy, endowment, bequest or gift in support of the work and welfare of the University;
- to make appropriate arrangements compliant with relevant legislation for the trusteeship of any pensions scheme established by the Court for University employees and to employ the employer-nominated trustees;
- to ensure that at all times it operates within the terms of the Universities (Scotland) Acts 1858-1966, Ordinances and Resolutions made under those acts and any other relevant legislation; and that appropriate advice is available to enable this to happen;
- to ensure that the University acts ethically, responsibly and with respect for society at large and the sustainability of the environment.

V. Effectiveness and transparency

- to conduct its business in accordance with best practice in higher education corporate governance and with the principles of public life drawn up by the Nolan Committee on Standards in Public Life;
- to ensure that procedures are in place in the University for handling internal grievances, conflicts of interest and public interest disclosure;
- to put in place arrangements for the appointment of co-opted members of the Court so as to maintain a broad balance of expertise taking account of the principles of equal opportunity;
- to establish processes to monitor and evaluate the performance and effectiveness of the Court itself and that of its committees.

Statement of responsibilities relating to the Reports and Financial Statements

These primary responsibilities, including those pertaining to financial matters are stated above. The detailed requirements relating to financial matters are governed by law, agreements and regulations as decreed by various bodies and are stated as follows:

The Court is responsible for keeping proper accounting records, which disclose, with reasonable accuracy, the financial position of the University at any time and enable it to ensure that the financial statements are prepared in accordance with the Universities (Scotland) Acts 1858-1966, the Statement of Recommended Practice: Accounting for Further and Higher Education and other relevant accounting standards. In addition, within the terms and conditions of a Financial Memorandum agreed between the Scottish Funding Council and The Court of the University of Edinburgh, the University Court, through its designated office holder, is required to prepare financial statements for each financial year which give a true and fair view of the state of affairs of the University and of the surplus or deficit and cash flows for that year.

In causing the financial statements to be prepared, the Court has to ensure that:

- suitable accounting policies are selected and applied consistently;
- judgements and estimates are made that are reasonable and prudent;
- applicable accounting standards have been followed;
- financial statements are prepared on the going concern basis.

The Court has taken reasonable steps to:

- ensure that funds from the Scottish Funding Council are used only for the purposes for which they have been given and in accordance with the Financial Memorandum with the Funding Council and any other conditions which the Funding Council may from time to time prescribe;
- ensure that there are appropriate financial and management controls in place to safeguard public funds and funds from other sources;
- safeguard the assets of the University and hence to take reasonable steps to prevent and detect fraud;
- secure the economical, efficient and effective management of the University's resources and expenditure.

Professor Stuart Monro

Vice-Convener

9th December 2013



THE UNIVERSITY *of* EDINBURGH

SECTION 2
Consolidated Financial Statements

Prepared Under US GAAP

July 31, 2013

(With Independent Auditor's Report Thereon)



KPMG LLP
Infrastructure, Government & Healthcare
One Snowhill
Snowhill Queensway
Birmingham
B4 6GH

January 27, 2014

Independent Auditors' Report

To The Court of The University of Edinburgh

Report on the Financial Statements

We have audited the accompanying consolidated financial statements of The University of Edinburgh, which comprise the consolidated statement of financial position as of July 31, 2013, and the related consolidated statements of activities and cash flows for the year then ended, and the related notes to the consolidated financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of consolidated financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audit. We conducted our audit in accordance with *Government Auditing Standards*, issued by the Comptroller General of the United States, and the U.S. generally accepted auditing standards incorporated by *Government Auditing Standards*, except that, because of our location outside of the U.S., we do not have a continuing education program which conforms to Paragraphs 3.6 through 3.9 of *Government Auditing Standards*. We do have a continuing education program which conforms to requirements applicable in the United Kingdom. Also, we do not have an external quality control review by an unaffiliated audit organization which conforms to Paragraphs 3.33 through 3.36 of *Government Auditing Standards*, because no such program is operated in the United Kingdom. *Government Auditing Standards* require that we plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant

The Court of The University of Edinburgh
January 27, 2014
Page 2 of 2

accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the consolidated financial position of The University of Edinburgh as of July 31, 2013, and the changes in its net assets and its cash flows for the year then ended in accordance with U.S. generally accepted accounting principles.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated January 27, 2014 on our consideration of The University of Edinburgh's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering The University of Edinburgh's internal control over financial reporting and compliance.



KPMG LLP
Birmingham, United Kingdom

January 27, 2014



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Consolidated Statement of Financial Position

As of July 31, 2013

ASSETS	
	£000s
Cash and cash equivalents	232,569
Research, student and other receivables, net (note 2)	85,522
Inventories, deferred charges and prepaid expenses (note 2)	19,037
Contributions receivable, net (note 3)	4,347
Investments (note 4)	407,743
Property, plant and equipment, net (note 5)	733,334
Total assets	1,482,552
LIABILITIES AND NET ASSETS	
LIABILITIES :	
Accounts payable, accrued expenses and deferred income (note 6)	250,518
Deposits and other deferred revenues (note 6)	16,625
Debt obligations, net (note 7)	107,789
Post retirement obligations (note 8)	64,181
Asset retirement obligations (note 9)	717
Capital lease obligations (note 10)	7,569
Total liabilities	447,399
NET ASSETS :	
Unrestricted	756,101
Temporarily restricted (note 13)	193,365
Permanently restricted (note 14)	85,687
Total net assets	1,035,153
Total liabilities and net assets	1,482,552

See accompanying notes to the consolidated financial statements.

Consolidated Statement of Activities

For the year ended July 31, 2013

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
	£ 000's	£ 000's	£ 000's	£000's
OPERATING REVENUES AND SUPPORT				
Tuition, fees and research	382,500	-	-	382,500
Less student scholarships and financial aid	(7,222)	-	-	(7,222)
Net tuition, fees and research	375,278	-	-	375,278
Investment income	9,407	8,163	-	17,570
Private gifts and grants	5,439	-	-	5,439
Funding body grants and contracts	210,005	-	-	210,005
Other revenues	136,740	-	-	136,740
Net assets released from restrictions for operating purposes	8,428	(8,428)	-	-
Total operating revenues and support	745,297	(265)	-	745,032
OPERATING EXPENSES				
Academic and related support	276,265	-	-	276,265
Research	169,984	-	-	169,984
Library, computer and other academic support services	39,841	-	-	39,841
Administration and central services	45,953	-	-	45,953
Premises	92,083	-	-	92,083
Other, including income generating operations	19,269	-	-	19,269
Auxiliary activities including residences	42,182	-	-	42,182
Total expenses	685,577	-	-	685,577
Increase/(Decrease) in net assets from operating activities	59,720	(265)	-	59,455
NON OPERATING ACTIVITIES				
Net assets released from restriction for capital purposes	-	(1,697)	-	(1,697)
Capital and endowment gifts	-	262	2,262	2,524
Net realized gain on fixed assets	1,670	-	-	1,670
Interest and other finance expenses	(5,888)	-	-	(5,888)
Amortization of prior actuarial credit	(961)	-	-	(961)
Net realized and unrealized gains on endowment assets	-	27,866	12,295	40,161
Net realized and unrealized gains on other investments	1,227	-	-	1,227
Net realized and unrealized gains on pension plan assets	32,683	-	-	32,683
Increase in net assets from non-operating activities	28,731	26,431	14,557	69,719
Changes in net assets	88,451	26,166	14,557	129,174
Net assets, beginning of year	667,650	167,199	71,130	905,979
Net assets, end of year	756,101	193,365	85,687	1,035,153

See accompanying notes to the consolidated financial statements.

Consolidated Statement of Cash Flow

For the year ended July 31, 2013

CASH FLOWS FROM OPERATING ACTIVITIES

	£ 000's
Changes in net assets	129,174
Adjustments to reconcile changes in net assets to net cash provided by operating activities	
Depreciation and amortisation	32,113
Net realized and unrealized gain on investments and post retirement obligations	(75,848)
Gain of fixed assets disposal	(547)
Movement in respect of Pension Schemes	(2,410)
Net interest received	75
Investment income released from long-term investment	265
Changes in assets and liabilities:	
Students and other receivables	(7,025)
Contribution receivable	885
Inventories, deferred charges and prepaid expenses	(5,849)
Accounts payable and accrued expenses	13,007
Deposits & deferred revenues	3,242
Net cash provided by operating activities	87,082

CASH FLOWS FROM INVESTING ACTIVITIES

Purchases of property, plant and equipment	(70,265)
Purchases of investments	(245)
Cash proceeds from investments	117,752
Proceeds from the sales of investments	6,638
Proceeds from the sales of property, plant and equipment	1,491
Net interest paid	(431)
Endowment funds invested	(2,524)
Net cash increase from investing activities	52,416

CASH FLOWS FROM FINANCING ACTIVITIES

Payments on debt obligations	(1,834)
Proceeds from new debt	148
Net cash used in financing activities	(1,686)

Net increase in cash	137,812
Cash, and cash equivalents, beginning of year	94,757
Cash, and cash equivalents, end of year	232,569

Supplemental disclosure	
Cash paid for interest on debt obligations	5,393
Cash paid for interest on capital lease obligation	851

See accompanying notes to the consolidated financial statements.

Summary of Significant Accounting Policies

For the year ended July 31, 2013

Note 1 - Summary Of Significant Accounting Policies

(1) Description of the University

The University of Edinburgh ('the University') is constituted by the Universities (Scotland) Acts 1858 to 1966. The University was originally founded in 1583 and is one of the largest and most comprehensive universities in the United Kingdom. The University has a strong worldwide reputation in the provision of higher educational study programmes and for its research activities across a broad spectrum of subjects. The University provides a range of courses through its undergraduate, taught post-graduate and research post-graduate programmes.

The University operates through three colleges:

- Humanities & Social Science;
- Medicine & Veterinary Medicine; and
- Science & Engineering.

Within the colleges there are 21 schools delivering over 600 undergraduate programmes, 130 taught post-graduate programmes and there are 130 academic units offering research degrees.

During 2012/13 the University had 32,864 students (full and part time), the majority (65%) studying for undergraduate degrees.

The following entities have been consolidated into the financial statements, under FASB ASC 810:

- * Edinburgh Research and Innovation Limited
- * Edinburgh University Press Limited
- * UoE Accommodation Limited
- * The University of Edinburgh Development Trust
- * Edinburgh Technology Fund Limited
- * UoE Utilities Supply Company Limited
- * UoE HPCX Limited
- * SSTRIC Limited
- * Edinburgh Technology Transfer Centre Limited
- * FloWave Limited
- * University of Edinburgh Deaconess Limited
- * Research into Results Limited
- * Andrew Grant Bequest
- * Old College Capital LLP

(2) Basis of financial statement preparation

The consolidated financial statements include the University, its subsidiary companies and all other entities in which the University has a significant financial interest and control. They

are presented on the accruals basis of accounting in accordance with United States generally accepted accounting principles (GAAP). They have been prepared to focus on the Group as whole and to present balances and transactions according to the existence or absence of donor-imposed restrictions.

(3) Basis of consolidation

The consolidated financial statements include the financial statements of entities which are subsidiaries under the definition of US GAAP. This means that the University has control over these entities. Intra-group transactions are eliminated on consolidation. In addition, where the University has significant influence over other entities, the University's share of the results of those entities is also incorporated into the financial statements.

Edinburgh Research and Innovation Limited.

The Company's main activities are concerned with the negotiation of research and consultancy contracts with industry and commerce on behalf of the University of Edinburgh. It is also responsible for the commercial exploitation of intellectual property arising from research within the University.

Edinburgh University Press Limited

The principal activity of Edinburgh University Press Limited is the publication of educational books and journals.

UoE Accommodation Limited

The Company's main activities are concerned with non-student lettings for the University of Edinburgh.

The University of Edinburgh Development Trust

The University of Edinburgh Development Trust is a charity registered in Scotland, Registered Charity No SC004307. The primary purpose of the Trust is to act as a fundraiser for the University and to hold and allocate funds for the benefit of the University.

Edinburgh Technology Fund Limited

The principal activity of the company is the management of investment funds providing seedcorn venture capital for early stage high technology developments and the management of its portfolio of investments made using its funds.

Summary of Significant Accounting Policies

continued

UoE Utilities Supply Company Limited

This company was set up to provide utilities services to the University.

UoE HPCX Limited

The company's principal activity is the provision of high performance computing services.

SSTRIC Limited

The company's principal activity during the year was the delivery of commercial, research and development services for the microelectronic industry.

Research into Results Limited

The Company's principal activity is the delivery of research and project management services in the area of international development.

Associated undertakings include the following:

Edinburgh Technology Transfer Centre Limited

The University Court is a member of Edinburgh Technology Transfer Centre Limited. The company, registered in Scotland and limited by guarantees of £1 from each of two members, is consolidated in these financial statements. The Company's principal activities are the provision of early-stage incubation support to high-growth start-up and spin-out companies with on-going research and development links to the University of Edinburgh, and the provision of lettable project-office and laboratory facilities to these companies during the early stages of their development.

Roslin Cells Limited

Following the acquisition of Roslin Institute, the University now nominates one member of Roslin Cells, a company limited by guarantees of £1 from each of two members. No share of the accounts of that body is consolidated on the ground of materiality.

Flowave TT Limited

The Company's principal activity is the development and subsequent operation of land based facilities for the testing of marine energy and other devices in simulated wave and tidal current conditions.

University of Edinburgh Deaconess Limited

The main activities are that of investing in and operating student accommodation property, and in particular, Deaconess House.

The Andrew Grant Bequest

The Andrew Grant Bequest is a charity registered in Scotland, Registered Charity No SC001097. The trust is classed as a "quasi-subsiary" of the University. The primary purpose of the charity is to manage the monies in the Andrew Grant Bequest in accordance with the terms of the original benefactions from Andrew Grant.

Old College Capital LLP

The main purposes of the partnership include making early and mid stage investments into selected companies that emerge from the University. In addition to the above subsidiary and associate undertakings, the Group and University nominate members of other companies limited by guarantee and have also acquired in excess of 20% of the issued capital of several start-up companies limited by shares, under seedcorn funding and spin-off arrangements. No consolidation is made in these accounts and no investment value is reflected, on the basis of materiality.

(4) Classification of net assets

Unrestricted net assets - represents those net assets that the University may use at its discretion.

Temporarily restricted net assets - represent contributions and other inflows of assets whose use by the University is limited by donor-imposed restrictions that either expire over the passage of time or can be fulfilled, and are therefore removed, by actions taken by the University. Any appreciation of permanently restricted long-term investments is recognised within temporarily restricted net assets until it is available for spending in line with the University's policy and qualifying expenditure is incurred.

Permanently restricted net assets - represent contributions and other inflows of assets where the use of those contributions and/or assets is limited as a result of donor-imposed conditions that do not expire through the passage of time nor can be fulfilled by actions of the University.

(5) Cash and cash equivalents

Cash is monies held in the bank of the University (or the Group entities) and any money held in hand (e.g. petty cash balances). Cash equivalents are any money market deposits or other short term investments with an original maturity on purchase of three months or less. These are held at cost, which is

Summary of Significant Accounting Policies

continued

assumed to approximate to fair value, given the short term nature of the investments.

(6) Revenue recognition

Undergraduate tuition fees for each academic year are recognised in full in the financial year in which they are chargeable.

(6) Revenue recognition (continued)

Postgraduate fees for students with non-standard start dates are recognised and apportioned to the financial year to which the fee relates and the course is delivered. Monies received in advance of the course being delivered are recognised as deferred revenue.

Scholarships provided to students are reported gross in the financial statements as part of the make up of operating revenues.

Accommodation fees and income from dining is recognised in the period in which the service is delivered.

Contributions (including research contracts) which are made on an unconditional basis are recorded within revenue at fair value. Contributions that form exchange contracts are recognised as the related expenses are incurred in accordance with the contract terms. Amounts received in advance are held as deferred revenues.

(7) Contributions/Promises to give

Contributions and unconditional promises to give (e.g. donations) that are expected to be collected within one year are recorded at net realisable value. Contributions and unconditional promises to give that are expected to be collected in future years are recorded at the present values of estimated future cash flows. The discounts on these pledges are computed using rates in line with the risks involved and applicable to the year in which the promise is expected to be received. Amortisation of the discount is included in contribution revenue.

Contributions and promises to give that have conditions imposed by the donor are not included in revenue until the conditions have been substantially met.

Contributions and promises to give are distinguished between and recorded as contributions that increase:

i. unrestricted net assets - where there are no restrictions

on the contributions or promises to give made by the donor;

ii. temporarily restricted net assets - where the restrictions imposed by the donor expire over time or when the University has taken action to cancel out the restriction; or

iii. permanently restricted net assets - where the donation is permanently restricted in terms of its use.

When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions. Donor-restricted contributions as well as donor-restricted income and gains whose restrictions are met within the same year as received are reflected as unrestricted revenue in the statement of activities.

Contributions of land, building and equipment are reported as unrestricted, unless explicit donor stipulations specify how the donated assets must be used. Gifts of long-lived assets with explicit restrictions that specify how the assets are to be used and gifts of cash or other assets that must be used to acquire long-lived assets are reported as restricted support. Absent explicit donor stipulations about how long these long-lived assets must be maintained, the University reports expirations of donor restrictions when the donated or acquired long-lived assets are placed into service.

Noncash gifts are recorded at fair value on the date of donation. Gifts of cash and other assets without donor stipulations are reported as unrestricted operating revenue when the gift is received.

(8) Allowance for Doubtful debts

Allowance is made against research, student and other receivables and contributions receivable to the extent that the full debt or promise may not be subsequently collected. The level of provision is based on the historical level of collection experienced on each category if receivable.

(9) Inventories (stock)

Inventories (stock) for resale and other stocks are included at the lower of cost or market value. Provision is made for obsolete or slow moving and defective inventories.

(10) Investments, borrowings and fair value measurements

Non-operating activity reflects contributions for long-term investments and capital projects and the gains/losses on long-term investments. In addition, non-operating activities include changes in other investment income, and post

Summary of Significant Accounting Policies

continued

retirement benefit obligation changes.

Fair value represents the price that would be received upon the sale of an asset or paid upon the transfer of a liability in an ordinary transaction between arms length parties at the measurement date. United States GAAP established a fair value hierarchy that prioritises the inputs used to measure fair value into three levels:

- * Level 1 - Unadjusted quoted prices in active markets for identical assets or liabilities accessible to the reporting entity at the measurement date.
- * Level 2 - Other than quoted prices included in Level 1 inputs that are observable for the asset or liability, either directly or indirectly, for substantially the full term of the asset or liability.
- * Level 3 - Unobservable inputs for the asset or liability used to measure fair value to the extent that observable inputs are not available, thereby allowing for situations in which there is little, if any, market activity for the asset or liability at measurement date.

Derivatives, such as, interest rate swaps are held on the statement of financial position at fair value as hedge accounting has not been elected.

(11) Property, plant and equipment

Property, plant and equipment are stated at cost and are depreciated (with the exception of land) on a straight-line basis over the estimated useful lives of the assets as follows:

- * major infrastructure plant: 10 to 15 years
- * system built properties: 15 to 25 years
- * general buildings: 50 to 80 years
- * historic and legacy properties: 100 years
- * equipment: 4 years

Assets acquired under capital lease obligations are depreciated over the shorter of their economic useful life or the lease term.

Minor repairs or maintenance costs are charged to operations as incurred.

Borrowing costs in relation to qualifying assets are capitalised as part of the cost of the asset, up to the point of

the asset coming into use.

(12) Asset retirement obligations

Where the University is required to incur costs of bringing assets back into their original state at the end of the life of the asset or a lease term, the University has recorded a liability. This has been discounted back to the balance sheet date. The cost of the asset has been increased to reflect this future cost of the retirement obligations.

(13) Post retirement benefits

The University and certain subsidiaries participate in four separately administered, externally funded, defined benefit pension schemes, all of which are contracted out of the State Earnings-Related Pension Scheme. The schemes are as follows:

- * Universities Superannuation Scheme (USS) - this is a defined benefit multi-employer scheme;
- * University of Edinburgh Staff Benefits Scheme (EUSBS) - this is a defined benefit single-employer scheme;
- * Local Government Pension Scheme through administering bodies, Strathclyde Pension Fund (SPF) and Lothian Pension Fund (LPF)- this is a defined benefit multi-employer scheme;
- * Medical Research Council Pension Scheme (MRC) - this is a defined benefit multi-employer scheme.

The University also participates the National Employment Savings Trust (NEST) an externally funded defined contribution scheme and the Scottish Teachers Superannuation Scheme (STSS), and NHS Pension scheme, which are both unfunded, defined benefit pension schemes. These schemes are also contracted out of the State Earnings-Related Pension Scheme. The STSS scheme has a notional fund which is revalued on a five year cycle by the Government Actuary. The assets and liabilities of these multi-employer schemes are pooled as part of a multi-employer scheme. They are accounted for as defined contribution schemes under US GAAP.

The EUSBS, LPF and SPF schemes are accounted for as defined benefit schemes under the provision of Financial Accounting Standards Board, Accounting Standards Codification (FASB ASC) 715. Contributions to the other schemes are charged in the Income and Expenditure Account so as to spread the cost of providing pensions over the employees' working lives within the organisation. The contribution charges are recognised in the accounting periods in which they arise.

Variations in pension costs as a result of actuarial valuations

Summary of Significant Accounting Policies

Continued

or premature retirement schemes are amortised over the average expected remaining working lives of employees in proportion to their expected payroll costs. Differences between the amounts funded and the amounts charged in the Consolidated Statement of Activities are treated as provisions in the Consolidated Statement of Financial Position.

(14) Taxation status

The University is an exempt charity within the meaning of the Trustee Investment and Charities (Scotland) Act 2005 and, as such, is a charity within the meaning of Section 506(1) of the Income and Corporation Taxes Act 1988. The University is recognised as a charity by HM Revenue & Customs and is recorded on the index of charities maintained by the Office of the Scottish Charity Regulator (Charity No. SC005336). Accordingly, the University is potentially exempt from taxation in respect of income or capital gains received within categories covered by Section 505 of the Taxes Act 1988 or Section 256 of the Taxation of Chargeable Gains Act 1992 to the extent that such income or gains are applied to exclusively charitable purposes. The University receives no similar exemption in respect of Value Added Tax.

(15) Use of estimates

The preparation of financial statements requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. The most significant management estimates and assumptions relate to the determination of allowances for doubtful accounts for student accounts, notes and contributions receivable; the determination of the University's postretirement benefit obligation; provision for operating accruals; useful lives assigned to fixed assets; conditional asset retirement obligations; and the reported fair values of the University's investments, other assets and liabilities. Actual results could differ from those estimates.

(16) Collections

The University has numerous collections, which are comprised of artifacts of historical significance, scientific specimens and art objects that are held for educational, research, scientific and curatorial purposes. Each of the items is catalogued, preserved, and cared for and activities verifying their existence and assessing their condition are performed continuously. All of these assets are part of larger collections. Therefore the University does not capitalise these assets in accordance with ASC 958.

(17) Functional expenses

Expenses are reported in the statement of activities in categories reflective of the University's core activities. The University's primary services are delivery of educational programmes, research and public service. Expenses reported as academic support and auxiliary activities are incurred in support of these primary program services. The University allocates operation and maintenance of plant, depreciation and amortization and interest expense based on proportional expenditures using analysis of which schools and colleges use the assets.

(18) Operations

The accompanying statements of activities report the changes in unrestricted, temporarily restricted and permanently restricted net assets, distinguishing between operating and nonoperating activities. Unrestricted operating revenues consist of those activities attributable to the University's primary mission of providing education, research and public service. They include investment earnings on the University's operating cash flows and a portion of the return on long-term investments as determined in accordance with the University's spending rate policy. The remaining return on long-term investments is classified as part of nonoperating activities. Nonoperating activities also include capital and endowment contributions; net assets released from restrictions for capital purposes; changes in the value of financial instruments; changes in beneficial interests in split interest agreements and other activities considered to be more of an unusual or nonrecurring nature.

(19) Subsequent events

The University evaluated its July 31, 2013 financial statements for subsequent events through to January 27, 2014, the date the financial statements were available to be issued.

Notes to Consolidated Financial Statements

Note 2

Research, Student and Other Receivables, net

Student and other receivables consisted of the following at July 31, 2013

	£ 000's
Student receivables, net of allowances (£1.8m)	1,440
Research receivables, net of allowances (£3.8m)	59,032
Trade receivables, net of allowances (£0.8m)	25,050
	85,522

Inventories, Deferred Charges and Prepaid Expenses

Inventories, Deferred Charges and Prepaid Expenses consisted of the following at July 31, 2013

	£ 000's
Inventories	2,464
Deferred charges and prepaid expenses	16,573
	19,037

Note 3 - Contributions Receivable, Net

Contributions receivable, net, are summarized as follows at July 31, 2013 :

Unconditional promises expected to be collected in:

	£ 000's
Less than one year	3,090
One to five years	2,925
	6,015
Less: provision for uncollectable amounts	708
Less : unamortized discount	960
Contributions receivable, net	4,347

Contributions to be received after one year are discounted using a risk-adjusted rate. The discount rate on all outstanding contributions was 4% at July 31, 2013.

Notes to Consolidated Financial Statements

Continued

Note 4 - Investments

The University investments comprise the net assets of the University's endowment plus other net assets of the University that are held as shares, bonds, units in traditional institutional funds or as cash deposits with a maturity period of greater than 3 months.

The valuation methodologies and inputs used for investments measure at fair value, as well as the general classification pursuant to the valuation hierarchy is as follows:

Equity Securities

Investments in equity securities or held as units in institutional equity funds, valued at the quoted prices in an active market with no adjustments, are classified within Level 1 of the fair value hierarchy.

Fixed Income Securities

When quoted prices are available in an active market with no adjustments, fixed income securities are classified within Level 1 of the fair value hierarchy. This includes investments held as units in institutional bond funds.

Quoted prices in inactive markets are classified within Level 2.

The fair values of investments as of July 31, 2013 were as follows :

Investment Assets	Level 1 £000's	Level 2 £000's	Level 3 £000's	Total £000's
Long term cash investments	126,404	-	-	126,404
Domestic common equity	122,520	-	-	122,520
Foreign common equity	73,038	-	-	73,038
Domestic fixed income	19,824	-	-	19,824
Foreign fixed income	2,717	-	-	2,717
Emerging market equity and debt	23,765	-	-	23,765
High yield	38,598	-	-	38,598
Other investments	241	636	-	877
Total investment assets	407,107	636	-	407,743

No investments were classified as level 3 investments, during the year ended July 31, 2013.

Notes to Consolidated Financial Statements

Continued

Note 4 - Investments (continued)

Investment return included in the statement of activities for the year ended:

Investment Returns	Unrestricted £000'ss	Temporarily Restricted £000's	Permanently Restricted £000's	Total £000's
Operating:				
Investment Income utilised in operations	9,407	8,163	-	17,570
Nonoperating Activities:				
Amounts used in operations net of investment income	-	(265)	-	(265)
Changes in net assets:				
Net realized and unrealized gain on endowment investments	-	27,866	12,295	40,161
Net realized and unrealized losses on other investments	1,227	-	-	1,227
Total Investment Returns	10,634	35,764	12,295	58,693

Note 5 - Property, Plant and Equipment

Property, plant and equipment consisted of the following at July 31, 2013:

Asset Category	Land and Buildings £000's	Equipment £000's	Assets held under Capital leases £000's	Total £000's
Costs				
Brought forward at July 31, 2012	768,139	120,118	10,448	898,705
Additions	51,981	18,572	68	70,621
Disposals	(2,931)	(4,244)	(48)	(7,223)
Balance at July 31, 2013	817,189	134,446	10,468	962,103
Depreciation				
Brought forward at July 31, 2012	114,101	86,884	1,901	202,886
Charge for the year	13,756	17,686	671	32,113
Disposals	(2,142)	(4,072)	(16)	(6,230)
Balance at July 31, 2013	125,715	100,498	2,556	228,769
Net book value carried down at July 31, 2013	691,474	33,948	7,912	733,334
Net book value carried forward at July 31, 2012	654,038	33,234	8,547	695,819

Interest expense totalling £16.49 million was capitalized to construction projects for the years to July 31, 2013. The depreciation charge for the year on interest capitalised was £0.2 million and the net book value included above is £11.61 million at July 31, 2013.

Asset retirement obligations of £ 0.3 million have been accrued and capitalized within Assets Held Under Capital Leases.

Notes to Consolidated Financial Statements

Continued

Note 6

Accounts payable, accrued expenses and deferred income

Accounts payable, accrued expenses and deferred income consisted of the following at July 31, 2013:

	£000s
Trade creditors	33,938
Taxation and social security	8,493
Accruals and deferred income	198,517
Provision for unfunded pensions	9,570
	250,518

Deposits and other deferred revenues

Deposits and other deferred revenues consisted of the following at July 31, 2013:

	£ 000's
Student Deposits and Prepayments	3,737
Other Deferred Revenues	12,888
	16,625

Note 7 - Debt Obligations

Debt obligations at July 31, 2013 consisted of the following :

	£000s
Bank loans falling due in the following financial years:	
2014	1,351
2015	12,332
2016	3,272
2017	3,263
2018	3,275
Thereafter	84,296
	107,789

The University's long term credit facilities, provided in order to support the University's on-going operations, are as follows:

- a) £10 million at a fixed rate of 6.99% p.a. repayable in 2015;
- b) £30 million at a fixed rate of 6.98% p.a. repayable in equal instalments between years 2026 and 2030;
- c) £9.9 million at an annual interest rate of 0.23% above the Bank of England base rate, repayable between 2014 and 2025;
- d) £7.2 million at a fixed rate of 5.89% p.a. repayable between 2014 and 2036; and
- e) £50.0 million at a fixed rate of 4.1% p.a. repayable between 2015 and 2037.

The University has no financial derivative instruments.

Notes to Consolidated Financial Statements

Continued

Note 8 - Post Retirement Obligations - Benefit Plans

Post retirement Benefits

The University participates in three active Pension schemes, The Universities Superannuation Scheme (USS) , the University of Edinburgh Staff Benefits Scheme (EUSBS) and the National Employment Saving Trust (NEST). The University also makes contributions to other legacy pension schemes that are closed to new employees. Some former employees of Moray House Institute of Education, the Edinburgh College of Art and the Medical Research Council (MRC) Human Genetics Unit are covered by the Scottish Teachers Superannuation Scheme (STSS), the Local Government Pension Scheme through Strathclyde Pensions Fund (SPF) and Lothian Pension fund and by the MRC Pension Scheme.

Total Pension costs for the year for the University of Edinburgh were as follows :

	£000's
USS	48,276
EUSBS	11,899
STSS	137
LPF	271
SPF	534
MRCPS	812
NEST	150
Other	1,114
	63,193

The Universities Superannuation Scheme (USS)

The University participates in the Universities Superannuation Scheme (USS). This is a section-wide defined benefit scheme. The assets of the scheme are held in a separate fund administered by the Trustee, Universities Superannuation Scheme Limited.

Because of the mutual nature of the scheme, the University is not able to identify its share of the underlying assets and liabilities of the scheme on a consistent and reasonable basis and therefore it accounts for the scheme as if it were a defined contribution scheme. As a result, the cost charged to the statement of Activities represents the contributions payable to the scheme in respect of the accounting period.

The total pension cost for the University was £48.276 million. The contribution rate payable by the University was 16% of pensionable salaries. As at July 31, 2013 the University had active members participating in USS.

Scottish Teachers Superannuation Scheme (STSS)

The University participates in the Scottish Teachers Superannuation Scheme (STSS). This is a defined benefit scheme and is multi-employer. The University is not able to identify its share of the underlying assets and liabilities of the scheme and therefore it accounts for the scheme as if it were a defined contribution scheme. The total pension cost for the University was £137,000. The contribution rate payable by the University is 14.9% of pensionable salaries.

Strathclyde Pension Fund (SPF)

The Strathclyde Pension Fund is an externally funded multi-employer, defined benefits scheme. This scheme is administered by Glasgow City Council and is no longer open to new University of Edinburgh Members. As at March 31, 2013 this scheme had approximately 50 active members. The contribution rate payable by the University is 21.3% of pensionable salaries.

Lothian Pension Fund (LPF)

As a result of the merger with the Edinburgh College of Art, the University obtained membership of the Lothian Pension Fund (LPF) with effect from August 1, 2011. LPF is an externally funded, multi-employer, defined benefits scheme, which is contracted out of the State Second Pension (S2P) Scheme. This scheme is administered by Edinburgh City Council. As at July 31, 2013 this scheme had approximately 48 active members. The contribution rate payable by the University is 17.3% of pensionable salaries.

Medical Research Council Pension Scheme (MRCPS)

The University obtained membership of the MRCPS with effect from October 1, 2011, when the Human Genetics Unit merged with the University. The MRCPS is a final salary, multi employer, and deemed to be a defined benefit pension scheme. The present MRCPS employers' contribution rate is 11%.

Notes to Consolidated Financial Statements

Continued

National Employment Savings Trust (NEST)

The University joined NEST in March 2013 in order to fulfil the new requirement introduced under the 2008 Pension act at that date to automatically enrol qualifying staff in a workplace pension scheme. The present employer's contribution rate paid by the University is 3%.

The University of Edinburgh Staff Benefits Scheme (EUSBS)

The Staff Benefits Scheme is an externally funded defined benefit pension scheme based on career average revalued earnings (CARE). Although the University is responsible for sponsoring the scheme, it is run by a board of trustees. The assets of the scheme are held in a separate trustee-administered fund. As at July 31, 2013 this scheme had around 5,714 members. The contribution rate payable by the University is 20.3% of pensionable salaries. The University pays an additional 7.5% of pensionable salary for employees who have opted for the salary sacrifice arrangement. Both the University and Members contribute to the scheme and the amount of pension payable is dependent upon:

- the length of time served in the scheme (known as pensionable service);
- career averaged earnings; and
- the scheme's accrual rate. The accrual rate is the proportion of salary that is received for each year of service.

The actuarial present value of the benefit obligations for EUSBS recognized in the accompanying statements of financial position at July 31, 2013 was as follows:

	Post retirement Plans £000's
Change in benefit obligation:	
Benefit obligation, beginning of year	324,000
Service cost	11,700
Interest cost	12,900
Plan participants' contributions	300
Actuarial loss	400
Benefits paid	(12,900)
Benefit obligation, end of year	336,400
Change in plan assets:	
Fair value of plan assets, beginning of year	235,200
Actual return of pension plan assets	43,300
Employer contributions	11,900
Plan participants' contributions	300
Benefits paid	(12,900)
Fair value of plan assets, end of year	277,800
Components of net periodic benefit cost:	
Service cost	11,700
Interest cost	12,900
Amortization of transition obligation	(15,100)
Amortization of unrecognized prior service costs	-
Net periodic benefit cost	9,500
Amounts recognized in the statements of financial position consist of:	
Accrued benefit liability EUSBS above	58,600
Accrued benefit liability in respect of SPF and LPF	5,581
Total accrued benefit liability	64,181

The figure disclosed in the Statement of Financial Position includes the liability in respect of the Strathclyde Pension Fund and the Lothian Pension Fund, both of which are multi-employer schemes. Total accumulated net actuarial gains in the year are £32.683m.

Notes to Consolidated Financial Statements

Continued

Note 8 - Post Retirement Obligations - Benefit Plans (continued)

The following assumptions were utilized by the actuaries in determining the scheme assets and liabilities for the EUSBS pension scheme:

Assumptions

Discount rate	4.60%
Rate of pay escalation*	4.30%
Rate of pension increases**	2.85%
Rate of return on assets	6.82%
Assumed underlying RPI rate	3.30%

* restricted to 2% p.a. for 13/14, 3.3% for 4 years and 4.3% thereafter

**2.5% for pensions earned pre 31 December 2010 and 3.2% for pensions earned post December 31, 2010.

Projected cash outflows for the years ending July 31 are as follows :

	£ 000's
2014	13,400
2015	13,600
2016	13,800
2017	14,000
2018	14,200
Years 2019- 2023	74,200

The EUSBS Trustee's overall investment strategy is to achieve a mix of investments to provide long term growth and short-term yield to meet near-term benefit payments, with a wide diversification of assets types, fund strategies and fund managers. The present asset mix of the EUSBS scheme is as follows :

Pension Investment assets	Level 1 £000's	Level 2 £000's	Level 3 £000's	Level 4 £000's
Cash and short-term investments	100	-	-	100
Domestic common and convertible equity	168,800	-	-	168,800
Domestic fixed income	64,500	-	-	64,500
Private equity/venture capital	-	-	19,900	19,900
Real assets (e.g. real estate)	-	24,500	-	24,500
Total investment assets	233,400	24,500	19,900	277,800

Notes to Consolidated Financial Statements

Continued

Level 3 roll forward Pension Investment assets	Balance as at August 1, 2012	Realized gains/(losses)	Change in unrealized gains/(losses)	Net Purchases/ (sales) level 3	Net transfers in/(out)	Balance as at July 31, 2013
	£000's	£000's	£000's	£000's	£000's	£000's
Private equity/venture capital	10,900		9,000			19,900
Total investment assets	10,900		9,000			19,900

Note 9 - Asset Retirement Obligations

As at July 31, 2013 consisted of the following :

	£ 000's
The obligations on lease of properties provided brought forward	766
Release from provision	(49)
The obligations on lease of properties provided carried forward	717
Depreciation brought forward	348
Adjustment to depreciation re asset obligation	(17)
Depreciation charged to current year	84
Depreciation carried down	415
Net Asset retirement brought forward	418
Net Asset retirement carried forward	302

Note 10 - Capital Lease Obligations

The University leases certain equipment under long-term capital lease agreements. Future minimum lease payments are as follows at July 31, 2013 :

Years Ending July 31

	£ 000's
2014	1,341
2015	1,016
2016	961
2017	986
2018	981
Thereafter	16,774
Total minimum lease payments	22,059
Less amount representing interest	14,490
Future minimum capital payments	7,569
Present value of net minimum lease payments	13,200

Interest expense on capital leases totalled £0.66 million for the year ended July 31, 2013.

Notes to Consolidated Financial Statements

Continued

The cost and accumulated depreciation related to equipment and other assets held under capital leases are as follows at July 31, 2013.

	£ 000's
Cost	9,750
Less accumulated depreciation	2,140
Net book value	7,610

Depreciation expense on leased equipment was £0.59 million for the year ended July 31, 2013.

Note 11 - Endowment

The University's endowment consists of approximately 350 individual funds established for a variety of purposes including the provision of scholarships, bursaries, prizes and support for academic chairs and research. The investment strategy is to maintain the real value of capital and, at the same time, grow the income in real terms to support the purposes for which the money has been endowed. Its endowment includes both donor-restricted endowment funds where the University is required to permanently maintain the capital of the fund and funds designated by the University's Court to be treated as endowments. As required by US GAAP, net assets associated with endowment funds, including funds designated by Court to function as endowments, are classified and reported based on the existence or absence of donor-imposed restrictions. Restricted funds designated by the University Court to function as endowments are classified as temporarily restricted.

The following table summarizes endowment net asset composition, by type of fund, as of July 31, 2013 :

	Temporarily Restricted £ 000's	Permanently Restricted £ 000's	Total £ 000's
Donor-restricted endowment funds	193,365	85,687	279,052
Total funds	193,365	85,687	279,052

The following table summarizes the changes in endowment net assets for the fiscal year 2013 :

	Temporarily Restricted £ 000's	Permanently Restricted £ 000's	Total £ 000's
Endowment net assets, beginning of year	167,199	71,130	238,329
Contributions and bequests	262	2,262	2,524
Appropriation of endowment assets for capital purposes	(1,697)		(1,697)
Appropriation of endowment assets for expenditure	(5,912)	(2,516)	(8,428)
Interest and dividends	5,647	2,516	8,163
Investment gain	27,866	12,295	40,161
Endowment net assets, end of year	193,365	85,687	279,052

Notes to Consolidated Financial Statements

Continued

Note 12 - Commitment and Contingencies

The University has entered into numerous non cancellable operating lease agreements and other agreements. The commitments under such agreements provide for minimum annual payments as follows :

Year ending 31 July:

	£ 000's
2014	4,280
2015	3,633
2016	6,956
2017	2,375
2018	2,346
Thereafter	21,462
	41,052

Rental expense for all operating leases totaled £8.52 million for the year ended July 31, 2013.

As of July 31, 2013, the University had entered into renovation and construction contracts and commitments totalling £73.0 million. Of this, £31.7 million will be funded through grants and benefactions.

Note 13 - Temporarily Restricted Net Assets

Temporarily restricted net assets are restricted by donors for the following purposes at July 31, 2013 :

	£000's
Purpose restricted :	
Expendable endowments	193,365
Total temporarily restricted	193,365

Note 14 - Permanently Restricted Net Assets

	£ 000's
Permanently restricted net assets consist of :	
Portion of invested endowment funds required to be maintained in perpetuity	85,687
Contributions receivable	
Total permanently restricted net assets	85,687

Note 15 - Fair Value of Finance Instruments

The carrying amounts of cash and cash equivalents and research, student and other receivables (note 2) approximate to fair value because of the terms and relatively short maturity of these financial instruments. Investments, (note 4) and pension plan assets reported in post retirement obligation (note 8) are reported at fair value as at the date of the consolidated financial statements.

The carrying amounts of accounts payable, accrued expenses and deferred income (note 6), approximate to fair value because of the relatively short maturity of these financial instruments.

The fair value hierarchy disclosed in investment note 4 and post retirement obligations pension assets in note 8 gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of fair value hierarchy are as follows :

Notes to Consolidated Financial Statements

Continued

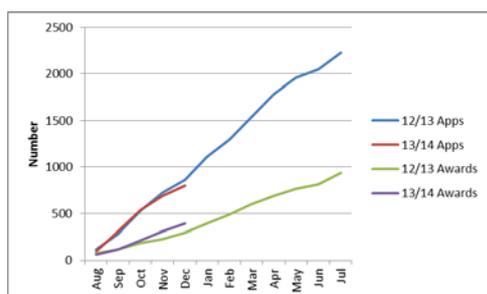
Level 1 - Unadjusted quoted prices in active markets for identical assets or liabilities accessible to the reporting entity at the measurement date.

Level 2 - Other than quoted prices included in Level 1 inputs that are observable for the asset or liability, either directly or indirectly, for substantially the full term of the asset or liability.

Level 3 - Unobservable inputs for the asset or liability used to measure fair value to the extent that observable inputs are not available, thereby allowing for situations in which there is little, if any, market activity for the asset or liability at measurement date.

Note 16 - Related Party Transactions

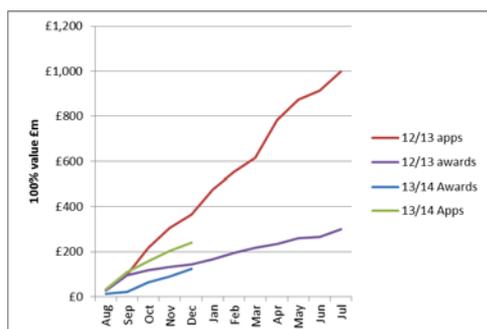
Due to the nature of the University's operations and the composition of Court (being drawn from local public and private organisations) it is inevitable that transactions will take place with organisations in which a member of Court may have an interest. All transactions involving organisations in which a member of Court may have an interest are conducted at arm's length and in accordance with the University's financial regulations and normal procurement procedures. All the transactions with the related parties are conducted on normal commercial terms, or on the basis of a simple recharge of direct costs incurred.



Applications and awards - volume

The number of applications to date is 7% lower than at the same time last year at **801**.

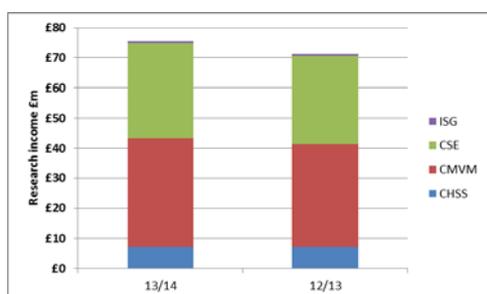
The number of award letters received is 34% higher than at the same time last year at **394**



Applications and awards - value

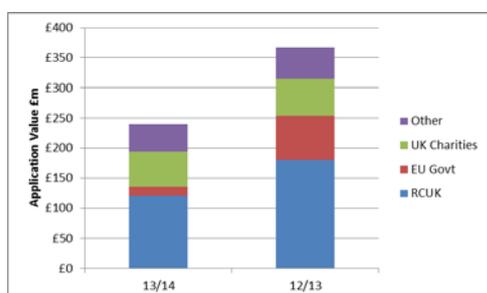
The value of applications to date is 35% lower than at the same time last year at **£240m**

The 100% value of awards received is 15% lower than at the same time last year at **£123m**, however last year's figures included the quinquennial award for the HGU of **£59.7m**. Removing this one award from the prior year dataset would mean that award value has increased by **46%**.



University research income

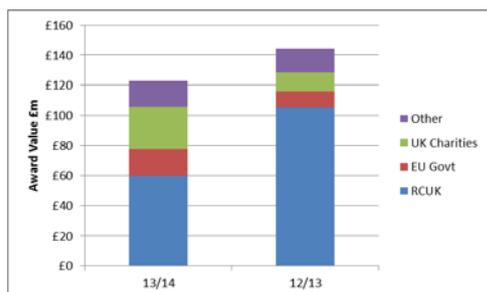
University Research income rose by 6% to **£75.6m** compared to the same time last year.



Sponsor mix - applications

Applications to the Research Councils are down by 33% compared to last year although still represents 50% of total applications.

Applications to EU Government show a 79% drop; this was predicted as a result of the hiatus between the old FP7 and new H2020 Framework Programmes. H2020 has now launched, and we would expect to see application activity start to rise, although this will be relatively slow at first. Applications to UK Charities show a 4% drop in value compared to last year, although the numbers are 9% up.



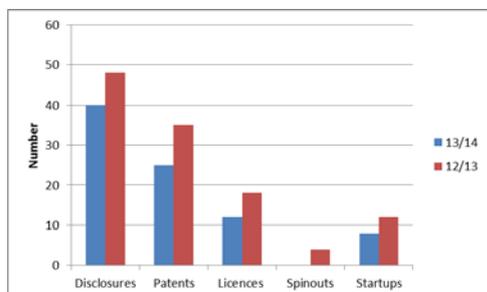
Sponsor mix - awards

Awards from Research Councils are down by **43%** (impact of HGU award see above) and represent **49%** of total awards. Awards from UK charities and EU Government on the other hand are up by **68%**, and **126%** respectively, compared to last year. This is pleasing as both represent priority growth areas for research funding.

	Dec 12	Dec 11	Dec 10
UOE	40%	39%	34%
CHSS	36%	30%	31%
CMVM	41%	43%	31%
CSE	41%	43%	37%

Application success rates

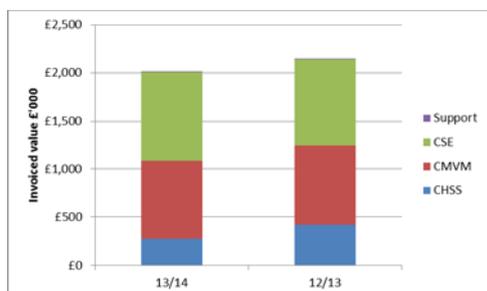
The rolling success rate of applications made over a twelve month period to 31 December 2012 for the University as a whole was **40%**; the equivalent figure for the previous 12 month period was 39%. It is pleasing to note the effects of CHSS's mandatory internal peer review process.



Commercialisation activity

In the year to date there were **40** disclosure interviews (PY 48), **25** patents filed (PY 35) and **12** revenue bearing licences (PY 18).

Total number of companies formed were **8** (PY 16)



Consultancy (processed through ERI)

Consultancy processed through ERI is down by **6%** compared to this time last year at **£2.0m**.

Ian Lamb
 Hamish MacAndrew
 Edinburgh Research and Innovation Limited
 20 January 2014

Edinburgh Research and Innovation Limited
Research and Commercialisation Report
For the 5 months to 31 December 2013

Table 1
Research applications, awards and income by College

	Year to			Month of			Full Year 31 Jul 13
	31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance	
All Research Applications - number							
CHSS	280	264	6%	42	32	31%	629
CMVM	247	283	(13%)	38	32	19%	817
CS&E	269	314	(14%)	33	76	(57%)	765
Support Services (ISG etc)	5	2	150%	-	1	(100%)	10
Total - number	801	863	(7%)	113	141	(20%)	2,221
All Research Applications - value - 100% project value							
CHSS	46,673	53,723	(13%)	3,610	9,720	(63%)	136,156
CMVM	97,061	135,592	(28%)	18,091	9,900	83%	407,874
CS&E	95,621	177,111	(46%)	14,006	40,177	(65%)	448,118
Support Services (ISG etc)	298	928	(68%)	-	609	(100%)	2,796
Total - value £'000	239,653	367,354	(35%)	35,707	60,406	(41%)	994,944
All Research Awards - number							
(a) Number of awards/contracts received (Note 1)							
CHSS	86	66	30%	27	21	29%	242
CMVM	133	126	6%	18	17	6%	367
CS&E	170	101	68%	34	26	31%	314
Support Services (ISG etc)	5	2	150%	2	1	100%	11
Total - number	394	295	34%	81	65	25%	934
(b) Awarded to Constituent parties (Note 2)							
CHSS	110	75	47%	32	22	45%	284
CMVM	154	160	(4%)	21	23	(9%)	432
CS&E	210	123	71%	40	31	29%	405
Support Services (ISG etc)	5	3	67%	2	1	100%	13
Total - number	479	361	33%	95	77	23%	1,134
All Research Awards - value - 100% project value							
CHSS	10,130	5,660	79%	1,121	492	128%	25,136
CMVM	42,798	103,982	(59%)	6,996	5,342	31%	172,873
CS&E	69,347	32,322	115%	24,296	7,290	233%	98,497
Support Services (ISG etc)	495	2,243	(78%)	174	609	(71%)	4,146
Total - value £'000	122,770	144,207	(15%)	32,587	13,733	137%	300,652
All Research Awards - value - Sponsor contribution							
CHSS	8,648	4,900	76%	954	442	116%	21,426
CMVM	39,203	99,823	(61%)	6,379	4,509	41%	161,235
CS&E	60,977	27,045	125%	21,315	5,956	258%	85,283
Support Services (ISG etc)	445	1,905	(77%)	157	609	(74%)	3,644
Total - value £'000	109,273	133,673	(18%)	28,805	11,516	150%	271,588
Research Income £'000							
CHSS	7,164	7,284	(2%)	1,562	2,698	(42%)	17,449
CMVM	36,213	34,138	6%	5,791	6,727	(14%)	96,873
CS&E	31,595	29,191	8%	6,444	4,407	46%	83,376
Support Services (ISG etc)	626	679	(8%)	103	104	(1%)	2,126
Total - value £'000	75,598	71,292	6%	13,900	13,936	(0%)	199,824

Note 1: denotes the number of research award letters/contracts received, where there is a one-to-one mapping of that award letter/contract to the original application submitted

Note 2: denotes the number of constituent parts of research awards/contracts received, where a constituent comprises a School or Research Centre share of the award budget. Some large projects, for example, may have a number of investigators, each with a share of the budget, in which case this dataset recognises, and therefore counts, each of these constituents as a separate item.

All data is presented with reference to the University Financial Year starting on 1 August.

Edinburgh Research and Innovation Limited
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Table 2
Research applications and awards by funding source (100% project value)

Applications	Values £'000							Numbers						
	Year to			Month of			Full Year	Year to			Month of			Full Year
	31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance		31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance	
UK - Research Council	119,934	180,128	(33%)	20,602	34,144	(40%)	528,414	275	320	(14%)	33	55	(40%)	656
UK - Charity	58,442	60,725	(4%)	8,782	10,263	(14%)	162,995	236	217	9%	41	29	41%	681
UK - Government	19,649	29,734	(34%)	815	1,402	(42%)	45,081	102	58	76%	8	10	(20%)	172
EU - Government	15,470	73,432	(79%)	609	12,026	(95%)	172,012	42	108	(61%)	4	10	(60%)	258
UK - Universities etc.	12,530	11,447	9%	1,456	1,423	2%	42,105	78	71	10%	15	21	(29%)	198
UK - Health Authorities	7,080	2,346	202%	1,295	-	-	16,459	10	6	67%	3	-	-	28
EU - Other	1,435	563	155%	267	131	104%	2,762	13	9	44%	1	2	(50%)	25
EU - Industry	1,284	407	215%	1,165	43	2609%	950	3	5	(40%)	1	2	(50%)	12
Overseas - Universities etc.	899	314	186%	68	-	-	1,029	6	3	100%	2	-	-	11
Overseas - Charities	813	1,177	(31%)	18	192	(91%)	5,812	10	11	(9%)	1	1	0%	33
Overseas - Government	783	2,117	(63%)	380	14	2614%	4,198	6	8	(25%)	1	1	0%	24
UK - Industry	775	3,866	(80%)	250	252	(1%)	7,694	12	33	(64%)	3	7	(57%)	90
Overseas - Industry	393	240	64%	-	9	(100%)	3,731	4	8	(50%)	-	1	(100%)	21
Overseas - Other	166	858	(81%)	-	507	(100%)	1,702	4	6	(33%)	-	2	(100%)	12
	239,653	367,354	(35%)	35,707	60,406	(41%)	994,944	801	863	(7%)	113	141	(20%)	2,221
	-	-		-	-		-	-	-		-	-		-
Awards	Values £'000							Numbers						
	Year to			Month of			Full Year	Year to			Month of			Full Year
	31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance		31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance	
UK - Research Council	59,838	105,455	(43%)	12,858	10,202	26%	177,431	91	85	7%	13	15	(13%)	234
EU - Government	28,287	12,529	126%	10,667	923	1056%	30,792	58	19	205%	14	3	367%	71
UK - Charity	17,729	10,549	68%	4,761	913	421%	36,633	92	77	19%	20	20	0%	303
UK - Universities etc.	7,686	4,612	67%	1,273	525	142%	16,059	44	32	38%	13	6	117%	91
UK - Government	2,875	4,581	(37%)	807	697	16%	21,746	42	23	83%	7	10	(30%)	79
UK - Industry	1,436	1,978	(27%)	288	253	14%	6,044	22	33	(33%)	5	5	0%	81
EU - Industry	1,299	387	236%	1,180	43	2644%	1,090	4	3	33%	2	2	0%	9
Overseas - Government	1,112	544	104%	-	75	(100%)	1,478	11	6	83%	-	1	(100%)	12
EU - Other	650	124	424%	240	64	275%	652	5	2	150%	1	2	(50%)	12
Overseas - Other	633	-	-	-	-	-	306	5	-	-	-	-	-	2
UK - Health Authorities	465	2,519	(82%)	443	-	-	2,631	4	4	0%	2	-	-	7
Overseas - Charities	304	357	(15%)	18	-	-	1,815	5	4	25%	1	-	-	13
Overseas - Industry	293	200	47%	16	9	78%	3,173	7	5	40%	1	1	0%	12
Overseas - Universities etc.	163	372	(56%)	36	29	24%	802	4	2	100%	2	-	-	8
	122,770	144,207	(15%)	32,587	13,733	137%	300,652	394	295	34%	81	65	25%	934
	-	-		-	-		-	-	-		-	-		-

Note: The award numbers in this table now reflect our new dataset, the Number of Awards/contracts received (see Table 1, footnote 1).

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Table 3A
Research applications by School

Applications	Values £'000							Numbers						
	Year to			Month of			Full Year	Year to			Month of			Full Year
	31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance		31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance	
Social and Political Science	10,534	8,026	31%	1,539	3,002	(49%)	32,186	55	36	53%	12	5	140%	99
Economics	6,344	887	615%	-	-	-	8,663	3	4	(25%)	-	-	-	13
History, Classics And Archaeology	5,814	3,852	51%	65	180	(64%)	6,814	32	33	(3%)	2	3	(33%)	75
Literatures, Languages and Cultures	5,487	3,750	46%	566	-	-	13,288	29	27	7%	5	-	-	66
Edinburgh College of Art	3,862	6,226	(38%)	36	365	(90%)	11,386	47	41	15%	9	4	125%	88
Philosophy, Psychology and Language Sciences	3,528	13,244	(73%)	160	4,831	(97%)	27,219	21	44	(52%)	2	5	(60%)	75
Moray House School of Education	2,996	2,788	7%	907	58	1464%	8,923	36	29	24%	6	6	0%	76
Law	2,756	3,246	(15%)	-	229	(100%)	7,094	13	13	0%	-	2	(100%)	32
Divinity	1,952	3,380	(42%)	45	167	(73%)	7,535	11	15	(27%)	1	1	0%	29
Health in Social Science	1,773	7,678	(77%)	242	846	(71%)	9,555	16	15	7%	3	4	(25%)	45
Business School	1,627	646	152%	50	42	19%	3,493	17	7	143%	2	2	0%	31
College General	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total CHSS	46,673	53,723	(13%)	3,610	9,720	(63%)	136,156	280	264	6%	42	32	31%	629
Clinical Sciences	45,131	60,385	(25%)	6,837	5,759	19%	205,842	99	105	(6%)	9	8	13%	355
Molecular, Genetic and Population Health Sciences	21,811	49,780	(56%)	975	3,329	(71%)	88,174	67	83	(19%)	7	12	(42%)	188
Royal (Dick) School of Veterinary Studies	17,422	14,321	22%	6,315	617	924%	73,440	53	56	(5%)	17	8	113%	161
Biomedical Sciences	12,697	11,106	14%	3,964	195	1933%	40,387	28	39	(28%)	5	4	25%	111
College General	-	-	-	-	-	-	31	-	-	-	-	-	-	2
Total CMVM	97,061	135,592	(28%)	18,091	9,900	83%	407,874	247	283	(13%)	38	32	19%	817
Physics	33,339	19,935	67%	8,812	2,591	240%	49,584	59	50	18%	6	15	(60%)	107
Biological Sciences	33,013	50,995	(35%)	1,524	9,850	(85%)	119,368	73	79	(8%)	7	11	(36%)	184
Informatics	12,089	31,359	(61%)	1,826	3,973	(54%)	103,455	35	32	9%	6	5	20%	119
Geosciences	6,087	17,364	(65%)	560	6,690	(92%)	34,888	45	54	(17%)	7	23	(70%)	114
Chemistry	4,641	30,781	(85%)	211	6,910	(97%)	64,008	21	33	(36%)	2	5	(60%)	93
Engineering	4,443	21,174	(79%)	1,053	9,962	(89%)	63,481	29	52	(44%)	4	14	(71%)	126
Mathematics	2,009	4,675	(57%)	20	201	(90%)	12,506	7	13	(46%)	1	3	(67%)	21
College General	-	828	(100%)	-	-	-	828	-	1	(100%)	-	-	-	1
Total CSE	95,621	177,111	(46%)	14,006	40,177	(65%)	448,118	269	314	(14%)	33	76	(57%)	765
Support Services	298	928	(68%)	-	609	(100%)	2,796	5	2	150%	-	1	(100%)	10
Total UOE	239,653	367,354	(35%)	35,707	60,406	(41%)	994,944	801	863	(7%)	113	141	(20%)	2,221

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Table 3B
Research awards by School

Awards	Values £'000						Numbers							
	Year to			Month of			Full Year 31 Jul 13	Year to			Month of			Full Year 31 Jul 13
	31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance		31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance	
Philosophy, Psychology and Language Sciences	4,147	1,138	264%	30	192	(84%)	2,420	10	11	(9%)	3	4	(25%)	33
Social and Political Science	2,357	1,583	49%	568	3	18833%	8,832	28	17	65%	11	2	450%	61
Law	1,888	544	247%	20	2	900%	3,675	9	4	125%	1	1	0%	16
Literatures, Languages and Cultures	701	306	129%	312	78	300%	881	11	9	22%	3	2	50%	29
Moray House School of Education	304	329	(8%)	90	36	150%	2,353	14	10	40%	5	4	25%	33
Business School	271	17	1494%	48	-	-	593	12	4	200%	2	-	-	11
History, Classics And Archaeology	204	207	(1%)	6	3	100%	1,639	7	4	75%	3	2	50%	32
Health in Social Science	158	38	316%	24	-	-	469	4	2	100%	1	-	-	12
Edinburgh College of Art	78	460	(83%)	8	12	(33%)	2,459	12	10	20%	2	6	(67%)	42
Divinity	22	171	(87%)	15	166	(91%)	930	3	3	0%	1	1	0%	12
Economics	-	867	(100%)	-	-	-	885	-	1	(100%)	-	-	-	3
College General	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total CHSS	10,130	5,660	79%	1,121	492	128%	25,136	110	75	47%	32	22	45%	284
Clinical Sciences	25,301	20,948	21%	3,631	4,234	(14%)	57,021	70	67	4%	7	8	(13%)	186
Molecular, Genetic and Population Health Sciences	8,082	66,273	(88%)	1,118	109	926%	83,949	39	40	(3%)	3	2	50%	108
Royal (Dick) School of Veterinary Studies	6,778	12,412	(45%)	989	356	178%	21,909	30	34	(12%)	6	8	(25%)	86
Biomedical Sciences	2,637	4,349	(39%)	1,258	643	96%	9,994	15	19	(21%)	5	5	0%	52
College General	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total CMVM	42,798	103,982	(59%)	6,996	5,342	31%	172,873	154	160	(4%)	21	23	(9%)	432
Physics	19,597	9,272	111%	17,041	683	2395%	16,226	30	19	58%	8	3	167%	66
Geosciences	14,205	4,501	216%	1,526	476	221%	13,779	50	30	67%	12	6	100%	93
Chemistry	10,899	2,479	340%	119	1,049	(89%)	6,357	24	15	60%	1	4	(75%)	41
Biological Sciences	9,025	8,379	8%	2,797	2,860	(2%)	20,326	37	17	118%	7	4	75%	77
Informatics	8,795	1,306	573%	1,905	996	91%	25,421	32	12	167%	7	4	75%	52
Engineering	5,821	6,194	(6%)	888	1,226	(28%)	13,473	34	26	31%	4	10	(60%)	63
Mathematics	1,005	191	426%	20	-	-	2,915	3	4	(25%)	1	-	-	13
College General	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total CSE	69,347	32,322	115%	24,296	7,290	233%	98,497	210	123	71%	40	31	29%	405
Support Services	495	2,243	(78%)	174	609	(71%)	4,146	5	3	67%	2	1	100%	13
Total UOE	122,770	144,207	(15%)	32,587	13,733	137%	300,652	479	361	33%	95	77	23%	1,134

Note: The award numbers in this table detail those awarded to constituent parties (see Table 1, footnote 2).

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Table 4
Commercialisation activity

	Year to			Month of			Full Year 31 Jul 13
	31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance	
Disclosure Interviews							
CHSS	1	1	0%	-	-	-	7
CMVM	12	28	(57%)	7	9	(22%)	86
CS&E	27	19	42%	1	2	(50%)	82
Total - number	40	48	(17%)	8	11	(27%)	175
Patents filed on Technologies - by College							
CHSS	-	-	-	-	-	-	-
CMVM	12	15	(20%)	1	2	(50%)	28
CS&E	13	20	(35%)	3	2	50%	39
Total - number	25	35	(29%)	4	4	0%	67
Patents filed on Technologies - by Type of filing							
Priority Filings	16	14	14%	3	2	50%	28
PCT Filings	5	7	(29%)	1	1	0%	16
Other/National Filings	4	14	(71%)	-	1	(100%)	23
Total - number	25	35	(29%)	4	4	0%	67
Licences signed (excluding non revenue bearing licences)							
CHSS	-	1	(100%)	-	-	-	7
CMVM	6	7	(14%)	1	-	-	16
CS&E	6	10	(40%)	1	2	(50%)	27
Total - number	12	18	(33%)	2	2	0%	50
Spin-out companies created							
- Number	-	4	(100%)	-	-	-	5
Start-up companies created							
- Number	8	12	(33%)	-	3	(100%)	30

Table 5
Consultancy processed through ERI

	Year to			Month of			Full Year 31 Jul 13
	31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance	
By Business Type - Invoiced value £'000							
Scotland - Commerce	253	560	(55%)	49	84	(42%)	985
Scotland - Government	404	238	70%	46	56	(18%)	747
Rest of UK - Commerce	684	567	21%	169	137	23%	1,277
Rest of UK - Government	88	90	(2%)	21	46	(54%)	387
International - Commerce	516	620	(17%)	84	83	1%	1,294
International - Government	62	64	(3%)	40	7	471%	173
Total - value £'000	2,007	2,139	(6%)	409	413	(1%)	4,863
By College - Invoiced value £'000							
CHSS	281	419	(33%)	35	80	(56%)	980
CMVM	802	830	(3%)	227	175	30%	1,917
CS&E	922	889	4%	147	157	(6%)	1,958
Support Services (CSG, ISG etc)	2	1	100%	-	1	(100%)	8
Total - value £'000	2,007	2,139	(6%)	409	413	(1%)	4,863
	-	-		-	-		-

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Table 6
Consultancy Income by School £

	Year to			Month of			Full Year 31 Jul 13
	31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance	
Philosophy, Psychology and Language Sciences	39,284	11,931	229%	18,027	-	-	30,732
Social and Political Science	61,965	179,356	(65%)	1,826	48,250	(96%)	291,049
Moray House School of Education	54,591	39,507	38%	3,000	(3,140)	-	207,596
Business School	33,837	132,017	(74%)	9,419	33,750	(72%)	255,648
Health in Social Science	40,444	14,958	170%	3,723	1,444	158%	50,329
Edinburgh College of Art	-	20,566	(100%)	-	-	-	43,365
Law	51,474	3,000	1616%	-	-	-	48,254
Divinity	1,975	-	-	-	-	-	15,351
Literatures, Languages and Cultures	-	2,583	(100%)	-	-	-	5,043
Economics	-	-	-	-	-	-	-
History, Classics And Archaeology	-	-	-	-	-	-	-
College General	(2,500)	15,368	(116%)	(1,000)	-	-	32,870
Total CHSS	281,069	419,286	(33%)	34,996	80,304	(56%)	980,239
Molecular, Genetic and Population Health Sciences	467,925	288,416	62%	187,370	39,467	375%	771,115
Clinical Sciences	182,538	139,076	31%	21,368	37,817	(43%)	522,252
Royal (Dick) School of Veterinary Studies	89,923	58,518	54%	13,227	17,808	(26%)	152,413
Biomedical Sciences	33,163	320,925	(90%)	-	67,153	(100%)	399,809
College Central	28,316	22,900	24%	5,618	13,000	(57%)	71,786
Total CMVM	801,865	829,835	(3%)	227,583	175,245	30%	1,917,374
Biological Sciences	102,234	41,646	145%	21,669	11,144	94%	154,289
Geosciences	315,949	358,389	(12%)	66,559	134,640	(51%)	785,190
Informatics	76,458	115,851	(34%)	1,150	4,000	(71%)	215,795
Engineering	205,081	113,346	81%	41,686	4,089	920%	278,775
Physics	222,682	143,170	56%	12,821	750	1609%	160,473
Mathematics	5,700	-	-	1,200	-	-	5,644
Chemistry	21,098	101,541	(79%)	1,700	680	150%	263,883
College Central	(27,500)	14,600	(288%)	-	2,000	(100%)	93,919
Total CSE	921,702	888,542	4%	146,784	157,303	(7%)	1,957,968
Support Services	1,902	1,265	50%	-	625	(100%)	8,285
Total UOE	2,006,538	2,138,928	(6%)	409,363	413,477	(1%)	4,863,865
	-	-		-	-		-

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Table 7
Disclosure Interviews by School

	Year to			Month of			Full Year 31 Jul 13
	31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance	
Business School	1	-	-	-	-	-	1
College General	-	-	-	-	-	-	-
Divinity	-	-	-	-	-	-	-
Economics	-	-	-	-	-	-	-
Edinburgh College of Art	-	1	(100%)	-	-	-	1
Health in Social Science	-	-	-	-	-	-	-
History, Classics And Archaeology	-	-	-	-	-	-	-
Law	-	-	-	-	-	-	-
Literatures, Languages and Cultures	-	-	-	-	-	-	-
Moray House School of Education	-	-	-	-	-	-	5
Philosophy, Psychology and Language Sciences	-	-	-	-	-	-	-
Social and Political Science	-	-	-	-	-	-	-
Total CHSS	1	1	0%	-	-	-	7
	-	-	-	-	-	-	-
Biomedical Sciences	-	1	(100%)	-	-	-	3
Clinical Sciences	3	11	(73%)	1	2	(50%)	46
Molecular, Genetic and Population Health Sciences	4	4	0%	4	3	33%	17
Royal (Dick) School of Veterinary Studies	5	12	(58%)	2	4	(50%)	20
College Central	-	-	-	-	-	-	-
Total CMVM	12	28	(57%)	7	9	(42%)	86
	-	-	-	-	-	-	-
Biological Sciences	8	4	100%	1	-	-	14
Chemistry	4	3	33%	-	-	-	31
Engineering	12	6	100%	-	1	(100%)	22
Geosciences	1	5	(80%)	-	1	(100%)	12
Informatics	2	1	100%	-	-	-	2
Mathematics	-	-	-	-	-	-	-
Physics	-	-	-	-	-	-	1
College Central	-	-	-	-	-	-	-
Total CSE	27	19	42%	1	2	(50%)	82
	-	-	-	-	-	-	-
Support Services	-	-	-	-	-	-	-
Total UOE	40	48	(17%)	8	11	(27%)	175
	-	-	-	-	-	-	-

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Table 8
Patent filings by School

	Year to								Month of								Full Year			
	31 Dec 13				31 Dec 12				Dec 13				Dec 12				31 Jul 13			
	Priority	PCT	Other	Total	Priority	PCT	Other	Total	Priority	PCT	Other	Total	Priority	PCT	Other	Total	Priority	PCT	Other	Total
Business School	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Divinity	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Economics	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Edinburgh College of Art	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Health in Social Science	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
History, Classics And Archaeology	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Law	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Literatures, Languages and Cultures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Moray House School of Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Philosophy, Psychology and Language Sciences	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Social and Political Science	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total CHSS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Biomedical Sciences	3	-	-	3	1	-	1	2	-	-	-	-	1	-	-	1	1	-	1	2
Clinical Sciences	3	1	1	5	4	1	3	8	1	1	-	1	-	1	-	1	7	4	5	16
Molecular, Genetic and Population Health Sciences	1	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2	2
Royal (Dick) School of Veterinary Studies	2	1	-	3	3	-	2	5	-	-	-	-	-	-	-	-	5	1	2	8
Total CMVM	9	2	1	12	8	1	6	15	1	-	-	1	1	-	1	2	13	5	10	28
Biological Sciences	2	-	-	2	-	2	1	3	-	-	-	-	-	-	-	-	-	2	1	3
Chemistry	1	1	1	3	1	2	1	4	-	-	-	-	1	1	-	1	2	5	2	9
Engineering	4	2	2	8	4	2	4	10	2	1	-	3	1	-	-	1	11	3	8	22
Geosciences	-	-	-	-	-	-	1	1	-	-	-	-	-	-	-	-	-	-	1	1
Informatics	-	-	-	-	1	-	1	2	-	-	-	-	-	-	-	-	2	1	1	4
Mathematics	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Physics	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total CSE	7	3	3	13	6	6	8	20	2	1	-	3	1	1	-	2	15	11	13	39
Support Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total UOE	16	5	4	25	14	7	14	35	3	1	-	4	2	1	1	4	28	16	23	67

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Table 9
Licences signed by School (excluding non-revenue bearing licences)

	Year to			Month of			Full Year 31 Jul 13
	31 Dec 13	31 Dec 12	Variance	Dec 13	Dec 12	Variance	
Business School			-			-	
Divinity			-			-	
Economics			-			-	
Edinburgh College of Art			-			-	
Health in Social Science			-			-	
History, Classics And Archaeology			-			-	
Law			-			-	
Literatures, Languages and Cultures			-			-	
Moray House School of Education		1	(100%)			-	7
Philosophy, Psychology and Language Sciences			-			-	
Social and Political Science			-			-	
Total CHSS	-	1	(100%)	-	-	-	7
	-	-		-	-		-
Biomedical Sciences							
Clinical Sciences		1	(100%)			-	3
Molecular, Genetic and Population Health Sciences	4	4	0%			-	5
Royal (Dick) School of Veterinary Studies	2	2	0%	1		-	8
Total CMVM	6	7	(14%)	1	-	-	16
	-	-		-	-		-
Biological Sciences							
Chemistry	2	3	(33%)		1	(100%)	9
Engineering	1	1	0%			-	6
Geosciences	2	2	0%			-	4
Informatics							2
Mathematics	1	3	(67%)	1	1	0%	5
Physics		1	(100%)			-	1
Total CSE	6	10	(40%)	1	2	(50%)	27
	-	-		-	-		-
Support Services							
Total UOE	12	18	(33%)	2	2	0%	50
	-	-		-	-		-

EUSA President's update to Court

17 February 2014

The New Year means that the sabbatical officers are now over half-way through our terms and we're looking towards elections in March where our successors will be elected. This semester holds a great deal of work still. In addition to the elections, we're holding LGBT+ history month in February, working closely with University Colleagues to move forward work on teaching and learning and are in the process of planning for the future.

Scottish Government Funding

EUSA has submitted an application to the Scottish Government 'Cashback for Communities' fund. Our proposal to establish a 'Volunteering Impact Fund' focussed on the potential impact student volunteering can have on the local community as well as the development benefits to our students of undertaking this kind of activity. We asked for funding for EUSA to disseminate to student groups to deliver community projects, or to charities for local projects utilising Edinburgh University student volunteers, supported by the Volunteering service. If successful the project will be worth £250,000 over 2 years, from July 2014. We will be informed of the outcome at the end of February.

School Councils and Academic Engagement

This pilot project has made excellent progress – we initially set out to establish a minimum of 6 Councils by the end of the year, and to date 7 Schools have worked with us to hold their first meetings, with a further 3 to begin next semester. There is clearly an appetite amongst the students and staff in these areas to foster more active student engagement, and we believe this type of local interaction will help to revitalise student representation and democracy as well as academic community. The initial event in the School of Informatics attracted over 50 students for example. Phil McGuinness, our School Councils Co-ordinator attended an event earlier this month for Russell Group institutions developing this area of work, where it became apparent that again EUSA is pioneering this localised democracy. We are hoping that we can attract students engaged in the Councils into standing for our own elected positions in March.

Peer support success

Katie Scott, Peer Support Co-ordinator has been conducting research into the impact of peer support on the student experience, in partnership with the University of Wollongong, Australia. The outcomes of this research will be shared and debated at the International PASS Conference at the University of Missouri-Kansas. The pace of development of peer support at Edinburgh combined with our partnerships with the national centre at Manchester University means we are fast becoming recognised within the UK as sector leading, and this international research takes this to the next level.

Audit and year end accounts

We continue to make progress with bring our finances into line, with our year end accounts being signed off four months ahead of last year and our audit report being half the length of last year's. There's still more to do but I'm confident that we're making headway.

New CEO

Steve Hubbard formally joined us on 4th February as our new Chief Executive Officer. He will be starting with us on three days a week before moving up to full-time in April.

Strategic Plan

We're making progress with our strategic review, having held staff meetings and a Trustee Board away day to develop our themes to work on. We've gone out to tender for companies to deliver the research for the plan and should have recruited one by the time of Court.

Festival Planning

Planning for August has already begun. We're also exploring the possibility of putting on a winter festival with our festival partners at Pleasance.

Work with Citizens UK

I had a productive morning meeting with the Lead Organiser of community organising foundation Citizens UK Neil Jameson and Hugh Foy of The Conforti Institute with a view to establishing a citizens' alliance in Scotland with branches in Edinburgh and Glasgow. We also met with the new Head of the School of Social and Political Sciences Fiona MacKay and the university's honorary chaplains.

Other events

Principal's Question Time

We hosted the Principal at EUSA to answer questions from students on February 4th. This is the second year in a row we've done this and it is seen as worthwhile by students who come along.

Patrick Harvie Lecture

To start our LGBT History month we hosted Patrick Harvie for a lecture on "LGBT+ rights: from legislative victory to culture change". We will be hosting a full programme of events throughout the month.

Learning Conversations

We continue our work with the IAD to bring students and staff together to think about the future of teaching and learning at the university. On February 5th we held an event on "community" and how we can nurture it at different levels in the university.

Students in the Community

We worked with the Neighbourhood Partnership for our 4th "Students in the Community" event where students and the community vote to distribute £6,000 funding for different projects proposed by student groups and societies.

Russian Consulate Action

On Saturday 1st Feb we held an action outside the Russian Consulate on LGBT+ rights ahead of the Sochi Winter Olympics. This was held in solidarity with a similar one down in London to protest the abysmal human rights record particularly on LGBT+ rights. We played a game of football which was great fun (the final score was 5-2).

The University of Edinburgh

The University Court

17 February 2014

EUSA Incorporation

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

EUSA is working towards incorporation as a charitable company limited by guarantee. There are a number of legal, financial and technical actions required to facilitate the transfer of all of EUSA's assets and aspects to the new company. There are now 2 issues requiring approval of University Court; our constitution, and the transfer of our agreements with the university that relate to use of property.

(University of Edinburgh Ordinance 60, approved in 1895 sets out the requirement for the constitution of the Student Representative Council to be approved by University Court – our Student Democracy Regulations are the aspect of our constitution to that relate to this requirement, and a detailed summary of these is attached along with the regulations themselves)

Action requested

Court is invited to approve in principle the Student Democracy Regulations and the transfer of property agreements to the new EUSA and the endorsement of the associated documents in particular the Articles of Association. Court is also invited to delegate final approval of these documents to the University Secretary.

Resource implications

Does the paper have resource implications? No.

Risk assessment

Does the paper include a risk assessment? No

Equality and diversity

Has due consideration been given to the equality impact of this paper?

Yes: our democracy regulations and representative structures promote equality and diversity.

Freedom of information

Can this paper be included in open business? Yes

Originator of the paper

Hugh Murdoch, EUSA President

To be presented by

Hugh Murdoch, EUSA President

EUSA incorporation

EUSA is working towards incorporation as a charitable company limited by guarantee. There are a number of legal, financial and technical actions required to facilitate the transfer of all of EUSA's assets and aspects to the new company. There are now 2 issues requiring approval of University Court; our constitution, and the transfer of our agreements with the university that relate to use of property.

1. EUSA constitution

As well as the transfer issue, Court's attention is drawn to the fact that over time EUSA has been working to address a number of issues arising from our old constitution. The old constitution was becoming difficult to work with for a number of reasons.

- It included very specific and almost operational-level detail regarding some of our systems and processes which we wanted to change to respond to changes around us.
- It relied heavily on the process of General Meetings for member decision-making: most student unions have developed online interactions to facilitate this but our constitution did not allow for this possibility.
- Our members and elected representatives were very clearly telling us they wanted aspects of the association to operate differently, but our constitution was inflexible, and difficult to change.
- Regulation by OSCR also identified that our constitution required modernisation and development.

In 2011, our members voted at a General Meeting to allow us to introduce an online referendum specifically to vote on a new constitution for EUSA. EUSA worked with Turcan Connell charity law specialists, to develop a new constitution. This followed a more flexible model:

1. A core Articles and Memorandum of Association document, which is designed to be longstanding, and includes robust processes for change involving our full membership.
2. Regulations developed to manage various aspects of EUSA, which can be updated more easily to reflect requirements at the time, and enables us to develop our processes and structures to reflect organisational needs and sector good practice. EUSA has developed the following sets of regulations to accompany our Articles and Memorandum of Association:
 - Trustee Board subcommittees
 - Elections
 - Referenda
 - Student Democracy
 - Association Executive
 - Societies Council

Broadly the new structure of our constitution has allowed us to create greater transparency and facilitate wider engagement with our membership (for example, rather than General meetings with low physical turnout, we have had online referenda with up to c3000 students voting online). Each set of regulations sets out how they may be changed and by whom – they are not designed to be changed frequently on a whim, but allow us to be responsive. Changes at this level do not require interaction with EUSA's full membership.

Our constitution was approved by our membership in 2011, with minor amendments approved in June 2013. As EUSA moves to incorporation on 1 April with this constitution in place, we are presenting our constitution to University Court.

Ordinance 60, approved in 1895 sets out the requirement for the constitution of the Student Representative Council to be approved by University Court – our Student Democracy Regulations are the aspect of our constitution to that relate to this requirement, and a detailed summary of these is attached along with the regulations themselves.

2. Property

EUSA's current University-related property interests are as follows:

a) The Space Agreement allows EUSA to occupy and be responsible for certain buildings, ownership of which remains with the University

Assignment of EUSA rights under this agreement are specifically not permitted without the University's prior written consent (which consent will only be withheld if the University considers its interests would be adversely affected) - so EUSA requires the University's consent to assign these rights to the new EUSA as part of our incorporation.

b)EUSA has also entered into a number of separate leases with the University (e.g. Kings Buildings), and these also require to be transferred.

University Court is asked to approve the proposed Constitution, Regulations and the transfer of our current property agreements.

Hugh Murdoch

EUSA President

30 January 2014

Regulation: Student Democracy

Part 1. Democratic Structures

Section a. The Role of the Democratic Structures

- i. There shall be Democratic Structures which:
 - a. Are clear, transparent and facilitate accountability;
 - b. Are inclusive, maximise opportunities for student involvement and embody equal opportunities for all;
 - c. Are open and easy for students to interact with;
 - d. Facilitate effective interaction with the University at all levels, to represent all University of Edinburgh students;
 - e. Ensure effective transmission of University of Edinburgh student views to the University and external bodies;
 - f. Ensure accountability for decisions and actions taken by and on behalf of the representative structures of the Association as set out in this Regulation;
 - g. Aim to accurately translate the views of the student body into policy and campaigns, and represent student interests for the improvement of the student experience at the University of Edinburgh.

Section b. Bodies of the Democratic Structures

- i. The Democratic Structures shall comprise the following bodies:
 - a. Online Referenda, which shall also have association-wide powers;
 - b. The Student Council;
 - c. The three Standing Committees:
 - i. Academic Affairs Committee,
 - ii. External Affairs Committee,
 - iii. Welfare Committee;

- d. The Association Executive, which shall also have association-wide powers;
- e. The four Liberation Groups:
 - i. Women’s Liberation Group,
 - ii. Black students’ Liberation Group,
 - iii. LGBT+ students’ Liberation Group,
 - iv. Disabled students’ Liberation Group;
- f. The three Student Section Groups:
 - i. International Student Group,
 - ii. Mature and Part-time Students Group,
 - iii. Postgraduate Students Group;
- g. One School Council for each teaching School in the University;
- h. The Equal Opportunities Forum;
- i. The All School Forum;
- j. Societies Council.

Section c. Elected Officers

- i. There shall be elected officers who ensure that the work of the Democratic Structures is carried out.
- ii. The elected officers shall comprise:
 - 1. The Sabbatical Officers, whose roles shall be outlined in the Sabbatical Officers regulation;
 - 2. Three Campaign Organisers for each of the Standing Committees;
 - 3. A First Year Campaign Organiser for each of the Standing Committees;
 - 4. The Equal Opportunities Officer;
 - 5. A Convenor of each Liberation Group;
 - 6. A representative of each Liberation Group on each Standing Committee;
 - 7. A Convenor of each Student Section Group;
 - 8. A representative of each Student Section Group on each Standing Committee;
 - 9. A Convenor of each School Council;

10. An Undergraduate Vice Convenor of each School Council;
11. A Postgraduate Vice Convenor of each School Council;
12. Any other officers outlined in the regulations of:
 - a. The Liberation Groups,
 - b. The Student Section Groups,
 - c. The School Councils.

Part 2. Elections

Section a. Cross Campus Ballots

- i. In the Second Semester there shall be a General Election which shall be a cross-campus ballot.
 1. The following positions shall be elected at the General Election:
 - a. The Sabbatical Officers,
 - b. Three Academic Campaign Organisers,
 - c. Three External Affairs Campaign Organisers,
 - d. Three Welfare Campaign Organisers,
 - e. An Equal Opportunities Officer,
 - f. A School Convenor for each School Council,
 - g. An Undergraduate School Vice Convenor for each School Council,
 - h. A Liberation Group Convenor for each of the Liberation Groups,
 - i. A Convenor for each of the Student Section Groups,
 - j. Any additional positions as required by:
 - i. The Liberation Groups,
 - ii. The Student Section Groups,
 - iii. The School Councils.
 2. Other positions, as required by the Association, will also be elected by Cross-Campus Ballot.
 3. Those elected to these positions shall serve a term of office of one year, beginning in June of the year of the election.
- ii. In the First Semester there shall be a By Election which shall be a cross-campus ballot.
 1. The following positions shall be elected at the By Election:
 - a. 1 First Year Academic Campaign Organiser
 - b. 1 First Year External Affairs Campaign Organiser
 - c. 1 First Year Welfare Campaign Organiser

- d. A Postgraduate School Vice Convenor for each School Council
 - e. Any additional positions as required by:
 - i. The Liberation Groups
 - ii. The Student Section Groups
 - iii. The School Councils
2. At these elections will also be elected other positions as required by the association;
 3. Those elected to these positions shall serve a term of office of approximately one year beginning immediately and ending at the next year's By Election.
 4. In addition, any posts which remain vacant from the General Election shall be elected in the By Election for the remainder of the one year term of office.

Section b. Eligibility to stand and vote in cross-campus ballots

- i. Eligibility to stand in all these elections shall be restricted to Members.
- ii. Eligibility to vote for Sabbatical Officers shall be restricted to Members and Life members, eligibility to vote for all other positions laid out here shall be restricted to Members.
 - i. Eligibility to stand in the following elections shall be further restricted:
 1. Only first year students shall be eligible to stand to be a First Year Campaign Organiser.
 2. Only students in a given school shall be eligible to stand for School Council positions.
 3. Only undergraduate students in a given school shall be eligible to stand to be its Undergraduate School Vice Convenor.
 4. Only postgraduate students in a given school shall be eligible to stand to be its Postgraduate School Vice Convenor.

5. Only students who are part of a given Student Section Group shall be eligible to stand in its elections.
 6. Only students who self-define into a given Liberation Group can stand in its elections.
- ii. Eligibility to vote shall be further restricted for the following posts:
1. Only those who self-define into a given Liberation Group can vote in its elections.
 2. Only students in a given school can vote in the elections for School Council positions.
 3. Only students in a given Student Section Group can vote in its elections.

Section c. Running of cross-campus ballot elections

- i. The elections shall be run in accordance with the *Election Regulations* as upon ruled by the Returning Officer.

Section d. Internal elections

- i. Internal elections are elections which are not cross campus and for which voting is restricted to those in attendance at a meeting of a given body.
 1. The following officers shall be elected in an internal election:
 - a. One representative for each Standing Committee shall be elected from each of the Liberation Groups.
 - b. One representative for each Standing Committee shall be elected from each of the Student Section Groups.
- ii. The running of these elections shall be determined by the given body.

Part 3. Budgets

- i. The total budget for the democratic structures shall be set by the Board of Trustees
- ii. The Association Executive shall, before the start of the academic year, allocate the budget to the following bodies:
 1. The Student Council.
 2. The Association Executive.
 3. Each of the Standing Committees.
 4. Each of the Liberation Groups.
 5. Each of the Student Section Groups.
 6. Each of the School Councils.
- iii. The Student Council may in the first six weeks of the academic year through an extraordinary motion, amend the allocation of the budgets.

Part 4. Policy

- i. Policy constitutes establishing principles or practices which shall have implications for the future direction of the Association or Liberation Group
- ii. Policy, actions and budgets passed through the democratic structures should be forwarded to the Association Executive for information and to ensure that actions do not violate the law or otherwise require action which would be unlawful and/or bring the association into disrepute.
- iii. A record of all policy passed through the various structures should be kept centrally and be made publicly available.

Part 5. Online Referenda

Section a. Role of Online Referenda

- i. Outside of General Meetings, online referenda shall be the sovereign decision-making mechanism of the democratic processes of the Association.
- ii. Decisions made by Online Referenda shall be binding on the Association. The Association shall seek to implement Referendum decisions and communicate the outcome of any referenda to the student body.
- iii. All Members shall be entitled to vote in each online referendum.

Section b. Referendum Proposal

- i. A Referendum Proposal is a single proposal that voters may agree or disagree with.
- ii. Voting for the 'For Response' indicates that the changes proposed should be implemented, while a vote for the 'Against Response' is a vote for no changes to be implemented.
- iii. The For and Against Responses will be a full sentence succinctly explaining the two options.
- iv. Each Referendum Proposal shall explain three things:
 1. The changes being proposed
 2. The reasons behind the changes
 3. The other relevant implications of the changes

Section c. Submission and Acceptance of Referendum Proposal

- i. Referendum Proposal may be submitted through any of three different mechanisms:
 1. Any Member may present a Referendum Proposal to Association Executive with the signatures of at least 500 Members.
 2. The Student Council may submit a Referendum Proposal through an Extraordinary Motion.
 3. Association Executive may submit a Referendum Proposal by a two thirds majority vote.

- ii. Once the Proposal is submitted the Association Executive shall issue notice for an Opposer to the Proposal to come forward.
- iii. A member of Association Executive will work with the Proposer and Opposer to amend the Proposal to fit the following criteria:
 1. That it maintains the original spirit of the question.
 2. That where costs are incurred they are offset elsewhere, making the Proposal revenue-neutral or generate a surplus.
 3. That there are no factual inaccuracies and that the facts included are relevant.
 4. That there is a For Response and an Against Response which are not leading.
 5. That the consequences of the Proposal are unambiguous.
 6. That there has been consultation which must include a presentation to Student Council.
 7. That the Referendum Proposal will not cause the Association to act illegally or outwith its charitable objectives.
- iv. In the case where EUSA is asked to take a stance then there will be two successive questions:
 - a) Should EUSA take a stance on X
 - b) If EUSA is to take a stance should it be Z or Y
- v. In the case where EUSA is asked to commit to two contradictory statements then there will be two successive questions.
- vi. The Proposal shall be considered 'accepted' and will go forward to the next available Referendum Period when Association Executive considers that the Proposal meets these criteria.

Section d. Referendum Periods

- i. A Referendum Period shall be the period of time between when a referendum is called and the referendum results are announced.
- ii. There may be up to eight Referendum Proposals put forward in any Referendum Period.
- iii. Referendum Periods must be held entirely within University term time.
- iv. Each Referendum Period shall comprise three immediately consecutive periods:

1. A Planning Period where For and Against teams are formed and For and Against Statements may be submitted.
 2. A Campaigning Period where For and Against teams campaign to the student body, subject to the rules laid out in the Election Regulations of the Association.
 3. A Voting Period where votes are cast.
- v. The Referendum Period must last no longer than four weeks.
 - vi. The Planning Period must last no less than one week.
 - vii. The Campaigning Period must last no less than one week.
 - viii. The Voting Period must include no less than forty-eight hours of weekday time.
 - ix. The Returning Officer for Referenda will be the Association's Returning Officer who is responsible for the oversight of the referenda and must be able to be satisfied that referenda have been conducted fairly and freely and in accordance with this Regulation and Election regulations.

Section e. Calling a Referendum Period

- i. A Referendum Period can be called by the Association Executive at any point when more than one Referendum Proposal has been accepted.
- ii. The Association Executive must call a Referendum Period as soon as reasonably possible after four Referendum Proposals have been accepted.
- iii. The calling notice for a Referendum Period shall inform the student body of the accepted Referendum Proposals, the dates of the Campaigning and Voting periods, the budget allocated to the campaign teams, and the date, time and location of the Referendum Debates. This calling notice will be widely publicised to the student body.
- iv. Referendum Proposals submitted after the calling notice will be carried over to the next Referendum.
- v. The Planning Period shall begin immediately following the calling notice.

Section f. Referendum Campaigns

- i. Only two campaigns for each Referendum Proposal shall be permitted and funded – one ‘For’ campaign and one ‘Against’ campaign.
- ii. The original Proposer and Opposer of the Referendum Proposal shall be the key respective contacts for the For and Against campaigns.
- iii. The key contacts for the two campaigns shall be in contact with relevant election officials throughout the campaigning period and shall attend, or send a deputy to, any official briefings held.
- iv. If a campaign does not send a representative to any official briefing without good reason the campaign will be deemed to have withdrawn and no further funding will be given.
- v. The individual budgets for the campaign teams during a given Referendum Period shall be equal and set by the Association Executive.
- vi. EUSA Societies and University of Edinburgh Sports’ Clubs may endorse the For or Against campaign for any question.
- vii. EUSA reserve the right to put forward neutral and factual information to facilitate informed debate.

Section g. Referendum Debate

- i. There shall be at least one Referendum Debate held during the Campaign Period.
- ii. Where there is a For and Against campaign for a Referendum Proposal they should be given equal time in the debate.

Section h. Referendum Voting Period

- i. Voting shall take place online.
- ii. The voting page shall display:
 1. A full explanation of the consequences of the Proposal.
 2. A statement from both the For and the Against campaigns.
 3. The names of any EUSA Societies or University of Edinburgh Sports Clubs who have endorsed one of the campaigns.
 4. Three voting options:
 - a. For
 - b. Against

c. Abstain

5. Students also have the option to Skip Question
 6. A video from the Referendum Debate
- iii. The total number of votes cast is the sum of votes cast For, Against and Abstain;
 - iv. In the case where the Proposal would amend the regulations there must be 2000 votes For or a two thirds majority of votes cast, whichever is greater, for the decision to be binding;
 - v. In all other cases there must be 1000 votes For or a simple majority of votes cast, whichever is greater, for the decision to be binding.

Section i. The Referendum Outcomes

- i. The results of the Referendum Period should be publicised to the student body as soon as possible.
- ii. The Association Executive shall be responsible for implementing the decisions of the referenda.

Part 6. The Student Council

Section a. Role of the Student Council

- i. The primary mechanism for the discussion and initiation of action on all matters shall be Student Council.
- ii. Student Council shall be the primary mechanism through which student population can scrutinise the work of the elected officers and organisers.
- iii. Student Council shall have the power to approve societies and their affiliation to the Association, following recommendation from Societies Council.
- iv. Student Council meetings will be open to all students and advertised so that attendance by students is maximised.
- v. Student Council will meet regularly and the frequency of these meetings shall be determined by the Association Executive, with a minimum of three meetings in both the First and Second Semester.
- vi. The budget of Student Council shall be held by the elected membership of the Student Council, and shall only be accessed through a majority vote of these elected members.

Section b. Running a meeting of Student Council

- i. Student Council shall be run according to:
- ii. The Guidance for Meetings set out in the appropriate Regulation of the Constitution.
- iii. The Standing Orders
- iv. The Safe Space Policy

Section c. Membership of Student Council

- i. Student Council shall be open to all Members
- ii. The elected members of Student Council shall be all those elected in the General Election.

Section d. Voting in Student Council

- i. An Ordinary Motion shall pass if a majority of members in attendance or twenty members, whichever is greater, vote in favour.
- ii. An Extraordinary Motion shall pass if a two thirds majority of members in attendance or fifty members; whichever is greater, vote in favour.
- iii. An Ordinary Motion shall have the power to set Association policy, or allocate or access funds from the Student Council budget.
- iv. An Extraordinary Motion shall have the power to censure an officer, amend these regulations or submit a Referendum Proposal.
- v. Any Member in attendance at a Student Council shall be a voting member of Student Council, should a vote be held.

Section e. Emergency Meetings

- i. The Association Executive, 15 Elected Officers or Campaign Organisers, or 30 Members shall be able to call an Emergency Meeting providing that:
 1. The meeting only discusses matters which cannot wait until the next Ordinary Meeting.
 2. The meeting only discusses the matters for which the meeting was called.
 3. Three working days' notice is given to Members.

Section f. Student Council Policy

- i. An Ordinary Student Council motion may be brought either by twenty signatures of Members or by one of the following structures:
 1. A Liberation Group
 2. A Student Section Group
 3. A School Council
 4. Association Executive
 5. A Standing Committee
- ii. An Extraordinary Student Council motion may be brought either by forty signatures of Members:
 1. A Liberation Group
 2. A Student Section Group
 3. A School Council
 4. Association Executive

- iii. The Association Executive will add it to the agenda of the next available meeting of that Student Council.
- iv. Motions must be distributed to the membership at least seven days before the Student Council meeting.
- v. Amendments may change the meaning of a Motion, or even reverse the meaning entirely, but must not change the subject that the Motion discusses.
- vi. For an Amendment to a Motion to be accepted on the Agenda of a given Student Council meeting it must be submitted to the Chair of Student Council no fewer than five days before the Meeting.
- vii. Policies shall be deemed to have lapsed after 4 years unless they are readopted by Student Council, or they are subsequently replaced, overturned or amended. Policies which are due to lapse shall be discussed each year by Student Council.
- viii. Policy may not be overturned within three months of its initial passing.
- ix. Student Council policy may be sent back for reconsideration by Association Executive, by a two third majority vote, providing it meets one of the following criteria:
 1. That the policy will cause the Association to act illegally or outwith its charitable objectives.
 2. That the policy would contradict previous policy of the past three months.

Section g. Accountability at Student Council

- i. The following bodies and officers shall submit a report to each sitting of Student Council for scrutiny and approval:
 1. Each of the Sabbatical Officers
- ii. Where there is activity to report on the following bodies shall submit a report to Student Council for note:
 1. Association Executive
 - a. Where relevant this should include information from the Board of Trustees and its subcommittees.
 2. Each Liberation Group
 3. Each Student Section Group

4. Each School Council

5. Societies Council

a. This report shall include recommendations for the approval of societies wishing to affiliate to the organisation.

iii. If two reports from a body or officer are rejected by Student Council in one semester a member of Association Executive shall bring a vote of censure to the next meeting of Student Council.

Section h. Specific roles at Student Council

The Chair of Student Council shall be a Sabbatical Officer, as determined by the Association Executive.

Section i. Policy Passes at Student Council

i. All policy passed at Student Council shall be referred to the relevant Standing Committee

Part 7. The Standing Committees

Section a. The Role of Standing Committees

- i. The Standing Committees shall be responsible for carrying out the mandates set by the democratic structures and election manifestos.
- ii. The Standing Committees may propose policy to the Student Council.
 1. The quorum for proposing policy shall be twelve.
- iii. The Standing Committees may request funding from the Student Council.
- iv. Meetings of the Standing Committees shall be open to all members.
- v. The budget of each Standing Committee shall be held by the elected membership of that Standing Committee, and shall only be accessed through a majority vote of these elected members.
- vi. The Standing Committees may internally identify specific roles required and elect a member internally to take the lead on important issues or campaigns.

Section b. Membership of Standing Committees

- i. All Standing Committees shall be open to all Members
- ii. The elected membership of the Academic Committee shall be:
 1. One Sabbatical Officer, as determined by Association Executive.
 2. The Academic Campaign Organisers
 3. One representative from each Student Section Group, as determined by that Student Section Group.
 4. One representative from each Liberation Group, as determined by that Liberation Group.
- iii. The elected membership of the External Affairs Committee shall be:
 1. One Sabbatical Officer, as determined by Association Executive.
 2. The External Affairs Campaign Organisers
 3. One representative from each Student Section Group, as determined by that Student Section Group.

4. One representative from each Liberation Group, as determined by that Liberation Group.
- iv. The elected membership of the Welfare Committee shall be:
1. One Sabbatical Officer, as determined by Association Executive.
 2. The Welfare Campaign Organisers
 3. One representative chosen from and by each Student Section Group, as determined by that Student Section Group.
 4. One representative chosen from and by each Liberation Group, as determined by that Liberation Group.

Section c. Remits

- i. The remit of Academic Committee shall be “to organise action on all matters relating to the academic experience of students at the University of Edinburgh, and to facilitate and improve academic representation within the University formally and informally at all levels”
- ii. The remit of External Affairs Council shall be “to organise action on all matters relating to the external representation of students and student views including, but not limited to: HE Funding, Student transport, Student employment, Community issues, Student political engagement and national campaigns.”
- iii. The remit of Welfare Council shall be “to organise action on all matters relating to the welfare of students at the University of Edinburgh, including but not limited to Student health, mental health and wellbeing, accommodation, financial health and issues affecting student parents and childcare.”

Part 8. The Association Executive

- i. The role, remit, membership and powers of the Association Executive shall be set out in the Association Executive Regulation.

Part 9. Liberation Groups

- i. There shall be Liberation Groups to ensure representation and campaigning on behalf of specific self-defining groups within the student body which are traditionally under-represented due to historical discrimination and inequality.
- ii. These Liberation Groups shall be politically autonomous and self-organising by those who self-define into them.
- iii. Liberation Groups may have autonomous policy which can contradict Association Policy.
- iv. Liberation Groups shall be able to run campaigns and spend their funds in whatever way they see fit.
- v. Liberation Groups may propose policy to the Student Council.
- vi. Liberation Groups may request funding from the Student Council.
- vii. The governance of each Liberation Group shall be determined autonomously and outlined in its own regulation.
- viii. Where no regulation exists or where regulations are unclear the Liberation Group shall abide by the following rules
 1. The Liberation Group budget shall be held by the Liberation Group Convenor.
 2. The quorum for setting policy of the Liberation Group shall be ten.
 3. The Group Convenor can be censored by a simple majority vote. The quorum of this vote shall be 20 and at least one weeks' notice must be given, and made publically available, that a motion of censure is being brought.
 4. Regulations for the governance of the Liberation Group may be created or amended by a majority vote of a quorate Liberation Group.

Part 10. Student Section Groups

- i. There shall be Student Section Groups to address the issues of specific sections of the student body with particular needs.
- ii. Student Section Groups shall be able to set its own policy relating to students in their section.
- iii. Student Section Groups shall not be politically autonomous and shall not have policy which contradicts Association policy.
 1. Student Section Groups may submit motions to change EUSA policy but if this motion falls then it cannot remain policy of the Student Section Group.
- iv. Student Section Groups shall decide the frequency and manner of their own meetings.
- v. Student Section Groups shall be able to run campaigns and spend their funds in whatever way they see fit providing this does not conflict with the principles laid out in point (iii).
- vi. Student Section Groups may propose policy to Student Council.
- vii. Student Section Groups may request funding from Student Council.
- viii. The governance of each Student Section Group shall be determined autonomously and outlined in its own regulation.
- ix. Where no regulation exists or where regulations are unclear the Student Section Group shall abide by the following rules
 1. The Student Section Group budget shall be held by the Student Section Group Convenor.
 2. The quorum for setting policy of the Student Section Group shall be 10.
 3. The Group Convenor can be censored by a simple majority vote. The quorum of this vote shall be 20 and at least one weeks' notice must be given, and made publically available, that a motion of censure is being brought.
 4. Regulations for the governance of the Student Section Group may be created or amended by a majority vote of a quorate Student Section Group.

Part 11. School Councils

- i. There shall be School Councils to address the issues of students in specific schools.
- ii. School Councils shall be able to set their own policy relating to students in their school.
- iii. School Councils shall not be politically autonomous and shall not have policy which contradicts Association policy.
 1. School Councils may submit motions to change EUSA policy but if this motion falls then it cannot remain policy of the School Council.
- iv. School Councils shall decide the frequency and manner of their own meetings.
- v. School Councils shall be able to run campaigns and spend their funds in whatever way they see fit- providing EUSA resources are not used in a way that conflicts with the principles laid out in point (iii).
- vi. School Councils may propose policy to Student Council.
- vii. School Councils may request funding from Student Council.
- viii. The governance of each School Council shall be determined autonomously and outlined in its own regulation.
- ix. Where no regulation exists or where regulations are unclear the School Councils shall abide by the following rules
 1. The School Council budget shall be held by the School Council Convenor.
 2. The quorum for setting policy of the School Council shall be ten and decisions shall be made by a majority vote.
 3. The Group Convenor can be censured by a simple majority vote. The quorum of this vote shall be 20 and at least one weeks' notice must be given, and made publically available, that a motion of censure is being brought.

4. Regulations for the governance of the School Council may be created or amended by a majority vote of a quorate School Council.

Part 12. The Equal Opportunities Forum

Section a. The Role of Equal Opportunities Forum

- i. The Equal Opportunities Forum shall exist to facilitate collaboration between the Liberation Groups and Student Section Groups on areas of shared concern.
- ii. The Equal Opportunities Forum shall have no power to set policy.

Section b. The Membership of Equal Opportunities Forum

- i. The Equal Opportunities Forum shall have the following members:
 1. The Equal Opportunities Officer.
 2. The four Liberation Group Convenors, or another nominee from the Liberation Group.
 3. The three Student Section Group Convenors, or another nominee from the Student Section.
 4. A Sabbatical Officer, as determined by Association Executive.
- ii. The Equal Opportunities Forum shall be chaired by the Equal Opportunities Officer.

Part 13. The All School Forum

Section a. The Role of All School Forum

- i. The All School Forum shall exist to facilitate collaboration between the School Councils on areas of shared concern.
- ii. The All School Forum shall have no power to set policy.
- iii. Meetings of The All School Forum shall be open to all Members.

Section b. The Membership of All School Forum

- i. The All School Forum shall have the following members:
 1. A Sabbatical Officer, as determined by Association Executive.
 2. The School Council Convenors, or another nominee from the School Council.
- ii. The All School Forum shall be chaired by the Sabbatical Officer.

Part 14. Electronic Business

- i. Elected business can only be conducted for:
 - a. Expenditure requests
 - b. Matters which need to be addressed outside of the teaching period
- ii. To conduct electronic business an email should be sent to all elected members of Student Council outlining the decision to be made and asking elected members to vote For or Against.
- iii. Elected members should have at least two working days to reply.
- iv. Any electronic business will pass if a majority of the total elected members of Student Council vote in favour.
- v. No amendments will be accepted.

Part 15. The Societies Council

- i. The role, remit, membership and powers of the Societies Council shall be set out in the *Societies Regulations*.

Part 16. Resignations, Reprimands and Dismissal

Section a. Resignations of elected officers

- i. Resignations by elected officers should be notified to the President in writing. The relevant body on which the resigned person sits shall be notified at their next meeting.
- ii. In the event of a resignation the Association Executive will decide if a By Election should be called.

Section b. Censure of Sabbatical Officers and Campaign Organisers.

- i. These officers may be censured through an Extraordinary Motion at Student Council.
- ii. A censure, or formal reprimand, constitutes a formal disapproval of the actions of an individual member. The censure shall have no further penalty attached.

Section c. Censure of School Council, Liberation and Student Section office holders.

- i. These officers may be censured by the relevant School Council, Student Section Group or Liberation Group through the mechanisms laid out in their regulations.
- ii. A censure, or formal reprimand, constitutes a formal disapproval of the actions of an individual member. The censure shall have no further penalty attached.

Section d. Dismissal of Sabbatical Officers and Campaign Organisers

- i. The process for dismissing a Sabbatical Officer is laid out in the Memorandum and Articles of Association
- ii. The dismissal of Campaign Organisers shall be the same as that for the Sabbatical Officers.

Part 17. Amending these regulations

- i. These regulations may be amended by passing an Extraordinary Motion outlining the proposed amendments at two consecutive Student Council meetings.

Part 18. General Meetings

- i. General Meetings are the sovereign decision making mechanism of the organisation and as such can overturn decisions that come from processes laid out in this document.
- ii. General Meetings run according to the regulations outlined in the Articles of Association.

THE COMPANIES ACT 2006
COMPANY LIMITED BY GUARANTEE
AND NOT HAVING A SHARE CAPITAL

ARTICLES OF ASSOCIATION
of
EDINBURGH UNIVERSITY STUDENTS' ASSOCIATION

Company Number SC429897
Charity Number SC015800

2013

As amended by written special resolution dated 5th June 2013

TURCAN CONNELL
LEGAL • WEALTH MANAGEMENT • TAX

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**THE COMPANIES ACT 2006
COMPANY LIMITED BY GUARANTEE
AND NOT HAVING A SHARE CAPITAL**

**ARTICLES OF ASSOCIATION
of
EDINBURGH UNIVERSITY STUDENTS' ASSOCIATION**

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1. COMPANY NAME

The Company's name is "Edinburgh University Students' Association" (hereinafter "the Association").

2. REGISTERED OFFICE

The Association's Registered Office is to be situated in Scotland.

3. OBJECTS OF THE ASSOCIATION

3.1 The objects of the Association are:-

3.1.1 The advancement of education of students at the University of Edinburgh by representing, supporting and promoting the interests, health and welfare of students within the University and within the wider community, and by promoting student participation in, facilitating, co-ordinating and developing, the services, projects and activities of the Association.

3.1.2 The advancement of the arts, culture and science and the organisation of recreational activities through the services, projects and activities of the Association.

3.1.3 The advancement of community development within the University and within the wider community through student participation in the Association and its services, projects and activities, and by facilitating the involvement of students in the wider community.

3.1.4 To promote and support such similar and other charitable purposes, objects or institutions and in such proportions and manner as the Trustees shall think fit. The expressions 'charitable purpose' or 'charitable object' shall mean a charitable purpose under section 7 of the Charities and Trustee Investment (Scotland) Act 2005 which is also regarded as a charitable purpose in relation to the application of the Taxes Acts and a 'charitable institution' shall be interpreted accordingly.

4. POWERS OF THE ASSOCIATION

The Association shall have the following powers exercisable in furtherance of its said objects but not otherwise, and subject always to compliance with the Education Act 1994 where relevant, namely:-

4.1 To accept donations

Power to accept, whether or not subject to any trust purposes or conditions, subscriptions, donations, legacies and bequests of any heritable or moveable, real or personal property;

4.2 To invest

Power to invest and deal with the monies of the Association not immediately required upon such investments, securities or property in such manner as may from time to time be determined;

4.3 To establish funds

Power to establish and administer such funds as the Association may require;

4.4 To acquire property

Power to acquire, hire, hold, dispose of or let property of any kind;

4.5 To borrow and to lend

Power to borrow and raise money in any manner and to secure the repayment of any money borrowed, raised or owing by mortgage, charge, standard security, lien or other security upon the whole or any part of the Association's property or assets (whether present or future), and also by a mortgage, charge, standard security, lien or security to secure and guarantee the performance by the Association of any obligation or liability it may undertake or which may become binding on it; power also to lend and advance money or to give credit on any terms and with or without security;

4.6 To operate accounts

Power to draw, accept, endorse, and issue cheques and to operate bank accounts;

4.7 To employ

Power to employ or otherwise engage such officers and staff as may be thought fit and to pay reasonable remuneration to such staff and any technical and professional advisers;

4.8 To issue appeals

Power to issue appeals, hold public meetings and take such other steps as may seem appropriate for the purpose of procuring contributions to the funds of the Association in the shape of donations, subscriptions or otherwise;

4.9 To advertise

Power to advertise the Association and undertake any other marketing or fundraising strategies that may seem appropriate;

4.10 To carry out research

Power to gather, produce and distribute information and to carry out research;

4.11 To make donations

Power to make any charitable donation either in cash or assets for the furtherance of the objects of the Association;

4.12 To co-operate with other charities

Power to subscribe to, become a member of, amalgamate or co-operate with, take over or otherwise acquire or enter into any arrangement with, any other charitable organisation, institution, society or body not formed or established for the purposes of profit (whether incorporated or not) in the United Kingdom whose objects are wholly or in part similar to those of the Association and which by its constitution prohibits or restricts the distribution of its income and property amongst its members to an extent at least as great as is imposed on the Association;

4.13 Subsidiary companies

Power to establish or acquire subsidiary companies;

4.14 To establish and support charities

Power to establish and support or aid in the establishment and support of any charitable trust, association or institution and to donate, subscribe or guarantee money for charitable purposes in any way connected with or calculated to further any of the objects of the Association;

4.15 To receive grants

Power to receive, allocate and administer grants, gifts or bequests made available to the Association for any or all of its objects whether from public funds or from private sources under the terms and conditions referable to such grants, gifts or bequests;

4.16 To insure against risk

Power to insure and arrange insurance cover against any or all losses, damages, risks and liabilities which may affect the Association or its business, and to indemnify any of its office bearers, members, employees, voluntary workers and all others acting within the authority of the Association against all such risks as the Association shall think fit and which are incurred in the course of the performance of official duties;

4.17 To pay pensions

Power to pay reasonable annual sums or premiums for or towards the provision of pensions for such employees for the time being of the Association or their dependants as may be so nominated and as may from time to time be determined;

4.18 To enter arrangements with authorities

Power to enter into any arrangements with any Government or authority that may seem conducive to the attainment of the Association's objects or any of them and to obtain from any such Government or authority any charters, decrees, rights, privileges or concessions which the Association may think desirable and to carry out, exercise and comply with any such charters, decrees, rights, privileges and concessions;

4.19 To enter agreements

Power to enter into any arrangements with any organisation (supreme, national, municipal, local or otherwise) or any university, college, museum, society, corporation, company or any other body or person, and to enter into and carry out joint ventures, partnerships and similar agreements;

4.20 To acquire rights

Power to apply for or otherwise acquire any patent, trademark, copyright or other industrial property right;

4.21 To pay costs on formation

Power to pay out of the funds of the Association the costs, charges and expenses of and incidental to the formation and registration of the Association;

4.22 To delegate administration of assets

Power to delegate the administration and management of any asset owned by the Association or in which it has an interest and to arrange for any asset owned by the Association to be held in the name of a nominee company;

4.23 To alter Regulations

Power to alter or repeal Regulations and to make additions thereto but subject always to ratification by the Trustees. The Trustees shall adopt such means as they deem sufficient to bring to the notice of Members all such Regulations which, as long as they shall be in force, shall be binding on the Members. Any alteration or repeal of Regulations to be decided upon by the Members shall be conducted by way of a referendum in accordance with the provisions for referenda contained in these Articles, and in accordance with any other Regulations then in force governing the holding of referenda.

4.24 General power

To do all such other lawful things as are in the opinion of the Trustees necessary for or as shall further, directly or indirectly, the attainment of the objects of the Association or any of them.

5 APPLICATION OF INCOME

The income and property of the Association shall be applied solely towards the promotion of its objects as set out in these presents and no part of such income and property shall be paid or transferred directly or indirectly, by way of dividend, bonus or otherwise by way of profit to the Members. Any Trustee appointed to any office of the Association paid by salary or fees or receiving any remuneration or other benefit in money or money's worth from the Association shall do so only in accordance with the provisions of the Charities and Trustee Investment (Scotland) Act 2005.

6 MEMBERS OF THE ASSOCIATION

- 6.1 Each member of the Association shall be known as a Member. The Trustees shall keep a register of members setting out the full name and address of each member, the date on which they were admitted to membership, and the date on which in terms of Section 113 of the Act any person ceases to be a member.
- 6.2. The subscribers to the Memorandum of the Association and the following other persons are admitted to Membership in accordance with these presents and shall be the Members:
- (a) All matriculated students of the University of Edinburgh, whether full-time, part-time or online distance learning, undergraduate or postgraduate, including visiting students or students on exchange, and who have not opted out of Membership by notifying the Association of their wish not to be a Member of the Association; and
 - (b) All Sabbatical Trustees during their sabbatical terms of office.
- 6.3 A person shall not be admitted as a Member of the Association unless permitted by these Articles.
- 6.4 Institutions, organisations or other bodies shall not be eligible to become Members of the Association.
- 6.5
- (a) The Trustees shall accept as life members of the Association the Associate and Life Members of the Union, as defined in the Regulations. Each Associate and Life Member of the Union shall be known as a Life Member and shall have voting rights as prescribed in the Regulations.
 - (b) The Trustees may establish such classes of associate membership with such rights and obligations as they think fit and may admit and remove such associate members as prescribed in Regulations drawn up for that purpose.
 - (c) Life Members and any members of classes of associate membership established by the Trustees under Article 6.5(b) shall not be Members for the purposes of the Act.
 - (d) By this Article 6.5(d), the Trustees create a class of associate membership known as Transferring Members. All individuals who were members of the former unincorporated charity known as the Edinburgh University Students Association, of Potterrow, Edinburgh, immediately prior to 1st August 2013 will automatically come within the class of Transferring Members (unless they are otherwise Members of the Association under any other Article). Individuals shall remain within the class of Transferring Members for so long as they remain a matriculated student of the University of Edinburgh, whether full-time, part-time or online distance learning, undergraduate or postgraduate, including visiting students or students on exchange, and who have not opted out of Transferring Membership by notifying the Association of their wish not to be a Transferring Member. Notwithstanding any other rule to the contrary, Transferring Members shall enjoy all of the rights of Members of the Association during the period of

their Transferring Membership, including voting rights, but shall not be under an obligation to contribute to the funds of the Association in the event of a winding up.

- 6.6 Subject to these presents and the Regulations, a Member may at any time resign from Membership of the Association by notifying the Association or the University of Edinburgh of their wish to opt out and not to be a Member of the Association in accordance with Section 22(2)(c)(i) of the Education Act 1994.
- 6.7 Membership shall not be transferable and shall cease:
- (a) on them ceasing to qualify as a matriculated student of the University of Edinburgh in terms of Article 6.2(a);
 - (b) in the case of a Sabbatical Trustee, on the expiry or termination of their sabbatical term of office;
 - (c) on death;
 - (d) if the Member resigns under Article 6.6 above; or
 - (e) if the Member is removed from Membership by a resolution of the Trustees that it is in the best interests of the Association that Membership be terminated. A resolution to remove a Member from Membership may only be passed if the Member has been given at least twenty one days' notice in writing of the meeting of the Trustees at which the resolution will be proposed and the grounds on which it is proposed. The Member or, at the option of the Member, the Member's representative (who need not be a Member of the Association) must be allowed to make representations to the meeting of the Trustees at which the resolution is proposed.

7 GENERAL MEETINGS OF MEMBERS

- 7.1 All general meetings (including Statutory General Meetings and Special General Meetings) shall be called General Meetings.
- 7.2 The Trustees may whenever they think fit, and shall in the following circumstances, proceed to convene a General Meeting (but always in accordance with the Regulations):
- (a) on requisition in accordance with Sections 303 and 518 of the Act; or
 - (b) upon receipt by the President of a petition signed by 500 Members stating the purpose of the meeting, including motions to be debated if they are lodged in accordance with Article 8.11.
- 7.3 Any General Meeting shall be called by 14 days' notice in writing at the least, exclusive in every case of the day on which the notice is served or deemed to be served and of the day for which it is given. Provided that a General Meeting shall, notwithstanding that it is called by shorter notice than as aforesaid, be deemed to have been duly called if it is so agreed by a majority in number of the Members having a right to attend and vote at the meeting, being a majority together

representing not less than 90 per cent of the total voting rights at that meeting of all the Members.

The accidental omission to give notice to, or the non-receipt of notice by, any person entitled to receive notice shall not invalidate proceedings at any General Meeting.

- 7.4 Every notice calling a General Meeting shall specify the place and the day and hour of the meeting. The notice shall specify the general nature of such business and, if any resolution is to be proposed as a Special Resolution, the notice shall contain a statement to that effect giving the terms of the proposed Special Resolution. The notice must also contain a statement setting out the right of Members to appoint a proxy under Section 324 of the Act and Article 9 of these presents.

8 PROCEEDINGS AT GENERAL MEETINGS OF THE MEMBERS

- 8.1 General Meetings shall be conducted in such manner as may be prescribed in the Regulations. No business shall be transacted at any General Meeting unless a quorum is present in person or by proxy when the meeting proceeds to business and remains present throughout the meeting; save as herein otherwise provided 200 Members entitled to receive notice of and vote at meetings present in person or by proxy shall be a quorum.
- 8.2 In order for any decision of a General Meeting to be binding, the greater of 200 Members voting in favour or 50% of the simple majority voting in favour will be sufficient unless the Act requires otherwise.
- 8.3 If within half an hour from the time appointed for the meeting a quorum is not present or if, during the meeting, a quorum ceases to be present, the meeting shall stand adjourned to the same day in the next week at the same time and place, or to such other day and at such other time and place as the Trustees may determine, and if at the adjourned meeting a quorum is not present within half an hour from the time appointed for the meeting, such adjourned General Meeting shall be dissolved.
- 8.4 The President of the Association, whom failing the Vice-Chair, shall preside as Chair at every General Meeting but, if there is no such President of the Association or Vice-Chair or if at any meeting such President or Vice-Chair shall not be present within fifteen minutes after the time appointed for holding the meeting, the Trustees present shall choose one of their number to preside. If at any meeting no Trustee is willing to act as Chair or if no Trustee is present within fifteen minutes after the time appointed for holding the meeting, the Members present shall choose one of their number to be the Chair of the meeting.
- 8.5 The President of the Association or Vice-Chair or acting Chair as the case may be ("the Chair") may with the consent of any meeting at which a quorum is present (and shall if so directed by the meeting) adjourn the meeting from time to time and from place to place, but no business shall be transacted at any adjourned meeting other than the business left unfinished at the meeting from which the adjournment took place. It shall not be necessary to give any notice of an adjournment or of the business to be transacted at an adjourned meeting (except where the meeting has been adjourned for 30 days or more when notice of the adjourned meeting shall be given as in the case of an original meeting).

- 8.6 At any General Meeting a resolution put to a vote of the meeting shall be decided on a show of matriculation or membership cards (which for the avoidance of doubt includes in the case of a proxy the show of a valid form of proxy) unless a poll is (before or on the declaration of the result of the show of matriculation or membership cards) demanded by:-
- (a) the Chair; or
 - (b) not less than two thirds of Members present in person or by proxy having the right to vote at the meeting.

A demand for a poll may be withdrawn. Unless a poll be so demanded (and the demand be not withdrawn) a declaration by the Chair that a resolution has been carried, or carried unanimously or by a particular majority, or lost, or not carried by a particular majority, and an entry to that effect in the minute book shall be conclusive evidence of the fact without proof of the number or proportion of the votes recorded for or against such resolution.

- 8.7 If any votes shall be counted which ought not to have been counted, or might have been rejected, the error shall not vitiate the resolution unless it be pointed out at the same meeting, or at any adjournment thereof, and not in that case unless it shall in the opinion of the Chair to be of sufficient magnitude to vitiate the resolution.
- 8.8 If a poll is duly demanded (and the demand is not withdrawn) it shall be taken in such manner as the Chair may direct, and the result of a poll shall be deemed to be the resolution of the meeting at which the poll was demanded. The Chair may appoint scrutineers and may adjourn the meeting to some place and time fixed by them for the purpose of declaring the result of the poll.
- 8.9 In the case of an equality of votes, whether on a show of matriculation or membership cards or on a poll, the Chair of the meeting at which the show of matriculation or membership cards takes place or at which the poll is demanded shall not in any circumstances be entitled to a second or casting vote.
- 8.10 A poll demanded on the election of a Chair or on the question of adjournment shall be taken forthwith. A poll demanded on any other question shall be taken either immediately or at such time and place as the Chair of the meeting directs, and any business other than that upon which the poll has been demanded may be proceeded with pending the taking of the poll. No notice need be given of a poll not taken immediately.
- 8.11 No motion shall be considered by a General meeting unless at least 14 days' notice of the motion has been given in writing by the proposer to the President, supported by the signatures of 100 Members, or unless the provisions of Article 10.6 apply.
- 8.12 No amendments to a motion shall be considered by a General Meeting unless at least 7 days' notice of the amendment has been given in writing by the proposer to the President, supported by the signatures of 50 Members.

- 8.13 The President shall within 2 days of receipt make available to the Members by such means as may be reasonable the motion and amendments for the consideration of a General Meeting.
- 8.14 Subject to the provisions of the Act, a written resolution shall be as valid and effective as if the same had been passed at a General Meeting duly convened and held. Any resolution that may be passed validly at a General Meeting may be passed as a written resolution except:
- (a) a resolution to remove a Trustee before their period of office expires; and
 - (b) a resolution to remove an auditor before their period of office expires.
- 8.15 A written resolution may be sent out in more than one document and is passed when:-
- (a) a copy of the proposed resolution has been sent to every eligible Member; and
 - (b) in the case of an Ordinary Resolution, a simple majority of the Members eligible to vote have signified their agreement to the resolution in an authenticated document which has been received at the Registered Office within 28 days from the circulation date; or
 - (c) in the case of a Special Resolution, at least 75% of the Members eligible to vote have signified their agreement to the resolution in an authenticated document which has been received at the Registered Office within 28 days from the circulation date.
- 8.16 Notwithstanding the other provisions of these Articles but subject always to the provisions of the Act, any matter which could otherwise be decided by the Members at General Meeting, save the passing of company resolutions, may be decided by the Members by participation in a referendum (being a ballot in which all Members are entitled to cast a vote or an electronic vote). The Regulations shall govern the protocol for calling and conducting referenda, failing which the same procedure as for General Meetings shall be followed for referenda, save that the number of votes required for the passing of a resolution (unless fixed at some other number by the Act) shall be a simple majority of those voting, provided that a minimum of 1,500 votes are cast. The results of a referendum shall be made available in accordance with the Regulations, failing which they will be declared at a special General Meeting convened for that purpose. No other business may be entertained at such a special General Meeting save the declaration of the results of the referendum or referenda in question. The quorum at such a special General Meeting shall be five Members. For the avoidance of doubt, the Members may, subject to the Regulations, set representative and campaigning policy by participation in a referendum but may only pass company resolutions at General Meeting or as written resolutions (in accordance with the Act).

9 VOTES OF MEMBERS

- 9.1. Where in Scotland or elsewhere a curator bonis, guardian, trustee or receiver or other person (by whatever name called) has been appointed by any court claiming jurisdiction in that behalf to exercise powers with respect to the property or affairs of any Member on the ground (however formulated) of mental disorder or incapacity the Trustees may in their absolute discretion, upon or subject to production of such evidence of the appointment as the Trustees may require, permit such curator bonis, guardian, trustee or receiver or other person to vote in person or by proxy at any General Meeting on behalf of such Member or to exercise any other right conferred by Membership in relation to meetings of the Association.
- 9.2. On a show of matriculation or membership cards or a poll votes may be given either personally or by proxy. A proxy appointed to attend and vote at any meeting in place of a Member shall have the same right as the Member who appointed them to speak at the meeting and need not be a Member of the Association themselves. A proxy may be appointed to attend and vote in place of one or more Members. A person who is entitled to attend, speak or vote at a General Meeting remains so entitled in respect of that meeting or any adjournment of it, even though a valid proxy notice has been delivered to the Association by or on behalf of that person.
- 9.3. An instrument appointing a proxy shall be in writing and shall be signed by the appointor or their attorney. The Trustees may, but shall not be bound to, require evidence of the authority of any such attorney.
- 9.4. An instrument appointing a proxy must be left at the Registered Office or such other place (if any) as is specified for that purpose in the notice convening the meeting not less than forty-eight hours (excluding weekends and Bank Holidays) before the time for holding the meeting or adjourned meeting (or, in the case of a poll, before the time appointed for the taking of the poll) at which it is to be used and in default shall not be treated as valid.
- 9.5. An instrument appointing a proxy may be in the usual common form, or in such other form as the Trustees may decide (including electronic forms), and shall be deemed to confer authority to demand or join in demanding a poll. An instrument appointing a proxy may specify how the proxy is to vote (or that the proxy is to abstain from voting) on one or more resolutions, and unless it indicates otherwise, it must be treated as allowing the person appointed under it as a proxy discretion as to how to vote on any ancillary or procedural resolutions put to the meeting. It need not be witnessed and shall, unless the contrary is stated thereon, be valid as well for any adjournment of the meeting as for the meeting to which it relates.
- 9.6. A vote given by proxy shall be valid notwithstanding the previous death or insanity of the principal or revocation of the proxy or of the authority under which the instrument of proxy was executed, provided that no intimation in writing of such death, insanity or revocation shall have been received by the Association at the Registered Office before the commencement of the meeting or adjourned meeting or poll at which the vote is given.

10 TRUSTEES OF THE ASSOCIATION

10.1 The board of Trustees shall have the general control and management of the administration of the Association. Subject as hereinafter provided, the minimum number of Trustees shall be 9, of whom at least one shall be resident in Scotland. The maximum number of Trustees shall be 12. The Association may by Ordinary Resolution from time to time increase or reduce the number of Trustees. Regulations may supplement any part or the whole of the provisions set out in this Article 10.

10.2 The Trustees shall be individual persons who support the objects of the Association and who support, and agree at all times to comply with, the Trustees' Code of Conduct (as shall be fixed by the Trustees from time to time) and who comprise the following persons:

- (a) Not more than 4 elected sabbatical officers of the Association as specified in Article 10.3 (the "Sabbatical Trustees");
- (b) Not more than 5 elected Members as specified in Article 10.4 (the "Student Trustees"); and
- (c) Not more than 3 appointed persons who may or may not be Members or Life Members as specified in Article 10.5 (the "External Trustees").

10.3 Sabbatical Trustees

- (a) The intention is that Sabbatical Trustees will normally be the "major union office holders" as defined in Section 22(2)(d) of the Education Act 1994 and during their terms of office as Sabbatical Trustees will normally occupy full-time, paid elected offices of the Association.
- (b) If a Sabbatical Trustee demits, or for whatever reason is removed or disqualified from, office as a charity trustee, they may nevertheless continue to hold their position as a major union office holder and as a paid officer of the Association, but will be relieved from all of the duties, powers, rights and responsibilities of a charity trustee of the Association. The roles of the Sabbatical Trustees as employees and as charity trustees respectively will be defined in regulations drawn up for that purpose by the Association and from time to time in force.
- (c) Subject to being Members at the time of first standing for office, Sabbatical Trustees shall be elected in a secret ballot in which all Members are entitled to vote.
- (d) Sabbatical Trustees shall be elected for a term not exceeding 1 year. The commencement and expiry date of such term of office may vary in line with the Academic Year.
- (e) On taking office, and subject to the other provisions of these Articles, Sabbatical Trustees will undertake those duties and responsibilities set out for them in the Regulations, in addition to their duties as charity trustees under Section 66 of the Charities and Trustee Investment (Scotland) Act 2005.

10.4 Student Trustees

- (a) Subject to being Members at the time of standing for and throughout their term of office, Student Trustees shall be elected in a secret ballot in which all Members are entitled to vote.
- (b) Student Trustees shall be elected for a term not exceeding 2 years and shall be eligible for re-election for a second and final term not exceeding 2 years. The commencement and expiry dates of such terms of office may vary in line with the Academic Year.

10.5 External Trustees

- (a) External Trustees are intended to enhance the technical expertise, professional experience and skill of the board of Trustees, to help to promote continuity in board membership, and to bring an independent perspective to the Trustees' deliberations.
- (b) External Trustees shall be appointed by a simple majority of the Student Trustees in office for a term not exceeding 3 years and shall be eligible for re-appointment for one further term not exceeding 3 years. The commencement and expiry of such terms of office may vary in line with the Academic Year.
- (c) The Regulations may provide for an application process for External Trustees, or for an appointments panel which may consider applications and produce a recommended shortlist for consideration by the Student Trustees.
- (d) In order to ensure their independence, External Trustees are prohibited during their term or terms of office from being employed full-time either by the Association or by the University of Edinburgh.

10.6 General

The Association may by Ordinary Resolution, for which special notice shall be required, remove a Trustee at any time. Such a resolution shall be regarded as a motion of no confidence in that Trustee and will only be triggered by a petition for such a motion being signed by at least 150 Members.

- 10.7 The Association may by Ordinary Resolution elect another person in place of a Sabbatical Trustee or a Student Trustee removed under the provisions of Article 10.6 or otherwise to fill a vacancy should one arise for any reason.
- 10.8 The Trustees shall have power at any time and from time to time to appoint any person to be a Trustee either to fill a vacancy should one arise for any reason or as an additional Trustee, but so that the total number of the Trustees shall not at any time exceed the maximum number fixed by or in accordance with these Articles.
- 10.9 A Trustee may not appoint an alternate Trustee or anyone to act on their behalf at meetings of the Trustees.

11 DISQUALIFICATION OF TRUSTEES

The office of Trustee shall be vacated in any of the following events, namely:-

- (a) if they resign by notice in writing to the Association at the Registered Office unless after the resignation there would be less than 2 Trustees remaining in office; or
- (b) if they shall enter into an arrangement with their creditors or become apparently insolvent; or
- (c) if in Scotland or elsewhere an order shall be made by any court claiming jurisdiction in that behalf on the ground (however formulated) of mental disorder for their detention or for the appointment of a curator bonis or guardian or a receiver or other person (by whatever name called) to exercise powers with respect to their property or affairs; or
- (d) if they are prohibited by law from being a Trustee or ceases to be a Trustee by virtue of any provision of the Act, or if they are disqualified from acting as a charity trustee in terms of the Charities and Trustee Investment (Scotland) Act 2005 or in any other circumstances under which the Office of the Scottish Charity Regulator requires their removal from office; or
- (e) if they shall have failed to attend 3 consecutive meetings of the Trustees without permission of the Trustees and the Trustees resolve that their office be vacated; or
- (f) if in the sole opinion of a two thirds majority of the other Trustees (and, in the case of a Student Trustee or an External Trustee, by a two thirds majority of Council) they shall have acted in such a way as would bring the Association into disrepute and that in all the circumstances their removal from office is justified to preserve the reputation of the Association which, for the avoidance of doubt, would include a material breach of the said Trustees' Code of Conduct (where materiality is judged and agreed either by the Trustees and where relevant the Council following the breach in question or as prescribed in the said Code); or
- (g) if they are removed from office under Article 10.6 of these presents; or
- (h) if, in the case of a Sabbatical Trustee, they cease to be a paid officer of the Association; or
- (i) if, in the case of a Student Trustee, they cease to be a Member of the Association.

12 PROCEEDINGS OF THE TRUSTEES

- 12.1 The Trustees may meet together for the despatch of business, adjourn and otherwise regulate their meetings as they think fit, subject to meeting on at least 5 occasions in each Academic Year. Questions arising at any meeting shall be determined by a majority of votes, and in the case of an equality of votes the Chair shall have a second or casting vote. Any member of the board of Trustees may, and the

Secretary (if one is appointed) on the requisition of a Trustee shall, at any time summon a meeting of the Trustees. On agreement by a majority of the Trustees present, guests or observers may attend meetings of the Trustees in accordance with any relevant Regulations. It shall not be necessary to give notice of a meeting of the board of Trustees to any member thereof for the time being absent from the United Kingdom.

- 12.2. The quorum necessary for the transaction of the business of the Trustees may be fixed by the Trustees and unless so fixed at any other number shall be a simple majority of the Trustees, provided always that the number of Sabbatical Trustees and Student Trustees present, when taken together, shall always exceed the number of External Trustees present. A Trustee shall not be counted in the quorum when any decision is made about a matter upon which that Trustee is not entitled to vote. A meeting of the Trustees at which a quorum is present shall be competent to exercise all powers and discretions for the time being exercisable by the Trustees for as long as a quorum remains present.
- 12.3 The continuing Trustees may act notwithstanding any vacancies, but, if and so long as the number of Trustees is reduced below the minimum number fixed by or in accordance with these presents, the continuing Trustee or Trustees may act for the purpose of filling up such vacancies (whether by holding a secret ballot or by any other competent method) or of summoning General Meetings of the Association, and for no other purpose. If there be no Trustee or Trustees able or willing to act, then any two Members may instruct the holding of a secret ballot in which all Members are entitled to vote for the purpose of electing Trustees.
- 12.4. The President of the Association will be the Chair of the board of Trustees. The Trustees will elect a Vice-Chair from the External Trustees, and such other office bearers (if any) as they consider appropriate, and may at any time revoke such appointment or appointments. A person elected to any office shall automatically cease to hold that office if they cease to be a Trustee or if they resign from that office by written notice to that effect. If no President of the Association shall have been elected, or if at any meeting the President, whom failing the Vice-Chair, shall not be present within fifteen minutes after the time appointed for holding the same, the Trustees present may choose one of their number to be Chair of the meeting.
- 12.5 A resolution in writing or in electronic form agreed by a majority of the Trustees entitled to receive notice of a meeting of the Trustees or, as the case may be, of a meeting of a committee of Trustees and to vote upon the resolution shall be as effective as a resolution passed at a meeting of the Trustees or, as the case may be, a committee of the Trustees, duly convened and held, provided that a copy of the resolution is sent to all Trustees eligible to vote and a simple majority of Trustees has signified its agreement in an authenticated document or documents which are received at the Registered Office within 28 days of the circulation date. The resolution may consist of several documents in the like form, to each of which one or more of the Trustees has signified their agreement.
- 12.6 The Trustees may delegate any of their powers to a council, committee or society of the Association or to a Component Organisation. Any committee created for this purpose shall consist of such number of Trustees (if any) and/or of such Members or other persons (if any) as the Trustees shall think fit; and any such committee so

formed shall in the exercise of its powers conform to any regulations that may be imposed on it by the Trustees or by the Regulations. Any regulations imposed by the Trustees on a committee under this Article may be revoked or altered.

- 12.7 The meetings and proceedings of any committee shall be governed by the provisions of these presents regulating the meetings and proceedings of the Trustees so far as the same are applicable and are not superseded by any regulations made by the Trustees; provided that no resolution of any committee shall be effective unless a majority of the members of the committee at the meeting are Trustees or unless such resolution is approved or ratified by the Trustees. All proceedings of committees must be reported promptly to the Trustees.
- 12.8 All acts done by any meeting of the Trustees or any committee, or by any person acting as a Trustee or as a member of a committee, shall as regards all persons dealing in good faith with the Association, notwithstanding that there was some defect in the appointment or continuance in office of any Trustee or member of a committee or person acting as such or that any such member or person was disqualified or had vacated office or was not entitled to vote, be as valid as if every such person had been duly appointed and was qualified and had continued to be a Trustee or a member of a committee and had been entitled to vote.
- 12.9 The Trustees or any committee may agree to invite additional persons to attend their meetings for special purposes or to co-opt additional persons to be *de facto* members of any committee, but such additional persons shall not have the right to vote. The Trustees or any committee may seek advice from such persons as they or it shall think fit.
- 12.10 Any one or more (including without limitation, all) of the Trustees or any committee may participate in a meeting of the Trustees or of such committee:-
- (a) by means of a conference telephone or similar communications equipment or any other suitable electronic means allowing all persons participating in the meeting to communicate with all the other participants ; or
 - (b) by a succession of telephone calls to Trustees from the Chair of the meeting following disclosure to them of all material points.

Participating by such means shall constitute presence in person at a meeting. Such meeting shall be deemed to have occurred either (i) at the place where most of the Trustees participating are present or (ii) at the place where the Chair of the meeting is present.

- 12.11 The Trustees may delegate the day-to-day management of the Association to the Chief Executive (and other members of staff) of the Association who shall report regularly to the Trustees.

13 CONFLICTS OF INTEREST

- 13.1 A Trustee must declare the nature and extent of any interest, direct or indirect, which they have in a proposed transaction or arrangement with the Association or in any transaction or arrangement entered into by the Association which has not previously

been declared and must re-declare the nature and extent of any interest (direct or indirect) at a Trustees' or committee meeting at or before the time discussion begins on the matter. After providing any information requested, a Trustee must absent themselves from any discussions of the Trustees in which it is possible that a conflict will arise between their duty to act solely in the interests of the Association and any personal interest (including but not limited to any personal financial interest).

- 13.2 If a conflict of interest arises for a Trustee and the conflict is not authorised by virtue of any other provision in these presents, the unconflicted Trustees, provided they form a quorum and are satisfied that it is in the best interests of the Association to do so, may authorise such a conflict of interests where the following conditions apply:
- (a) other than providing information, the conflicted Trustee takes no part in deliberations on any arrangement or transaction to which the conflict of interest relates;
 - (b) the conflicted Trustee does not vote on any such matter and is not to be counted when considering whether a quorum of Trustees is present at the meeting;
 - (c) the unconflicted Trustees consider it is in the interests of the Association to authorise the conflict of interests in the circumstances applying; and
 - (d) the terms of Section 66 of the Charities and Trustee Investment (Scotland) Act 2005 are not thereby contravened.

And in the event that there are insufficient unconflicted Trustees to form a quorum as fixed in accordance with Article 12.2, then the unconflicted Trustees shall form a quorum notwithstanding any other provision to the contrary.

- 13.3 For the purposes of Article 13, a Trustee shall be deemed to have an interest in an arrangement if there is a direct or indirect benefit of any nature accruing to that Trustee or to a person connected with that Trustee as defined in section 252 of the Act.
- 13.4 Subject to Article 13.5, all acts done by a meeting of Trustees, or by a council of Trustees, shall be valid notwithstanding the participation in any vote of a Trustee:
- (a) who was disqualified from holding office;
 - (b) who had previously retired or who had been obliged by these presents to vacate office; or
 - (c) who was not entitled to vote on the matter, whether by reason of a conflict of interests or otherwise;
- if without:
- (d) the vote of that Trustee; and
 - (e) that Trustee being counted in the quorum;

the decision has been made by a majority of the Trustees at a quorate meeting.

- 13.5 Article 13.4 does not permit a Trustee or a connected person to keep any benefit that may be conferred upon them by a resolution of the Trustees or of a committee of Trustees if, but for Article 13.4, the resolution would have been void, or if the Trustee has not complied with Article 13.1.

14 POWERS OF THE TRUSTEES

- 14.1 The business of the Association shall be managed by the Trustees who may pay all expenses incurred in promoting and registering the Association, and may exercise all such powers of the Association as are not, by the Act or by these presents, required to be exercised by the Association in general meeting, subject nevertheless to the provisions of the Act or these presents and to such regulation being not inconsistent with the aforesaid provisions as may be prescribed by the Association in general meeting; but no regulation made by the Association in general meeting shall invalidate any prior act of the Trustees which would have been valid if that regulation had not been made.

- 14.2 All cheques, and all receipts for moneys paid to the Association, shall be signed, drawn, accepted, endorsed or otherwise executed, as the case may be, in such manner as the Trustees shall from time to time by resolution determine.

- 14.3 The Trustees shall cause minutes to be made in books provided for the purpose:-

- (a) of all appointments of officers made by the Trustees;
- (b) of the names of the Trustees present at each meeting of the Trustees and of any committee of the Trustees or established by the Trustees;
- (c) of all resolutions and proceedings at all meetings of the Association, and of the Trustees and of the committees of or established by the Trustees.

- 14.4 The Trustees shall have the power from time to time to make, repeal or amend Regulations (and to make additions thereto) as they may deem necessary or expedient for the proper conduct and management of the Association, its working practices, and generally in respect of all such matters as are commonly the subject of the Association and its Component Organisations, councils, committees and societies, provided that such Regulations are consistent with these Articles, provided always that any provisions contained in such Regulations governing how they are to be repealed or amended must be observed.

15 ASSOCIATION EXECUTIVE

Student representation matters, including the proper representation of student concerns to the University of Edinburgh, the wider community and external organisations, will be under the control and management of a committee known as the Association Executive rather than the Trustees of the Association. The Association Executive will have sole and ultimate responsibility for student representation matters, although such matters may on occasion be managed alongside or with assistance from the Association itself. The membership, remit,

proceedings and powers of the Association Executive will be set out in regulations created for that purpose (known or to be known as Association Executive Regulations or by such other name as the Association Executive may determine), and which may be amended from time to time as set out in those regulations.

16 STUDENT DEMOCRACY

A democratic structure will be created with a view to holding to account the Association Executive, referred to in the Article above, in relation to student representation matters and setting the political policy which represents the interests of students at the University of Edinburgh. The democratic structure will operate independently of the Association, although its affairs and related matters may on occasion be managed alongside or with assistance from the Association itself. Provisions for the orderly management of the democratic structure will be set out in the Association's Democracy Regulations created for that purpose, and which may be amended from time to time as set out in the Democracy Regulations. For the purposes of this Article, "political policy" excludes matters which are properly the sole responsibility of the Trustees of the Association, for example policy on human resources, budgeting, etc.

17 SECRETARY

- 17.1 If it is desired that a Secretary shall be appointed by the Trustees, the Secretary shall be so appointed for such term, at such remuneration and upon such conditions as the Trustees may think fit.
- 17.2 Any Secretary appointed may be removed by the Trustees.

18 THE SEAL

The Association shall not have a Seal.

19 ACCOUNTS

- 19.1 Accounting records sufficient to show and explain the Association's transactions and otherwise complying with the Act shall be kept at the Registered Office, or at such other place within Scotland as the Trustees think fit, and shall at all times be open to inspection by the Trustees. Subject as aforesaid no Member of the Association or other person shall have any right of inspecting any account or book or document of the Association except as conferred by statute or ordered by a court of competent jurisdiction or authorised by the Trustees or in terms of Article 19.2 below.
- 19.2 It shall be the responsibility of the Trustees to ensure that a copy of the Association's annual accounts is available to the Members of the Association for examination prior to the approval of the said accounts by the Trustees. The Trustees shall determine the method by which the said accounts are to be made available to the Members (which may include publication on the Association's website) and may pass Regulations for this purpose if they see fit.

20 AUDIT

Auditors or Independent Examiners, as appropriate, shall be appointed and their duties regulated in accordance with the provisions of the Act and of the Charities and Trustee Investment (Scotland) Act 2005.

21 NOTICES

21.1 Any notice or document may be served by the Association on any Member either personally or by sending it through the post in a prepaid letter addressed to such Member at the registered address as appearing in the Register of Members or to such other address as they may supply to the Association for the giving of notices to them, or may be sent by email to such Member, or may be sent or supplied in any way in which the Act provides for documents or information to be sent or supplied by the Association including publication on the Association's website in accordance with Section 309 of the Act, and any notice so served by post or by email or otherwise in accordance with the Act shall be deemed to have been duly served notwithstanding that such Member be then dead or bankrupt and whether or not the Association have notice of their death or bankruptcy.

21.2 A Member whose address in the Register of Members is outside the United Kingdom and who has not supplied to the Association either an address for service within the United Kingdom or an email address, shall not be entitled to receive any notice from the Association.

21.3 Any notice or document served shall be deemed to have been served:-

- (a) at the expiration of 24 hours (or, where second class mail is employed, 48 hours) after the letter containing the same is posted, and in proving such service it shall be sufficient to show that the letter containing the notice or document was properly addressed, stamped and posted;
- (b) at the expiration of 24 hours after being sent by email or posted on the Association's website or delivered by hand to the relevant address, and in proving service of an email it shall be sufficient to show that the email containing the same was properly addressed and sent;
- (c) immediately on being handed to the recipient personally; or
- (d) if earlier, as soon as the recipient acknowledges receipt.

In calculating a period of hours for the purposes of this clause, no account shall be taken of any part of a day that is not a working day. Where an individual is both a Trustee and Member of the Association, any notice so served shall be deemed to have been duly served on them as both Trustee and Member of the Association.

21.4 Subject to these presents, any notice or document to be sent or supplied to a Trustee in connection with the taking of decisions by Trustees may be sent or supplied by the means by which that Trustee has asked to be sent or supplied with such notices or documents for the time being.

22 INDEMNITY

Subject to the provisions of the Act and of these presents, a Trustee, Auditor, Independent Examiner, Secretary or other officer of the Association shall be entitled to be indemnified by the Association against all costs, charges, losses, expenses and liabilities incurred by them in the execution and discharge of their duties.

23 LIABILITY OF MEMBERS

23.1 The liability of the Members is limited.

23.2 Every Member of the Association undertakes to contribute to the assets of the Association in the event of the same being wound up during the time that they are a Member, or within one year afterwards, for payment of the debts and liabilities of the Association contracted before the time at which they cease to be a Member, and of the costs, charges and expenses of winding up the same, and for the adjustment of the rights of contributories themselves, such amount as may be required not exceeding £1.

24 WIND UP OR DISSOLUTION

If upon the winding up or dissolution of the Association there remains, after the satisfaction of all its debts and liabilities, any property whatsoever, the same shall not be paid to or distributed among the Members, but shall be given or transferred to some other charitable institution or institutions having objects similar to the Association and which shall prohibit the distribution of its or their income and property amongst its or their members to an extent at least as great as is imposed on the Association under or by virtue of Article 7 hereof such institution or institutions to be determined by the Members at or before the time of the dissolution, and if and so far as effect cannot be given to the foregoing provisions, then to some charitable object.

25 INTERPRETATION

25.1 The regulations contained in The Companies (Model Articles) Regulations 2008 or any statutory modification or re-enactment thereof shall not apply.

25.2 Any words importing the plural number only shall include the singular number and vice versa.

25.3 Words importing one gender only shall include all genders and words importing persons shall include corporations.

25.4 Save as aforesaid, any words or expressions defined in the Act shall, if not inconsistent with the subject or context, bear the same meaning in these presents.

25.5 References to any Act include references to any statutory modification or re-enactment thereof and any subordinate legislation made under it.

25.6 A reference to notice to any person shall mean notice in writing.

25.7 A reference to notice in writing includes notice in writing by post, facsimile transmission and electronic mail.

26 DEFINITIONS

In these presents, if not inconsistent with the subject or context, the words set out in the first column of the table below shall bear the meanings set opposite to them respectively in the second column thereof.

WORDS	MEANINGS
Academic Year	The annual period during which students are required to be matriculated with the University of Edinburgh.
Component Organisation	An organisation designated as such by the Trustees from time to time in accordance with Article 12.6 and/or the Regulations, and including for these purposes for the avoidance of doubt The Edinburgh University Union ("the Union") and The Students' Representative Council of the University of Edinburgh ("the SRC").
Council	The sovereign council of the democratic structures, as defined in the Association's Democracy Regulations from time to time in force, or otherwise as the Trustees may define from time to time
In writing	Written or produced by any substitute for writing, including by electronic means, or partly one and partly another.
Member(s)	Member(s) of the Association as defined in Article 6.
Month	Calendar month.
Poll	A secret ballot.
Regulations	The regulations (including any standing orders) setting out and regulating the working practices of the Association made from time to time in accordance with Article 14.4. In circumstances where any of the Regulations contradict these Articles, the Articles will prevail.
Secretary	Any person appointed in accordance with these presents.
the Act	The Companies Act 2006 and every other Act for the time being in force concerning companies and affecting the Company.
The United Kingdom	Great Britain and Northern Ireland.

These presents	These Articles of Association, as originally framed, or as from time to time altered by Special Resolution.
Trustees	The Directors.
Year	Calendar year.

Association Executive Committee

1. There shall be an Association Executive Committee
2. *Remit:* The Association Executive Committee shall have the following remit:
 - a. To have ultimate responsibility for EUSA as a representative body and to ensure proper representation of students to the University, the wider community and the external organisations to which EUSA is affiliated.
 - b. To have ultimate responsibility for the implementation of policy and the decisions taken by democratic structures, ensuring that these actions are promoted and supported throughout the organisation.
 - c. To ensure the effective application of the constitution and regulations, and the smooth and effective running of the democratic structures.
 - d. To act in place of the democratic structures when they are unable to meet.
 - e. To report back to the democratic structures on the general activities of the Association.
 - f. To be ultimately accountable for EUSA's communications with the membership.
3. The Association Executive shall not have responsibility for financial, legal or human resources issues, which shall fall under the jurisdiction of the Trustee Board.

Membership and Meetings of the Association Executive Committee

4. The Association Executive Committee shall consist of:
 - a. The Sabbatical Officers
5. The Committee shall be chaired by the President.
6. The Chief Executive and Senior Management Team shall normally attend meetings of the Association Executive Committee but shall not be voting members.
7. As required by the Association Executive Committee relevant members of EUSA staff may also attend meetings of the Committee.
8. The Committee shall meet a minimum 12 times per year, as determined by the Committee.
9. Questions arising at any meeting shall be determined by a majority of votes, and in the case of an equality of votes the Chair shall have a second or casting vote.

Powers of the Association Executive Committee

10. The Association Executive Committee shall have ultimate responsibility for the areas within its remit.

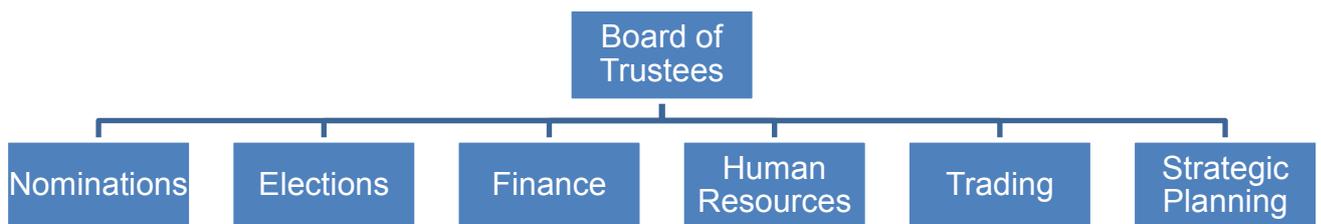
Amendments to these Regulations

11. Amendments to these regulations must first be approved by the Association Executive before being ratified by the democratic structures, in the same way as amendments to the Democracy Regulations are approved.

Subcommittees of the Trustee Board

This paper sets out the remit, membership and operation of the 6 subcommittees of the Trustee Board. These are:

- Nominations Subcommittee
- Elections Subcommittee
- Finance Subcommittee
- Human Resources Subcommittee
- Trading Subcommittee
- Strategic Planning Subcommittee



Regulations for the operation of the subcommittees are below.

These should be read in conjunction with the Delegation of Authority document.

If urgent decisions normally within the remit of a subcommittee are required to be made in a short timescale and cannot wait for the next meeting of either the subcommittee or the Trustee Board, whichever is sooner, the decision will be taken to the President and the Chair

of the subcommittee to either secure a decision electronically, or to take a decision by Chair's Action.

Nominations Committee

1. There shall be a Nominations Committee which shall be a subcommittee of the Trustee Board.
2. *Remit:* The Nominations Committee shall have the following remit:
 - a. To produce recommendations for how trustees should be allocated to the various subcommittees, in consultation with the Trustees.
 - b. To produce recommendations for the appointment of External Trustees.

Membership and Meetings of the Nominations Committee

3. The Nominations Committee shall consist of no more than 4 members, including:
 - a. 1 External Trustee
 - b. 1 Student Trustee
 - c. 1 Sabbatical Trustee
4. The Committee shall be Chaired by the Sabbatical Trustee.
5. As required by the Nominations Committee and in consultation with SMT relevant members of EUSA staff may also be invited to attend meetings of the Committee, as non-voting members.
6. Meeting frequency is approved by the Board of Trustees each year. Additional meetings may be called by the subcommittee or requested by the Board if this is required.
7. Members of the Nominations Committee shall be ineligible to apply to be an External Trustee during their period of membership.
8. Questions arising at any meeting shall be determined by a majority of votes, and in the case of an equality of votes the Chair shall have a second or casting vote.

Powers of the Nominations Committee

9. The Nominations Committee acts with the authority of the Trustee Board, as set out in EUSA's Delegation of Authority document.
10. Aside from the Trustee Board, the Nominations Committee shall have ultimate responsibility for the areas within its remit, although responsibility may be delegated to others, as set out in the Delegation of Authority document.
11. The Nominations Committee's decisions are subject to Trustee Board approval.

Changes to these regulations

12. These regulations may be amended by the Trustee Board by simple majority.

Elections Committee

1. There shall be an Elections Committee which shall be a subcommittee of the Trustee Board.
2. *Remit:* The Elections Committee shall have the following remit:
 1. To determine the regulations which govern elections and referenda.
 2. To appoint an independent Returning Officer.
 3. To ensure the smooth running of elections and referenda.

Membership and Meetings of the Elections Committee

3. The Elections Committee shall consist of no more than 4 members including at least:
 1. 1 External Trustee.
 2. 1 Student or Sabbatical Trustee.
4. The Committee shall be chaired by the External Trustee.
5. The Assistant Returning Officer shall attend meetings of the Elections Committee but shall not be a voting member.
6. As required by the Elections Committee and in consultation with SMT relevant members of EUSA staff may also be invited to attend meetings of the Committee, as non-voting members.
7. Meeting frequency is approved by the Board of Trustees each year. Additional meetings may be called by the subcommittee or requested by the Board if this is required.
8. Members of the Election Committee shall be ineligible to stand in an election during or immediately following their period of membership i.e. the election(s) for which they are determining the regulations.
9. Questions arising at any meeting shall be determined by a majority of votes, and in the case of an equality of votes the Chair shall have a second or casting vote.

Powers of the Elections Committee

10. The Elections Committee acts with the authority of the Trustee Board, as set out in EUSA's Delegation of Authority document.
11. Aside from the Trustee Board, the Elections Committee shall have ultimate responsibility for the areas within its remit, although responsibility may be delegated to others, as per the Delegation of Authority document.
12. The Elections Committee's decisions are subject to Trustee Board approval.

Changes to these regulations

13. These regulations may be amended by the Trustee Board by simple majority.

Finance Committee

1. There shall be a Finance Committee which shall be a subcommittee of the Trustee Board.
2. *Remit:* The Finance Committee shall have the following remit:
 1. To scrutinise the accounts and the budget for the Association and make recommendations to the Board of Trustees.
 2. To receive recommendations for changes to the policies and procedures regarding the distribution of monies within the organisation.
 3. To scrutinise and make recommendations regarding significant capital expenditure.
 4. To report to the EUSA Board of Trustees on the Association's finances.
 5. To deal with any other relevant business as delegated by the Board of Trustees.

Membership and Meetings of the Finance Committee

3. The Finance Committee shall consist of no more than 4 members, including:
 1. 1 External Trustee.
 2. The Vice President Services.
 3. 1 Student Trustee.
 4. 1 Additional Student or Sabbatical Trustee.
4. 1 External Member appointed by the Nominations Committee for a 1 year term with the option of a second term, who shall be a non-voting member.
5. The Committee shall be Chaired by the Vice President Services.
6. The External Trustee shall be the Vice Chair of the Committee.
7. The Finance Director shall attend meetings of the Finance Committee but shall not be a voting member.
8. As required by the Finance Committee and in consultation with SMT relevant members of EUSA staff may also be invited to attend meetings of the Committee, as non-voting members.
9. Meeting frequency is approved by the Board of Trustees each year. Additional meetings may be called by the subcommittee or requested by the Board if this is required.
10. Questions arising at any meeting shall be determined by a majority of votes, and in the case of an equality of votes the Chair shall have a second or casting vote.

Powers of the Finance Committee

11. The Finance Committee acts with the authority of the Trustee Board, as set out in EUSA's Delegation of Authority document.
12. Aside from the Trustee Board, the Finance Committee shall have ultimate responsibility for the areas within its remit, although responsibility may be delegated to others, as per the Delegation of Authority document.
13. Finance Committee decisions subject to Trustee Board approval are as set out in the Delegation of Authority.

Changes to these regulations

13. These regulations may be amended by the Trustee Board by simple majority.

Human Resources (HR) Committee

1. There shall be a Human Resources (HR) Committee which shall be a subcommittee of the Trustee Board.
2. *Remit:* The HR Committee shall have the following remit:
 1. To receive reports on casework themes relating to EUSA permanent salaried staff.
 2. To receive and make recommendations on changes to the HR strategy for the organisation.
 3. To have governance responsibility for seeing that HR policy and procedure is fit for purpose and carried out across the Association.
 4. To consider proposals for new staff appointments where such changes would result in an increase in staff costs out with existing budgets. The additional expenditure must be also approved by Finance Committee.
 5. To consider proposals for organisational restructuring, which may involve staff redundancy.
 6. To consider recommendations for changes to standard EUSA terms and conditions of employment.
 7. To report to the EUSA Board of Trustees on the Association's HR matters.

Membership and Meetings of the HR Committee

3. The HR Committee shall consist of 4 members:
 1. 1 External Trustee.
 2. A sabbatical trustee.
 3. 1 Student Trustee.
 4. 1 Additional Student or Sabbatical Trustee.
4. The Committee shall be Chaired by a sabbatical trustee.
5. The External Trustee shall be the Vice Chair of the Committee.
6. The Chief Executive and Head of HR shall attend meetings of the HR Committee but shall not be voting members.
7. As required by the HR Committee and in consultation with SMT, relevant staff members may also be invited to attend meetings of the Committee.
8. Meeting frequency is approved by the Board of Trustees each year. Additional meetings may be called by the subcommittee or requested by the Board if this is required.
9. Questions arising at any meeting shall be determined by a majority of votes, and in the case of an equality of votes the Chair shall have a second or casting vote.

Powers of the HR Committee

10. The HR Committee acts with the authority of the Trustee Board, as set out in EUSA's Delegation of Authority document.
11. Aside from the Trustee Board, the HR Committee shall have ultimate responsibility for the areas within its remit, although responsibility may be delegated to others as per the Delegation of Authority document.
12. HR Committee's decisions subject to Trustee Board approval are set out in the Delegation of Authority document.

Changes to these regulations

13. These regulations may be changed by the Trustee Board by a simple majority.

Trading Committee

1. There shall be a Trading Committee, supported by 4 'Stakeholder Groups'.
2. *Remit:* The Trading Committee shall have the following remit:
 1. To oversee EUSA's commercial activity and entertainment provided by EUSA in line with the charitable aims of the Association.
 2. To ensure that EUSA complies with all relevant legal requirements regarding EUSA's commercial, entertainment and trading services.
 3. To make strategic decisions relating to EUSA's commercial and entertainment service provision and ensure such provision is in line with the charitable aims of EUSA.
 4. To advise the Trustee Board on the preparation of the aspects of the Association's Strategic Plan relating to EUSA's commercial services.
 5. To make proposals, as and when necessary, to the Finance Committee for capital investment in EUSA's commercial services.
 6. To ensure that the Association's members are involved at all appropriate levels in decisions about the services provided by the Association, not only through membership of the Trading Committee but through consultation as appropriate and through the structures set out in this Regulation.
 7. To consider proposals for changes to the allocation of specific parts of the estate.
 8. To report to the EUSA Board of Trustees on the Association's commercial and trading activities.

Membership and Meetings of the Trading Committee

3. The Trading Committee shall consist of ten members:
 1. The Vice President Services.
 2. The Vice President Societies and Activities.
 3. 4 students elected by cross campus online election for a term of 2 years.
 4. 1 Student Trustee nominated by the Nominations Committee.
 5. 1 External Trustee nominated by the Nominations Committee.
 6. 2 External Members appointed by the Nominations Committee for a 3 year term with the option of a second term.
 1. One of whom will be a life member.
4. The Committee shall be Chaired by the Vice President Services.
5. The External Trustee shall be the Vice Chair of the Committee.

6. The Commercial Director shall attend meetings of the Trading Committee as a non-voting member.
7. As required by the Trading Committee and in consultation with SMT relevant members of EUSA staff may also be invited to attend meetings of the Committee, as non-voting members.
8. Any other EUSA Trustee or Sabbatical Officer can attend the Trading Committee as an observer and contribute to the meeting, but have no voting rights.
9. Meeting frequency is approved by the Board of Trustees each year. Additional meetings may be called by the subcommittee or requested by the Board if this is required.
10. Questions arising at any meeting shall be determined by a majority of votes, and in the case of an equality of votes the Chair shall have a second or casting vote.

Stakeholder Groups

11. The Trading Committee will be supported by 4 Stakeholder Groups to facilitate more Association member input into the deliberations of the Trading Committee.
12. Stakeholder Groups shall exist to deal with the following areas:
 1. Entertainment and Events.
 2. Catering.
 3. Retail.
 4. Bars.
13. These stakeholder groups shall have no decision-making authority. The stakeholder groups act in an advisory capacity for the Trading Committee's members and staff.
14. The Stakeholder groups shall:
 1. Gather and monitor student opinion and feedback on the aspects of EUSA's trading plans and provision which fall under their area.
 2. Use this feedback to advise the Trading Committee members, operational staff and the VPS.
 3. Support the Trading Committee and staff in ensuring that term-time trading provision meets members' realistic needs and expectations.
15. The Trading Committee may, upon a majority vote, amend the description, title or area covered by these stakeholder groups as is appropriate to the changing needs of the Association.
16. Stakeholder Groups shall be open to all EUSA members.
17. There shall be at least one meeting of each Stakeholder Group each year.

18. There shall be a minimum of 5 student members in each Stakeholder Group. The Trading committee may determine the number of students required in each stakeholder group.
19. If this minimum attendance is not achieved then it shall not count as a formal meeting of the Stakeholder Group.
20. Each Stakeholder Group shall be chaired by a student Trading Committee member, appointed after being elected to the Trading Committee.

Changes to these regulations

21. These regulations may be amended by the Trustee Board by simple majority.

Strategic Planning Committee

1. There shall be a Strategic Planning Committee which shall be a subcommittee of the Trustee Board.
2. *Remit:* The Strategic Planning Committee shall have the following remit:
 - a. To determine the Strategic Planning process and timescales for creation, review and reporting.
 - b. To review progress towards the Plan.
 - c. To report to the Trustee Board on progress and advise on risks/make recommendations for further action.

Membership and Meetings of the Strategic Planning Committee

3. The Strategic Planning Committee shall consist of no more than 4 members, including:
 1. The President
 2. 1 Student Trustee member
 3. 1 External Trustee member
 4. 1 Sabbatical Trustee member
4. The Committee shall be Chaired by the President.
5. The External Trustee shall be the Vice Chair of the Committee.
6. The SMT shall attend meetings of the Strategic Planning Committee but shall not be voting members.
7. As required by the Strategic Planning Committee and in consultation with SMT relevant members of EUSA staff may also be invited to attend meetings of the Committee, as non-voting members.
8. Meeting frequency shall be determined by the membership of the committee.
9. Questions arising at any meeting shall be determined by a majority of votes, and in the case of an equality of votes the Chair shall have a second or casting vote.

Powers of the Strategic Planning Committee

2. The Strategic Planning Committee acts with the authority of the Trustee Board, as set out in EUSA's Delegation of Authority document.
3. Aside from the Trustee Board, the Strategic Planning Committee shall have ultimate responsibility for the areas within its remit, although responsibility may also be delegated to others, as set out in the Delegation of Authority.

4. Strategic Planning Committee's decisions subject to Trustee Board approval are set out in the Delegation of Authority.

Changes to these regulations

13. These regulations may be amended by the Trustee Board by simple majority.

Regulation: Societies

Part 1: Societies Council

1. There will be a Societies Council with the following remit:
 - a. To approve new societies (in accordance with separate guidelines produced and publicised to Societies each year)
 - b. To confirm defunct societies (in accordance with separate guidelines produced and publicised to Societies each year)
 - c. To act as a forum for discussion of ideas regarding societies' activities and support
 - d. To approve the process for allocation of funding for societies
 - e. To have oversight of/input into the development of facilities, events and training for societies
 - f. To communicate relevant society developments and activities to the Student Council to ensure and encourage appropriate links between society activity and representation/campaigns activity.
 - g. To deal with society discipline issues in line with the regulations for Good Conduct.
2. Membership of Societies Council shall be as follows:
 - a. Vice President Societies and Activities
 - b. Vice President Services
 - c. 10 student members, elected by Society representatives
3. Elections for student members of Societies Council will take place at an annual Societies event, and will use the single transferable vote system.
4. All members of Societies Council must be a member of a registered society (except for sabbaticals) and have society experience
5. The Societies Council shall determine its own chair, either by electing one member or deciding to rotate the chair amongst the membership. In the event that the Council is unable to determine its own chair, it shall be chaired by the VPSA.
6. Societies Council may internally identify specific roles/tasks required and elect students to fulfil these roles from within the Council.
7. Societies Council will meet regularly and the dates and frequency of these meetings shall be determined at the start of each academic year by the Council, with a minimum of two meetings in the first and second Semester of the Academic year.
8. Societies Council meetings will normally be open to any EUSA members who wish to attend, as well as to any non-student Society members.
9. Societies Council will have the right to call for closed business where this is justified on grounds of privacy or where it concerns matters internal to a particular society or societies which that society has requested remain private, and this justification has been accepted by a majority of Societies Council.
10. Societies Council shall be run according to the Guidelines for Meetings set out in the appropriate Regulations of the Constitution, including the requirement to observe rules regarding Safe Space.

11. Quoracy for Societies Council shall constitute the presence of half of the elected membership plus 1.
12. Only the elected membership of Societies council may vote.
13. Where an elected member of the Council fails to attend three sequential meetings of the Council without apologies, they shall be deemed to have demitted office, and shall no longer be an elected member of that Council for the remainder of the year.
14. Where a member has been deemed to have demitted office for reasons of absence but they are able to present compelling reasons for their absence, the voting members of the Council may reinstate the member.
15. A majority plus 1 of the elected membership of the Council shall be able to call an Emergency meeting to discuss a specific piece of business, provided 3 working days notice is given to members. The only thing which can be discussed at an emergency meeting is the business for which the meeting was called, and any directly related business.
16. The Societies Council may set up task groups to work on specific issues. These working groups will be sub-committees of Societies Council, and shall report back to Societies Council.
17. There shall be a standing sub-committee of Societies Council known as the Societies Funding Group. Its remit will be to allocate funding to societies. The application and allocations process will be set out in a separate guidance document, which shall be approved by the Societies Council and publicised to Societies. The Funding group will report regularly on its decisions to the Trustee Board.
18. Membership of the Societies Funding Group shall be:
 - a. VPSA
 - b. VPS
 - c. 1 member elected from EUSA's Trustee Board.
 - d. 3 members elected from the Societies Council shall be present in an advisory role.
 - e. The Societies Development Co-ordinator and Societies Administrator shall attend the group in an advisory capacity.

Part 2: Administration of Societies

Recognition

1. A society applying for recognition as a University society must submit a society registration form to the Activities team, accompanied by the following:
 - a. A draft constitution
 - b. Names of the Society President, Society Secretary and Society Treasurer
 - c. Details of the annual membership fee for student members and non- student members

- d. Signatures of at least 20 matriculated students of the University of Edinburgh, who wish to form the society

2. Societies Council has responsibility for reviewing society applications, and approving them or not.

3. Where a Society is not approved, or is made defunct according to the guidelines for approval and continuation of societies laid down, there shall be a right of appeals. In the event of an appeal being made against the society being declared defunct or failure by Societies Council to approve said Society, the President and two nominees from the Student Council shall adjudicate. The decision of this society appeals panel is final.

4. Societies Council will inform the Student Council of new approved Societies.

Society rules

1. Societies must have President, Secretary and Treasurer – these must be University of Edinburgh students
2. Societies must have their own constitution setting out their name and aims, and any other specific positions necessary. The societies team will advise on appropriate content, and any compulsory clauses required
3. Membership of any society shall be open to all matriculated students of Edinburgh University
4. 75% of a society's membership must be Edinburgh University students.
5. Societies must have a minimum of 20 members
6. Societies may charge a membership fee, to enable them to sustain their activities. Applications for funding to the Societies Council Funding Group will take into account whether or not a society has made an effort to provide for its own activities through a membership fee or not.
7. Societies may charge a higher membership fee to non student members
8. All office-bearers shall be subject to election annually at an Annual General Meetings of their society, which must be open to all its members.
9. Recognised societies shall have access to EUSA facilities and services. Terms and conditions on which this access is available shall be publicised to societies each year.
10. Societies must re-register on an annual basis, following the process determined by Societies Council
11. Society activities and meetings are subject to EUSA's Regulations on Good Conduct, and Guidelines for Meetings.

Part 3: Amendments to these regulations

1. These regulations can only be changed by a joint meeting of the elected members of the Societies Council and the Student Council, with 14 working days notice.
2. This joint meeting may be called by a majority vote in either the Societies Council or the Student Council.
3. The specific Schedule changes requested shall be set out and must be approved by simple majority by either body.
4. Once such a meeting is approved, the proposed Regulation changes shall be forwarded to the Board of Trustees for final approval and to ensure that they are in conformity with the Core Constitution and the legal requirements of the Association.
5. The meeting of all elected members shall only discuss the proposed changes as approved by the Councils in calling the meeting.
6. Any proposed Amendment must receive a $2/3$ majority of eligible members of this meeting to pass.

The University of Edinburgh

The University Court

17 February 2014

Widening Access Update

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

The paper updates Court on the University's position regarding the recruitment of SIMD students in 2013/14, along with take up of the Scotland Accommodation Bursary, and also provides a summary of application and offers to date for 2014/15 entry. The paper is relevant to our commitment to widening access and our SFC Outcome Agreement targets.

Action requested

Court is invited to note the content of the paper.

Resource implications

Does the paper have resource implications? No

Risk assessment

Does the paper include a risk assessment? No

The paper does not propose any actions.

Equality and diversity

Has due consideration been given to the equality impact of this paper?

Yes – no actions are recommended.

Freedom of information

Can this paper be included in open business? No

Originator of the paper

Tracey Slaven, Deputy Secretary, Strategic Planning
Peter Phillips, Deputy Director of Planning

21 January 2014

To be presented by

Tracey Slaven, Deputy Secretary, Strategic Planning

The University of Edinburgh

The University Court

17 February 2014

C5

NSS progress, September 2013 – January 2014

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

This paper briefly explains progress towards agreed NSS remediation strategies made between September 2013 and January 2014. It sets out plans for the Summer, including plans for managing NSS results in August.

Action requested

For information and discussion.

Resource implications

Does the paper have resource implications? Yes

Risk assessment

Does the paper include a risk assessment? No

Equality and diversity

Has due consideration been given to the equality impact of this paper? Yes
It applies equally to all students and meets equality impact criteria.

Freedom of information

Can this paper be included in open business? No

Its disclosure would substantially prejudice the effective conduct of public affairs.

For how long must the paper be withheld? Five years

Originator of the paper

Dr Sue Rigby, Vice Principal Learning and Teaching
January 2014

To be presented by

Dr Sue Rigby, Vice Principal Learning and Teaching

The University of Edinburgh

The University Court

17 February 2014

Report from Estates Committee held on 11 December 2013

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

The paper reports on key discussions and recommendations made at the meeting of EC, held on 11 December 2013. It also reports on the proposed University Higgs Centre at King's Buildings.

Action requested

Court is invited to note the EC report and endorse the recommendations contained in the paper.

Resource implications

Does the paper have resource implications? Yes, detailed throughout the paper.

Risk Assessment

Does the paper include a risk analysis? It should be noted that EC papers contain, where applicable, separate risk assessments. Some of these may be contained within the reports to CMG, FGPC, and Court.

General:

Legislation Non-Compliance/Business Continuity – mitigated by regular assessment and update of priorities, risk register and implementation of annual major replacements/compliance programme

Capital/Revenue commitments – mitigated by tracking via the Group Estate Development Programme and regular updating in consultation with Finance and reporting to EC, CMG and FGPC, through to Court.

Project Management – mitigated by on-going monitoring of Design Team, Contractor, Risk Register and meetings of Project Boards who in turn report significant programme/cost issues to EC.

Equality and Diversity

Has due consideration been given to the equality impact of this paper? None of the proposals in this paper raise issues beyond those that are routinely handled in all estates developments. It should be noted that EC papers contain, where applicable, separate E&D assessments.

Any other relevant information

The Vice-Principal Planning, Resources and Research Policy will present the paper.

Court is reminded that copies of the EC papers are available to Court members on request from Angela Lewthwaite (Tel: 651 4384, email: angela.lewthwaite@ed.ac.uk) or online at: <https://www.wiki.ed.ac.uk/display/UCC/Estates+Committee>

Freedom of information

Has due consideration been given to the equality impact of this paper. The paper is **closed**.
Its disclosure would substantially prejudice the commercial interests of any person or organisation
All EC papers contain FOI information including reasons for closing papers.

Originator of the paper

Paul Cruickshank - Estate Programme Administrator
Angela Lewthwaite - Secretary to Estates Committee
4 February 2014

Library Collections Policy – Key Policy Statements

Brief description of the paper, including statement of relevance to the University’s strategic plans and priorities where relevant

A refresh of the University Library Collections Policy – key statements. The current refreshing of the key policy statements contains nothing that would move us away from our overarching principle of providing library resources suitable for a diverse community of disciplines in a research intensive university. However, this refreshed set of Key Policy Statements will allow us to keep up with developments, especially in the area of e-books and research data management.

Action requested

Court is asked to approve this refresh of the Library Collections Policy Key Policy Statements, which originate in the substantial Library Collections Policy document approved in 2005. These statements are guiding principles for the Library’s management of physical and digital collections, including material that is purchased annually. They were last updated in 2009.

The 2013 refresh addresses the requirement to move to an e-preference model in relation to books (statement 4), introducing the need to accommodate Research Data Management issues within the policy (statement 7), to adjust the formula for course texts (statement 10), and finally to articulate Edinburgh’s interpretation of guaranteed access in relation to electronic journals (statement 11).

Resource implications

Does the paper have resource implications? Yes, but will be applied within current budget allocations.

Risk assessment

Does the paper include a risk assessment? No

Equality and diversity

Has due consideration been given to the equality impact of this paper? Yes – an EqIA has been completed and published.

<http://www.ed.ac.uk/schools-departments/equality-diversity/impact-assessment/a-z-assessments>

Any other relevant information

Wide consultation has been held within the College/Schools.

Approved by University Main Library Committee on 5 June 2013 and Knowledge Strategy Committee on 13 December 2013.

Originator of the paper

John Scally, Director of Library and University Collections

Freedom of information

Can this paper be included in open business? Yes

To be presented by

Professor Jeff Haywood
Vice Principal Knowledge Management, CIO and University Librarian

University Library Collections Policy Key Policy Statements

2013 Refresh

[Format: each policy statement is followed by an explanatory note or clarification to aid interpretation]

Background

The current set of key policy statements originate in the substantial Library Collections Policy document approved in 2005 and a subsequent light-touch review of the policy completed in 2009. Much of what we agreed in 2005 and 2009 still holds and has served the library and its community well during a period of considerable change. The current refreshing of the key policy statements contains nothing that would move us away from our overarching principle of providing library resources suitable for a diverse community of disciplines in a research intensive university. However, this refreshed set of Key Policy Statements will allow us to keep up with developments, especially in the area of e-books and research data management.

Key Policy Statements:

1. The Library will provide a dynamic, relevant and evolving collection of resources to support teaching and research in the University and, where possible, in the wider community. This will be supported by established as well as new methods of acquisition.
 - An established model would include academic purchase recommendations; new methods could include patron driven acquisition.
 - This is aimed at the General Collections, but also Heritage and Special Collections.
2. The most heavily used print General Collections are openly accessible and located where they can best be utilised by the greatest concentration of users.
 - Items in store will have demonstrated lower usage than the centrally located collections.
 - Stored items remain available through appropriately managed request and delivery services.
 - Items may also be transferred across the Main and Site Libraries on behalf of users. Material tends to be located on the campus where specific subjects are taught (for example, the veterinary collections are predominantly held within the Library at the Easter Bush campus).
3. The Library follows an e-only model in relation to acquisition and retention of journals, taking into account financial feasibility, content, and long-term access.
 - This policy has been active since the 2009 Revision of the Library Collections Policy (2005), which can be viewed here: <http://edin.ac/10jvL6Z>

4. The Library follows an e-preference model in relation to the acquisition and retention of books, taking into account financial feasibility, access arrangements, discipline requirements, learning needs and preservation.
 - The Library will invest in and support initiatives to improve access arrangements and pricing of e-books.
 - For disciplines for which print copies are essential for teaching and/or research, both print and electronic books will be acquired. The decision will normally lie with the appropriate Academic colleague and Liaison Librarian representing the discipline concerned.

5. Heritage and Special Collections are acquired according to existing collection strengths and research and teaching priorities.
 - Material is normally purchased using endowments, support from Friends organisations, the Schools and Colleges, and through external fundraising.
 - The selection policy for Rare Books and Manuscripts is available here: <http://edin.ac/XtERQD>
 - The University Archives Collecting Policy is available here: <http://edin.ac/XtEZQ4>
 - The Museums and Galleries Collections Policy (2010-15) is available here: <http://edin.ac/10jtjgX>

6. The Library collects and preserves the intellectual output of the University and its archival record in manuscript, print and electronic format, through services such as the Edinburgh Research Archive (ERA), the Current Research Information System (PURE), and the University Archives.
 - Policies relating to ERA and PURE can be found here: <http://edin.ac/10UT3AW>
 - The University Archives Collecting Policy is available here: <http://edin.ac/XtFhq7>

7. The Library will collaborate with stakeholders to implement the Research Data Management (RDM) Policy and develop a service for the management and reuse (retention and availability) of University of Edinburgh Research Data, to ensure compliance with Research Councils' and external funding bodies' requirements.
 - The RDM Policy may be viewed here: <http://edin.ac/10jtRmV>

8. Donations, or internal transfers (e.g. School collection transfers), of books, manuscripts and archives will be assessed using selection criteria and/ or records retention schedules that will be applied before accepting material.
 - For further details on criteria relating to Rare Books and Manuscripts donations, view the acquisitions policy here: <http://edin.ac/XtERQD>

- Examples of selection criteria include duplication; availability elsewhere; material condition; and relevance to current teaching or research. The decision will normally lie with the Liaison Librarian representing the discipline concerned.
- Donations are subject to the same on-going review process as all other materials, in relation to collections management activities such as transfer, relegation or disposal.

9. Deposits will only be accepted in exceptional circumstances and under agreed conditions.

- Deposited collections are not owned by the University of Edinburgh, but are held and serviced on behalf of the owners.
- The Library will normally apply a charge for the housing and servicing of deposited collections.

10. No more than one copy of a title (print or electronic) will normally be added to or retained within the Library system, unless it falls into the following categories.

- The text is being used in current or continuing courses at the University of Edinburgh. In which case, the Library would not normally purchase or retain more than one copy per group of 20 students on a course.
- Current research requirements mean that more than one copy should be purchased to be available across multiple Site Libraries.
- Additional copies have copy specific information and would be part of the Special Collections.
- All multiple copies of items are reviewed as part of a rolling programme of collections management.
- The Liaison Librarian representing the discipline(s) concerned will be involved in discussions on the number of copies with the appropriate Academic colleague.

11. Where we have guaranteed long-term access to electronic journals, the print copy of the same title will be assessed for transfer, relegation or disposal.

- By 'guaranteed access' we mean that the item will be available at the point of need; by 'long-term' we mean that the electronic copy is included in a backfiles portfolio or is available via community-owned preservation services.
- The Library will continue to purchase journal back files when resources are available.
- The Library will continue its membership of the LOCKSS and PORTICO preservation services.
- As long as they are available, the Library will endeavour to retain membership of responsible and collaborative preservation and retention programmes, such as the UK Research Reserve (UKRR). These programmes seek to maintain a national collection of print journals now available electronically.

- In many instances, publishers are no longer making print editions of journals available. The Library seeks to preserve access to the electronic editions through schemes mentioned above.

12. There is a rolling programme of transfer, relegation and disposal (using agreed criteria) for Library collections. Within the General Collections, an approximate balance will be sought between acquisition on the one hand, and transfer, relegation and disposal on the other.

- Examples of criteria include that used within the HUB (High Use Books) collection in the Main Library, where materials no longer required for taught courses or no longer demonstrating high borrowing are usually de-duplicated, and transferred into the main Standard Loan collection.
- Disposals are carried out in consultation with the College or Liaison Librarian representing the discipline(s) concerned.

13. Access agreements with and proximity to other libraries will be factored into decisions on the acquisition and retention of specialist categories of material.

- For example, the Library no longer routinely acquires maps due to our co-location to the National Library of Scotland's Map Library.

Approved by Library Committee, 5th June 2013

Approved by Knowledge Strategy Committee, 13 December 2013

The University of Edinburgh

The University Court

17 February 2014

Academic Report

D1

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

The paper is the Academic Report to Court providing information on the discussion which took place at the most recent meeting of the University Senate on 5 February 2014 and of the business dealt with by the electronic Senate of 14 – 22 January 2014.

A copy of the full minute of the Senate meeting, together with related papers, can be found in due course at <http://edin.ac/13pqU5E>.

Copies of presentation slides are available upon request from the Senate Secretariat.

Action requested

No action is requested. The report is for information to update Court on Senate activities.

Resource implications

Does the paper have resource implications? No

Risk assessment

Does the paper include a risk assessment? No

Equality and diversity

Has due consideration been given to the equality impact of this paper? Yes

Freedom of information

Can this paper be included in open business? Yes

Originator of the paper

Anne Marie O'Mullane
Senate Secretariat
February 2014

Summary Report of the Senatus Meeting on 5 February 2014

Presentation and Discussion – Mainstreaming Distance Education

The strategic theme for the meeting was “*Embedding Equality*”. Staff were invited to attend and participate in the presentation and discussion section of the meeting. Professor Jane Hillston, School of Informatics, organised this section of the meeting. Professor Hillston introduced the speakers and noted that the discussion was intended to raise some of the issues that the University would encounter as it undertook a cultural change to embed equality. Mr Chris Hall, Head of Stakeholder Relations and Communications, Equality Challenge Unit, gave an overview of the legislative landscape and the duties placed on the University and its staff. Mr Hall also gave a high-level overview of national trends that could be interpreted as manifestations of discrimination or bias. Professor Polly Arnold, School of Chemistry, gave an overview of the project “A Chemical Imbalance” which she led last year. More information can be found at: <http://chemicalimbalance.co.uk/project/watch-the-film/>. Professor Arnold noted that the project found that unconscious bias is perhaps the next biggest obstacle for achieving parity between the sexes. Dr Rowena Arshad, Head of School Moray House School of Education, spoke about race discrimination and minority student experiences at the University of Edinburgh. Dr Simon Clark, Head of School of Economics and member of the Equality Management Committee discussed mainstreaming the “equality duty”.

Professor Jane Norman, Vice Principal for Equality and Diversity was introduced to the Senate and spoke about the vision of the University for embedding equality.

The presentations and discussion gave Senate members space to engage in a valuable and supportive discussion on how the University should take next steps in its aim to further embed equality and to address unconscious bias. There were a lot of innovative ideas and suggestions for making real change. Outcomes from Senate discussions will feed into the shaping of strategy in this area.

Details are given in the Senate minutes, which will be circulated to Senate Court members and made available online in due course: <http://edin.ac/13pqU5E>

Formal Business

1. Approval of E-Business conducted from 14 May-22 January 2014.

Honorary Degrees

The Senatus noted the decisions of Senate to approve the recommendation to award an Honorary Degree of Master of Arts to Malala Yousafzai and the recommendation to award an Honorary Degree of Doctor of Science Professor Professor Baron François Englebert.

Membership of Senate

The Senatus noted the new professorial and student members.

Conferment of the title of Emeritus Professor

The Senatus agreed to confer the title of Professor Emeritus/Emerita on the Professors listed in Paper D:

Professor H Barstad	School of Divinity
Professor K A A Fox	School of Biomedical Sciences
Professor A Harmar	School of Biomedical Sciences
Professor P Jenkins	Edinburgh School of Art
Professor S Platt	School of Clinical Sciences

requesting that the relevant Heads of College / School prepare the necessary Special Minutes.

Special Minutes

The Senatus adopted the Special Minutes for:

Professor S G B Amyes	Emeritus Professor of Microbial Chemotherapy
Professor W A Gilmore	Emeritus Professor of International Criminal Law
Professor K Thoday	Emeritus Professor of Veterinary Dermatology
Professor P Weetman	Emerita Professor of Accounting

Senate Assessor on University Court

Senate noted the election of Professor Julie Taylor, NSPCC Chair of Child Protection, as a Senate Assessor on University Court with immediate effect from the 15 November 2013 until July 31 2014.

Communications from the University Court

The Senatus noted the content of the report from the University Court on its meetings of 16 September, 4 November and 9 December 2013 and offered no observations on the draft resolutions.

Report from the Central Academic Promotions Committee

Senatus noted the report from the Central Academic Promotions Committee informing it of the award of one further out of cycle Personal Chair.

Resolutions – Chairs

The Senatus offered no observations on the draft Resolutions:

- Draft Resolution No. 1/2014: Foundation of a Chair of Infectious Disease Pathology
- Draft Resolution No. 5/2014: Alteration of the title of the Second Chair of Pathology
- Draft Resolution No. 4/2014: Foundation of a Personal Chair of Education and Social Stratification

2. Report from the Central Management Group

The Senatus noted the report from the CMG meeting 22 January 2014.

3. Resolutions – Chairs

The Senatus offered no observations on the draft Resolutions:

Draft Resolution No. 6/2014: Alteration of the title of Chair of Clinical Reproductive Science
Draft Resolution No. 7/2014: Alteration of the title of Arup Personal Chair of Structure and Fire

Closed Business

4. Report of the Honorary Degrees Committee

Senatus approved the recommendations contained in paper S 13-14 2 D.

The University of Edinburgh

The University Court

17 February 2014

Resolutions

The Court is invited to approve the following Resolutions, in accordance with the agreed arrangements for the creation and renaming of Chairs. No observations have been received from the General Council, the Senatus Academicus or any other body or person having an interest.

- | | | |
|------------------------|--|---------------------------------|
| Resolution No. 1/2014: | Foundation of a Chair of Infectious Disease | Pathology |
| Resolution No. 2/2014: | Institution of new postgraduate Degree: | Master of International Affairs |
| Resolution No. 3/2014: | Payment of Debts to the University | |
| Resolution No. 4/2014: | Foundation of a Personal Chair of Education and Stratification | Social |
| Resolution No. 5/2014: | Alteration of the title of the Second Chair of Pathology | |

Dr Katherine Novosel
February 2014

UNIVERSITY OF EDINBURGH

Resolution of the University Court No. 1/2014

Foundation of a Chair of Infectious Disease Pathology

At Edinburgh, the Seventeenth day of February, Two thousand and fourteen.

WHEREAS the University Court deems it expedient to found a Chair of Infectious Disease Pathology:

THEREFORE the University Court, after consultation with the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act, 1966, with special reference to paragraph 5 of Part II of Schedule 2 to that Act, hereby resolves:

1. There shall be a Chair of Infectious Disease Pathology in the University of Edinburgh.
2. The patronage of the Chair shall be vested in and exercised by the University Court of the University of Edinburgh.
3. This Resolution shall come into force with effect from 1 September Two thousand and thirteen.

For and on behalf of the University Court

SARAH SMITH

University Secretary

UNIVERSITY OF EDINBURGH

Resolution of the University Court No. 2/2014

Institution of new postgraduate Degree: Master of International Affairs

At Edinburgh, the Seventeenth day of February, Two thousand and fourteen.

WHEREAS the University Court deems it expedient to institute a postgraduate degree of Master of International Affairs (MIA):

THEREFORE the University Court, on the recommendation of the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with special reference to paragraph 2 of Part II of Schedule 2 to that Act, hereby resolves:

1. The University of Edinburgh may confer the degree of Master of International Affairs and those engaged in postgraduate studies by coursework in the University of Edinburgh shall include candidates for the degree of Master of International Affairs.
2. The Senatus Academicus has the power to make Regulations under this Resolution governing the studies undertaken for the degree of Master of International Affairs, and in particular to register candidates for the degree and ensure their satisfactory supervision and to discontinue registration of unsatisfactory candidates.
3. The degree of Master of International Affairs shall not be conferred honoris causa.
4. All candidates for the degree of Master of International Affairs must be registered postgraduate students of the University of Edinburgh. The Regulations made by the Senatus governing registered postgraduate students apply to all candidates.
5. A candidate who has satisfied the conditions prescribed by or under this Resolution shall be entitled to receive the degree of Master of International Affairs.
6. This Resolution shall come into force with effect from the commencement of the 2014/2015 academic year on 1 August 2014.

For and on behalf of the University Court

SARAH SMITH

University Secretary

UNIVERSITY OF EDINBURGH

Resolution of the University Court No. 3/2014

Payment of Debts to the University

At Edinburgh, the Seventeenth day of February, Two thousand and fourteen.

WHEREAS the University Court considers it expedient to regulate the position of any student who may be financially indebted to the University:

THEREFORE the Court, after consultation with the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with particular reference to paragraph 8 of Part II of Schedule 2 to that Act, hereby resolves:

1. A student who owes money to the University on account of any matter relating to academic endeavour including but not confined to tuition fees, bench fees, field trip expenses, loans, or fines shall, notwithstanding any Ordinances, Resolutions, or Regulations under which they may be qualified to graduate or to proceed to further study in the University, be debarred from graduating or from further matriculation until such time as the money due is paid or until a dispensation is granted in accordance with Section 2 hereof.
2. The Principal or their authorised representative may permit any such student to graduate or matriculate if satisfied that a sufficient undertaking of payment has been given by the student, or that undue hardship would be caused if this permission were not granted.
3. For the purpose of this Resolution, graduation shall include receiving any degree, diploma, licence, or other qualification conferred by the University.
4. The Senatus, with the approval of the Court, may from time to time make regulations to implement this Resolution.
5. This Resolution shall come into force with effect from 1 August Two thousand and fourteen, from which date Resolution No 7/1982 shall be repealed.

For and on behalf of the University Court

SARAH SMITH

University Secretary

UNIVERSITY OF EDINBURGH

Resolution of the University Court No. 4/2014

Foundation of a Personal Chair of Education and Social Stratification

At Edinburgh, the Seventeenth day of February, Two thousand and fourteen.

WHEREAS the University Court deems it expedient to found a Personal Chair of Education and Social Stratification:

THEREFORE the University Court, after consultation with the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 of the Universities (Scotland) Act 1966, with special reference to paragraph 5 of Part II of Schedule 2 to the Act, hereby resolves:

1. There shall be a Personal Chair of Education and Social Stratification in the University of Edinburgh, which shall be established solely for the period of tenure of the Professor appointed, and on the Professor ceasing to hold office, the provisions of this Resolution shall cease to have effect, and the said Personal Chair shall thereupon cease to exist.
2. The patronage of the Personal Chair shall be vested in and exercised by the University Court of the University of Edinburgh.
3. Notwithstanding the personal nature of this Chair, the terms and conditions of appointment and tenure which by Statute, Ordinance and otherwise apply to other Chairs in the University shall be deemed to apply in like manner to the Personal Chair of Education and social Stratification together with all other rights, privileges and duties attaching to the office of Professor.
4. This Resolution shall come into force with effect from 1 January Two thousand and fourteen.

For and on behalf of the University Court

SARAH SMITH

University Secretary

UNIVERSITY OF EDINBURGH

Resolution of the University Court No. 5/2014

Alteration of the title of the Second Chair of Pathology

At Edinburgh, the Seventeenth day of February, Two thousand and fourteen.

WHEREAS the University Court deems it expedient to alter the title of the Second Chair of Pathology founded by Resolution 20/1998;

AND WHEREAS paragraph 5 of Part II of Schedule 2 to the Universities (Scotland) Act 1966 provides that the University Court may, after consultation with the Senatus Academicus and with the consent of the incumbent and patrons, if any, alter the title of existing professorships;

AND WHEREAS the Chair dealt with in this Resolution is in the patronage of the University Court itself:

THEREFORE the University Court, after consultation with the Senatus Academicus and in exercise of the powers conferred upon it by Section 3 the Universities (Scotland) Act 1966, with special reference to paragraph 5 of Part II of Schedule 2 to that Act, hereby resolves:

1. The Second Chair of Pathology shall hereafter be designated the Chair of Molecular Pathology and Genetics.
2. This Resolution shall come into force with effect from 1 April Two thousand and fourteen.

For and on behalf of the University Court
SARAH SMITH
University Secretary

The University of Edinburgh

The University Court

17 February 2014

Report from Expeditions Committee

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

Expedition report from Project Peru 2013. Please see two accompanying photographs.

Action requested

For information.

Resource implications

Does the paper have resource implications? No

Risk assessment

Does the paper include a risk assessment? n/a

Equality and diversity

Has due consideration been given to the equality impact of this paper? n/a

Freedom of information

Can this paper be included in open business? Yes

Originator of the paper

Dr Simon Beames
Expeditions Committee Convener

Project Peru 2013

Expedition background, team and partners:

Eleanor Drinkwater and Robin Morrison made up this two person expedition to the CREES Manu Learning Centre research centre. The expedition was funded by the Davis Expedition Fund.

Expedition aims:

The aim of the expedition was to determine whether agroforestry practices being introduced by NGOs to improve sustainable practices in the Manu National Park encouraged greater butterfly biodiversity than traditional banana plantations.

Brief description of research/activities conducted during the Expedition:

Four agroforestry plots and four plantation plots were trapped in pairs for butterflies over the five weeks in the field. Butterflies caught would be identified on site and released, or brought back to the centre for further identification before release.

Expedition outcomes/benefits:

In total, 1065 butterflies were caught from which 113 separate species were identified. Of the 113 different species caught, nine of these had never been previously identified in the proximity of the research centre. We found that there was no significant difference in butterfly biodiversity between the two farming types, which could suggest higher than expected levels of biodiversity in non-intensive plantations.



The University of Edinburgh

The University Court

17 February 2013

University of Edinburgh Regents

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

Court at its meeting on 20 February 2012 agreed to the establishment of University of Edinburgh Regents: individuals who significantly support the University either financially or with their expertise or by sharing their networks in taking forward the Universities fundraising campaign. It was further agreed that Court would be provided with information on an annual basis on the University of Edinburgh Regents.

Action requested

Court is invited to note the current list of University of Edinburgh Regents and to approve the appointment of two new Regents.

Resource implications

Does the paper have resource implications? Yes

There are costs associated with stewardship which will be met from within existing budgets.

Risk assessment

Does the paper include a risk assessment? No

Equality and diversity

Has due consideration been given to the equality impact of this paper? Yes

Freedom of information

Can this paper be included in open business? Yes

Originator of the paper

Professor Mary Bownes, Senior Vice Principal External Engagement

University of Edinburgh Regents

2012

Mr John Allan CBE
Dr Armeane M Choksi
Mr John Clare CBE
Dr Michael Cross
Dr Neil Cross
Mr George A David OBE
Mr Roger Dye
Mr Simon Fennell
Dr Roualeyn Fenton-May
Dr Edwin Feulner
Mr Ian A Godden
Dr Allan Little
Mr Gregor R Logan
Mrs Bridget Macaskill
Mr David A McCorquodale
Dr Sheena McDonald
Mr Alan McFarlane
Mr Malcolm I Offord
The Rt Hon Sir Malcolm Rifkind QC MP
Mr Ian Russell CBE (Chair)
Mr Kenichi Shoji
Dr Jack Watters
Mr William Webb

2013

Mr Crawford W Beveridge CBE
Dr Alan Brown
Dr George Gunn

2014 – New Regents

Dr Katherine Grainger CBE
Mr John McAslan CBE

Dr Katherine Grainger CBE

Biography

Current Position

- Olympic Gold Medal winning Rower

Education

- 1993 LLB, the University of Edinburgh
- MPhil, Medical Law and Medical Ethics, the University of Glasgow
- 2011 Dr hc, the University of Edinburgh
- 2013 Dr hc, Glasgow Caledonian University
- 2013 PhD (Homicide), King's College, London

Career

- Katherine took up rowing at Edinburgh University in 1993 and made such good progress she was awarded the Eva Bailey Trophy as their most outstanding female athlete in 1996 and again in 1997.
- She is the Honorary President of the Scottish Amateur Rowing Association and is a member of Edinburgh rowing club St Andrew Boat Club and a member of Marlow Rowing Club. She is also an honorary life member of Aberdeen Boat Club.
- In November 2009, Katherine was named as Scottish Sports person of the Year and awarded the “Emirates Lonsdale Trophy” by Commonwealth Games Scotland, the first female to win this prestigious award, and in the process leaving such Scottish sporting luminaries as Andy Murray and Sir Chris Hoy in her wake.
- In 2012 she was chosen as one of the five athlete ambassadors for promoting the key messages of Team GB – the 2012 Olympic Team.
- Katherine Grainger began her international rowing career in 1997 when she raced in a coxless pair with Francesca Zino from Cambridge University and won the gold medal at the World under 23 Rowing Championships, setting a new record for the event.
- She has since gone on to represent Team GB at 4 separate Olympic Games; Sydney in 2000 which resulted in a silver medal; Athens in 2004 where she won another silver medal; Beijing in 2008 where she won silver again and London in 2012 where she won Gold.
- Katherine was awarded a CBE in the 2013 New Year’s honours list for services to rowing. She had previously been awarded an MBE in 2006 for her services to sport. She is also the first female British Athlete of any sport to gain medals in 4 consecutive Olympic Games
- 2013 saw the publication of Katherine’s autobiography ‘Dreams Do Come True’

Mr John McAslan CBE
Biography

Current position:

- Chairman and Founder, John McAslan + Partners

Education:

- 1977 MA (SS) Architecture, the University of Edinburgh

Career:

- John McAslan founded John McAslan + Partners in 1996, having previously trained with Cambridge Seven Associates in Boston, and Richard Rogers in London, before becoming co-principal of Troughton McAslan in 1984.
- John McAslan+Partners were named World Architect of the Year in 2009. The practice's work has been extensively exhibited and has received in excess of 75 international design awards including 15 RIBA (Royal Institute of British Architects) national and international awards. The practice's buildings include the Olympic Energy Centres and the Roundhouse in London, the Stanislavsky Factory Moscow, the British Embassy Algiers and the Haiti Iron Market Cuba which was opened by President Bill Clinton in January 2011.
- In 2012 the transformed Grade I listed King's Cross Station was opened following its £547 million redevelopment designed by McAslan+Partners and has received numerous awards¹.
- In the New Year's Honours 2012 he was awarded a CBE for services to architecture. He has been appointed the Honorary Consul of The Republic of Haiti to the Court of St James in the UK.

Family:

- Married to Dava Sagenkahn who is from New York. Their son, Renwick graduated with an MA in Sociology at The University of Edinburgh in 2012.

Report on Regents' Activity in 2013

Dinner for Regents – 28 February 2013

29 Regents came to dinner in the Playfair Library for the first official Regents event. The Principal and Senior Vice Principal welcomed guests and updated them on the University's progress; Kirsty MacDonald outlined progress in D&A with particular attention to the successful completion of the Campaign; John Scally highlighted artefacts in our special collections; and Mal Burkinshaw talked about the competition for ECA students to design the Regents' gown. Regents were then presented with certificates and small gifts. The guests were treated to music performed by students in the University of Edinburgh Folk Society and following dinner singer Scott Gardiner regaled guests with traditional Scottish songs.

US Development Trust – 21 March and 6 November

Regents **Fenton May, Bill Webb, Ed Feulner, Simon Fennell and Armeane Choksi** continued to lend their expertise as Directors of the US Development Trust. They ensure that our charitable status in the US adheres to government regulations and that donated funds are distributed in a timely and appropriate manner.

Presentation to D&A staff – 23rd April 2013

Alan McFarlane delivered a presentation to staff on "How the wealthy manage their money" which provided very useful insights from a donor's perspective. He talked about the motivation for donors to give, what they expect from their relationship with the University and his views of fundraising. A lively question and answer session followed.

Development Trust – 22 July and 18 November 2013

Sir Malcolm Rifkind continued in his role of President of the University of Edinburgh Development Trust presiding over two meetings in 2013. We remain grateful to him for his leadership in this important capacity.

Welcome address – Freshers' Welcome Ceremony – 9th September 2013

Alan Brown gave an inspiring address to new students at the Freshers' Welcome Ceremony in the McEwan Hall.

Blair Summit – 3rd to 5th October 2013

Mr John Allan, Dr Neil Cross, Mr George A David, Sir Malcolm Rifkind and Mr Ian Russell attended the Blair Summit which drew together senior University leadership, major donors and alumni supporters to discuss the way forward for fundraising at the University. The meeting was chaired by Sir Malcolm Rifkind.

North America events – 6th and 7th November 2013

Dr Armeane Choksi hosted a reception in his Washington residence for the Principal, alumni and supporters of the University during a visit to North America in November 2013.

Mrs Bridget Macaskill hosted a small dinner at her Manhattan home on 7th November.

A Special Honorary Graduation Ceremony, Reception and Dinner – 8th October 2013

Dr Katherine Grainger presented the laureation address for Dr Steve Redgrave at a ceremony in October. The Chancellor, HRH the Princess Royal conferred the degree as part of a special ceremony.

The Opening of the Edinburgh Centre for Carbon Innovation (ECCI) - 8th October 2013

Mr George David was joined by his wife, Mrs Kaity David at the official opening of the George and Kaity David Centre for Carbon Innovation, undertaken by the Chancellor, HRH The Princess Royal.

Jack Watters, John McAslan, George Gunn, Michael Cross, Neil Cross, Roger Dye, Simon Fennell, Ian Godden, and Crawford Beveridge continue to work with their assigned fundraisers to open doors to potential donors for a range of projects across the University.

Malcolm Offord appeared in the Edinburgh Up documentary, a collaboration between the D&A Individual Giving team and the CAM video unit. **Sheena McDonald** was the film's narrator which explained the impact the financial assistance received by featured alumni had during their time at University and in the years following.

David McCorquodale met individually with the Major Gifts, Individual and Alumni Engagement teams to discuss how best he could assist D&A across all our areas of work.

Ken Shoji, Roualeyn Fenton-May, and Ed Feulner have been an invaluable source of advice in the setting up of the new North America office.

Bill Webb has attended joint International Office/Alumni Engagement events in Washington to support the work of both.

Allan Little has been in discussion with Professor Steve Hillier on shaping the strategy for the University's future work with Africa and has agreed to speak on the subject of Africa sometime in 2014.

John McAslan made an important introduction for the University to the Clinton Foundation in NY. We are working with them to identify opportunities to provide international experiences for students.

John Allan has been instrumental in a new approach to the DHL foundation with a proposal for scholarships for STEM subjects.

Future Plans for the Regents Programme

Regents are a key University stakeholder group and we suggest that their stewardship should be led by the Events and Protocol office, in close collaboration with both D&A fundraisers and the alumni engagement team.

As such, the E&P office would be responsible for the organisation of bespoke events, the recording of information on the database relating to Regents, the establishment of tailored communication programmes and finding the most effective ways in which Regents can represent the University across the world.

Other groups include:

- Honorary Graduates
- Chancellor's Fellows
- Benefactors
- Chancellor's Award Winners
- IASH Fellows

The University of Edinburgh

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D6

Donations and Legacies to be notified

Brief description of the paper, including statement of relevance to the University's strategic plans and priorities where relevant

A report on legacies and donations received by the University of Edinburgh Development Trust from 21 November 2013 to 29 January 2014, prepared for the Meeting of Court on 17 February 2014.

Action requested

For information.

Resource implications

Does the paper have resource implications? No.

Risk assessment

Does the paper include a risk assessment? No, not applicable.

Equality and diversity

Has due consideration been given to the equality impact of this paper? No, not applicable.

Freedom of information

Can this paper be included in open business? No.

Its disclosure would substantially prejudice the effective conduct of public affairs.

Originator of the paper

Ms Kirsty MacDonald
Executive Director of Development & Alumni Engagement / Secretary, University of Edinburgh
Development Trust.